

## **Effect of tenofovir containing ART on renal function in patients with moderate/severe reduced creatinine clearance at baseline: a retrospective study at two referral hospitals in Namibia.**

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### **Abstract**

Prescription of tenofovir disoproxil fumarate (TDF) for patients with baseline creatinine clearances (CrCl) <60ml/min is said to increase risk of further decline in CrCl. Study objectives were to assess incidence of improvement and predictors thereof; to assess incidence of decline and transition to lower stages of CrCl; and comparison of declines between patients with a baseline CrCl <60ml/min (group-I) and ≥60ml/min (group-II). The study was retrospective, included patients 16yrs or older who received TDF-containing ART. Improvement and decline were defined as ≥25% increase or decrease in CrCl, respectively. Binary logistic regression was performed to identify predictors of improvement. Groups I and II had 2861 and 7526 patients, respectively. In group-I, improvement in CrCl was observed in 40.1% (n=1,146), and was associated with stage IV of CrCl (adjusted Odds Ratio [aOR]=13.4 [95%CI: 6.7 – 26.9,  $p<0.001$ ]); male gender (aHR=1.8 [95%CI: 1.5 – 2.2,  $p<0.001$ ]); and a poor HIV-status (aHR=1.2 [95%CI: 1.0 – 1.4],  $p=0.033$ ). In groups-I and group-II, respectively, decline occurred in 2.3% and 13.0%, ( $p<0.001$ ); transition to lower stages occurred in 1.0% and 25.2% ( $p<0.001$ ); and migration to stage IV CrCl occurred in 1.0% and 0.5% ( $p<0.001$ ). Improvement was more likely than decline in group-I patients. Although, group-I patients were more likely to experience new onset severe reduced CrCl than group-II patients, the proportions were extremely low. TDF should not be withheld from HIV-positive patients with a baseline CrCl <60ml/min.

**Key Words:** HIV, Tenofovir Disoproxil Fumarate, Creatinine Clearance, Improvement, Decline

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## Background

During the pre-antiretroviral therapy (ART) era, HIV-related renal impairment was a relatively frequent morbidity and cause of mortality, especially in patients of African descent (1–3). However, the frequency of HIV-related renal impairment, was appreciably reduced when ART was introduced (4,5). Nowadays, ART naïve patients who present with moderate or severe reductions in renal function are likely reporting late for treatment and care, or are poorly adherent to ART (6). ART has been shown to improve renal function in these patients (7–9). From 2010, in Namibia, to the time of writing this manuscript, tenofovir disoproxil fumarate (TDF)–containing ART regimens have been preferred over other regimens because of TDF’s better safety profile (10–13). Nevertheless, TDF is an independent risk factor for nephrotoxicity (9,14,15). Because of TDF-related nephrotoxicity, Namibia’s 2016 ART guidelines recommended the prescription of an alternative to TDF when the HIV-positive patient had a baseline CrCl <60ml/min (11). On the contrary, the guidelines allowed the prescription of TDF-containing ART for patients with a baseline CrCl <60ml/min, if they were co-infected with hepatitis-B (11), which is relatively frequent in Namibia (16). The results of a recent study we conducted, albeit in a few patients from one facility, suggested that improvement is expected in HIV-infected patients who receive TDF containing ART with a baseline CrCl <60 ml/min (17). The primary objective of this study was to confirm, with a larger number of patients, whether the initiation of TDF containing ART was associated with improvement or an increased risk of worsening renal function in patients who had their CrCl in the moderate/severe stage, at baseline. The secondary objectives were to identify the factors that were associated with the improvement in renal function, and to compare the CrCl decline rates between the patients who had a moderate/severe reduced CrCl and those who had mildly reduced/normal CrCl at baseline.

## Methods

### Study design and inclusion criteria

This was a retrospective cohort study that included patients who initiated TDF-containing ART from August 2010 to December 2016, because TDF-containing ART became the preferred initial ART regimen in Namibia in July 2010 (18). Secondly, patients who were 16 years and older at the time of ART initiation were included, as recommended in the guidelines (18). Thirdly, patients whose renal function stage was moderate/severe reduced were included for the primary analysis. The secondary analysis was a comparison of the rates of adverse renal outcomes between the patients who had a moderate renal function at baseline, and those who had mild/normal CrCl at baseline. The Namibia ART guidelines recommend the use of Cockcroft-Gault’s equation to calculate CrCl.(18) The equation is as follows:

$$CrCl = (140 - age [years]) * \frac{weight (kg)}{Serum creatinine (\mu mole per L)} * 1.22$$

For female patients, the value generated from the above equation is multiplied by 0.85. NB: The pharmacists at the health facilities calculate and record the CrCl results in the patient’s file (not published). Serum creatinine is measured by the Namibia Institute of Pathology, which has a functional lab at each public health hospital in Namibia.

### Setting

The study depended on data from two intermediate referral hospitals: Oshakati Intermediate Hospital, a 750-bed capacity hospital in Northern Namibia, and Katutura Intermediate Hospital, an 830-bed capacity hospital in Windhoek, the capital city of Namibia. Together, these hospitals provided HIV treatment and care to over 40,000 patients, according to the data that was accessed from the Ministry of Health and Social Services (MoHSS) for this study.

## Study variables

### Independent variables

The independent variables included gender, age, weight, follow-up duration, ART regimen, pregnancy, stage of renal function, and status of HIV infection. Status of HIV infection was dichotomous in nature: undesired status of HIV infection and desired status of HIV infection. Undesired status of HIV infection constituted a CD4 count  $<200$  cells/mm<sup>3</sup> or a viral load  $>1000$  copies/mL or tuberculosis (TB) or a combination of these variables. We used a CD4 count  $<200$  cells/mm<sup>3</sup>, because this is when opportunistic infections and other HIV-related diseases escalate and can be an indicator of treatment failure (19–21). We used a viral load  $>1000$  copies/ml, because treatment failure is defined as a viral load  $>1000$  copies/mL at 24 weeks of ART (22–24). Lastly, we included tuberculosis as it is a known indicator of treatment failure (25).

### Dependent variables (Endpoints)

The endpoints of the study were:

1. Improvement in CrCl
  - Improvement in CrCl was defined as an increase in CrCl by  $\geq 25\%$  based on the difference between the baseline and last measured CrCl. Additionally, an increase in CrCl by  $\geq 3$  ml/min/year was investigated.
2. Decline in CrCl
  - Decline in CrCl, was defined as a  $\geq 25\%$  reduction in CrCl, relative to the baseline.
3. Transition from baseline to lower stages of CrCl in general.
  - These included transitions from stages I and II to stages III and IV; and from stage III to stage IV.
  - The stages of CrCl and their limits, were stage I ( $\geq 90$  ml/min); stage II (60 – 89 ml/min); stage III (30 – 59 ml/min); and stage IV ( $<30$  ml/min)].
4. Transition to stage IV of CrCl.
  - This included from a baseline CrCl  $\geq 60$  ml/min (stages I and II) to stage IV; and from stage III to stage IV

## Data analysis

The characteristics of CrCl  $<60$  ml/min group were described using means and standard deviations (SD) for continuous variables and proportions for categorical variables. The difference in CrCl between the baseline and the last recorded value was used to determine whether improvement or decline in CrCl had occurred. Then the  $<60$  ml/min group of patients were sub-divided into the improvement and non-improvement groups. The means (SD) and proportions in the improvement and non-improvement groups were used for descriptive purposes. The proportion of patients who experienced decline in CrCl amongst the  $<60$  ml/min group and the corresponding proportion amongst the  $\geq 60$  ml/min group were calculated.

For the  $<60$  ml/min group of patients, we compared the means (SD) and proportions of patients in the improvement group with the corresponding means and proportions in the non-improvement group. We used the student's t-test for continuous variables, and Pearson's chi-square test for categorical variables. To identify the variables that were associated with improvement in CrCl, we performed binary logistic regression analysis. First, we conducted univariate analysis for each independent variable. Then we included all the independent variables in the multivariate analysis model, which we performed using the backward stepwise conditional method. This method eliminates variables from the model based on the likelihood-ratio statistic on conditional parameter estimates (26,27). As such, only variables that met the pre-set conditions of significance, were left in the final step of the multivariate analysis model.

We conducted sub-analysis estimates of the mean duration of follow-up for the improvement and non-improvement groups for the first four time-intervals. These time-intervals were included, because one could confirm that improvement had occurred in the first-two time intervals (0 – 6 months & 7 – 12 months), while for the second two time-intervals (13 – 18 months & 19 – 24 months), improvement could have occurred in the first two time-intervals. For each improvement group, we compared the duration of follow-up for the improvement group and the non-improvement group, using Levene's test to assess similarity of variances, and the independent students *t*-test for the difference means. (NB: The day the last CrCl was recorded served as the end of follow-up period.) We conducted binary logistic regression sub-analysis, to compare with the results that were generated for the original analysis.

Next, we compared the proportion of patients who experienced the  $\geq 25\%$  decline in CrCl amongst the  $< 60$  ml/min group with the corresponding proportion amongst the  $\geq 60$  ml/min group using the Student's *t*-test, and assessed the proportion of patients who experienced a shift to lower stages of CrCl. We evaluated the proportions who shifted to stage IV CrCl between the  $< 60$  and  $\geq 60$  ml/min groups. (NB: For the group who had a baseline CrCl from 30 -  $< 60$  ml/min, the lower stage of renal function was stage IV CrCl:  $< 30$  ml/min. For the group who had a baseline CrCl  $\geq 60$  ml/min the lower stages included: stage III CrCl (CrCl 30 – 59 ml/min), and stage IV CrCl ( $< 30$  ml/min). All analyses were conducted using SPSS version 22. The *p*-value was set at  $< 0.05$  and the confidence interval at 95%.

## Ethics

The study was approved by the Faculty of Health Sciences, the ethics review board of the University of Namibia, and the MoHSS. The study's reference number at the MoHSS is 17/3/3. There was no contact with the patients, and there was no involvement of health care workers. Anonymity of the patients was assured by eliminating any patient identifier data. The data were only available to the one of the researchers.

## Results

A total of 10,388 patients were included in the study (Figure 1). They were divided into two groups based on the baseline CrCl. The first group consisted of patients with a baseline CrCl  $< 60$  ml/min ( $n=2,862$ , 27.5%), and the second group consisted of patients with a baseline CrCl  $\geq 60$  ml/min ( $n=7,526$ , 72.5%). Most were female (67.6%), and this was the case for both groups, although the proportion of females in the first group was significantly greater than in the second group. The cohort's mean (SD) age was 38 years, but the mean age of the first group was approximately three years younger than the second group ( $p<0.001$ ). The mean weight of the cohort was 63.7kg, and no difference was found between the groups ( $p=0.631$ ). The mean CrCl at baseline was 63.2 ml/min, but the first group's mean baseline CrCl was significantly lower than that of the second group ( $p<0.001$ ). The duration of follow-up was 2.2 years, and there was no difference between groups ( $p=0.326$ ). The mean follow-up CrCl was 67 ml/min, which was 3.8 ml/min above the baseline. Although the first group still had a significantly lesser CrCl than the second group, their follow-up CrCl was  $\sim 12$  ml/min above their baseline CrCl, while for the second group the follow-up CrCl was 4 ml/min lower than their baseline CrCl. Approximately 39% had a poor HIV status, but the first group had a significantly less proportion of these cases ( $p=0.013$ ). There were about 1.9% records of pregnancy, but the first group had a significantly higher proportion than the second ( $p=0.003$ ). We found few cases of hepatitis-B surface antigen, and there was no difference between the groups ( $p=0.515$ ). A sum of 13.5% had received isoniazid preventive therapy (IPT), but the first group had fewer cases than the second group ( $p=0.017$ ). Few patients (5.9%) were receiving ART regimens containing TDF and a protease inhibitor (PI), but there were fewer patients in the first group than the second group ( $p=0.011$ ). The general trend was an increase in CrCl for patients in the first group. The opposite was true for patients in the second group (Figure 2).

**Figure 1: Selection of patients for the assessment of improvement and decline in CrCl**

**Table 1: Characteristics of the patients in the study, and changes in renal function**

Variable		All patients (n=10,388): n (%)	Moderate/severe group (n=2862): n (%)	Mild/normal group (n=7526): n (%)	p-value
Age (years, mean (SD))		38.0 (9.3)	36.5 (9.1)	39.4 (9.4)	<0.001
weight (kg), mean (SD)		63.3 (13.8)	62.9 (14.0)	63.7 (13.6)	0.631
Duration of follow-up (years), mean (SD)		2.2 (1.7)	2.1 (1.7)	2.2 (1.7)	0.326
Baseline CrCl (ml/min)		63.2 (12.0)	50.9 (8.7)	75.5 (15.2)	<0.001
Follow-up CrCl		67.0 (14.5)	62.7 (13.7)	71.2 (15.2)	<0.001
Gender	Female	7021 (67.6)	2402 (83.9)	4619 (61.4)	<0.001
	Male	3367 (32.4)	460 (16.1)	2907 (38.6)	
HIV status marked with a CD4 count <200 or VL >1000 or TB or a combination of either	Yes	3988 (39.0)	1044 (36.5)	2944 (39.1)	0.013
	No	6400 (61.0)	1818 (63.5)	4582 (60.9)	
Pregnancy	Yes	198 (1.9)	73 (2.6)	125 (1.7)	0.003
	No	10190 (98.1)	2789 (97.4)	7401 (98.3)	
Hepatitis-B surface antigen positive	Yes	55 (0.5)	13 (0.5)	42 (0.6)	0.515
	No	10333 (99.5)	2849 (99.5)	7484 (99.4)	
Used Isoniazid preventive therapy	Yes	1398 (13.5)	348 (12.2)	1050 (14.0)	0.017
	No	8990 (86.5)	2514(87.8)	6476 (86.0)	
Improvement in renal function ( $\geq 25\%$ increase in CrCl)	Yes	1678 (16.2)	1147 (40.1)	531 (7.1)	<0.001
	No	8710 (83.8)	1715(59.9)	6995 (92.9)	
Any improvement renal function ( $\geq 3\text{ml/min/year}$ increase in CrCl)	Yes	4305 (41.4)	2065 (72.2)	2240 (29.8)	<0.001
	No	6083 (58.6)	797 (27.8)	5286 (70.2)	
Decline in renal function ( $\geq 25\%$ decrease in CrCl)	Yes	1040 (10.0)	65 (2.3)	975 (13.0)	<0.001
	No	9348 (90.0)	2797 (97.7)	6551 (87.0)	
Any decline ( $\geq 3\text{ml/min/year}$ )	Yes	3085 (29.7)	201 (7.0)	2884 (38.3)	<0.001
	No	7303 (70.3)	2661 (93.0)	4642 (61.7)	
Receiving Ritonavir along with TDF	Yes	608 (5.9)	140 (4.9)	468 (6.2)	0.011
	No	9780 (94.1)	2722 (95.1)	7058 (93.8)	
Regression to lower stage of renal function	Yes	1929 (18.6)	29 (1.0)	1900 (25.2)	<0.001
	No	8459 (81.4)	2833 (99.0)	6626 (74.8)	
Regression to severe stage of renal function	Yes	63 (0.6)	29 (1.0)	34 (0.5)	0.001
	No	10325 (99.4)	2833 (99.0)	7492 (99.5)	

\*CD4 count<200 mm<sup>3</sup>, VL >1000 copies/L and Tuberculosis

1 **Figure 2: Baseline and follow-up CrCl for patients according to stage of CrCl at baseline**

2

3 Of the 2,862 patients in the first group, improvement in CrCl occurred in 40.1% (n=1,147), who were  
4 referred to as the improvement group. The rest formed the non-improvement group. The non-  
5 improvement group included patients who had >3ml/min/year increases in CrCl for who the follow-  
6 up CrCl was <25% above the baseline CrCl, and those who experienced any decline in CrCl. Most  
7 patients had two CrCl records (Figure 3: panels A and B, for improvement and non-improvement  
8 groups, respectively). The follow-up CrCl results were spread across time-intervals (Figure 3). The  
9 improvement group were older ( $p=0.015$ ); had a longer duration of follow-up ( $p<0.001$ ), a lower  
10 baseline CrCl ( $p<0.001$ ), a greater proportion of male patients ( $p<0.001$ ), and less pregnancy events  
11 than the non-improvement group ( $p<0.001$ ) (Table 2). Further, the improvement group had non-  
12 significantly higher proportions of patients with a CD4 count <200 cells/mm<sup>3</sup>, a viral load >1000  
13 copies/L, and non-significantly lower proportions with tuberculosis (TB), which culminated in a  
14 greater proportion of patients with a poor HIV-status than the non-improvement group ( $p=0.032$ )  
15 (Table 2.) The follow-up mean CrCl of the improvement group was higher than their baseline by 26.0  
16 ml/min, while for the non-improvement group it was 2.3 ml/min higher than their baseline. The  
17 follow-up CrCl of the improvement group was significantly greater than that of the non-improvement  
18 group (Table 2). The sub-analysis, which included 1788 patients, showed that the mean duration of  
19 follow-up was significantly shorter for the improvement group than for the non-improvement group  
20 (Table 3): a deviation from the findings for the whole group (n=2862), (Table 2).

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22 **Figure 3: Distribution of patients in the improvement group (panel A) and non-improvement**  
23 **group (panel B) according to the number of CrCl result recorded**

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25

26 Multivariate binary logistic regression analysis for the 2862 patients showed that male patients were  
27 1.8 times more likely to experience improvement in CrCl than females ( $p<0.001$ ); that patients who  
28 were in stage IV of CrCl, were 13.4 times more likely to experience improvement than patients who  
29 were in stage III of CrCl ( $p<0.001$ ); that for every additional year of ART, the odds of experiencing  
30 improvement in CrCl were 1.1 ( $p<0.001$ ); and that patients who were in a poor HIV status, were 1.2  
31 times more likely to experience improvement than their counterparts ( $p<0.001$ ), (Table 2). The  
32 regression analysis was repeated for the sub-group, which generated similar results for all predictor  
33 variables, except duration of follow-up for which the effect was reversed: from an OR of 1.1 to 0.7.

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35 **Figure 4: Test results distributed unequally across the time intervals**

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38 The proportion of events of 25% decline in CrCl amongst the <60 ml/min group was lower than the  
39 corresponding proportion in the  $\geq 60$  ml/min group ( $p<0.001$ ) (Table 1). Similarly, the transition to  
40 lower stages of CrCl in the <60 ml/min group was lower than in the  $\geq 60$  ml/min group: 1.0% vs.  
41 25.2%;  $p<0.001$ , (Table I). Nonetheless, more patients from the <60ml/min group than from the  $\geq 60$   
42 ml/min group transitioned to CrCl stage IV (Table 1)

**Table 2: Factors associated with CrCl improvement in patients with baseline CrCl less than 60ml/min**

Variable		Improvement group	Non-improvement group	p-value	Crude Odds Ratio (Confidence Interval)	P-value	Adjusted Odds Ratio (Confidence Interval)	P-value
Age (years, mean (SD))		37.1±9.3	36.2±8.9	0.015	1.0 (1.0 – 1.0) †	0.009	-	-
weight (kg), mean (SD)		62.7±13.7	63.0±14.2	0.568	1.0 (1.0 – 1.0) †	0.580	-	-
Duration of follow-up (years), mean (SD)		2.3±1.9	2.0±1.6	<0.001	1.1 (1.06 – 1.2)	<0.001	1.1 (1.06 – 1.2)	<0.001
		<b>0.9±0.6</b> □	<b>1.1±0.6</b> □	<b>&lt;0.001</b>	<b>0.7 (0.6 – 0.8)</b> □		<b>0.7 (0.6 – 0.8)</b> □	
Baseline CrCl (ml/min)		46.0±10.7	54.2±5.0	<0.001	-	-	-	-
Follow-up CrCl		72.0±14.1	56.5±9.2	<0.001	-	-	-	-
Gender, Male	Yes	896 (78.2)	1505 (87.8)	<0.001	2.0 (1.6 – 2.4)	<0.001	1.8 (1.5 – 2.2)	<0.001
	No	250 (21.8)	210 (12.2)		<b>1.6 (1.2 – 2.0)</b> □ 1.0 (reference)		<b>1.4 (1.03 – 1.8)</b> □	
HIV status‡	Yes	451 (39.4)	607 (35.4)	0.032	1.2 (1.1 – 1.4)	0.010	1.3 (1.1 – 1.5)	0.007
	No	695 (60.6)	1108 (64.6)		<b>1.5 (1.2 – 1.8)</b> □ 1.0 (reference)	<b>&lt;0.001</b>	<b>1.4 (1.2 – 1.8)</b> □	<b>&lt;0.001</b>
Stage IV CrCl	Yes	82 (90.1)	9 (9.9)	<0.001	14.6 (7.3 – 29.1)	<0.001	13.4 (6.7 – 26.8)	<0.001
	No	1065 (38.4)	1706 (61.6)		<b>12.1 (5.7 – 25.7)</b> □ 1.0 (reference)	<b>&lt;0.001</b>	<b>12.5 (5.8 – 26.7)</b> □	<b>&lt;0.001</b>
Pregnancy	Yes	18 (1.6)	54 (3.1)	0.008	0.5 (0.3 – 0.9)	0.015	-	-
	No	1128 (98.4)	1661 (96.9)		1.0 (reference)		-	-
Hepatitis-B surface antigen positive	Yes	6 (0.5)	7 (0.4)	0.652	1.3 (0.5 – 3.8)	0.241	-	-
	No	1140 (99.5)	1708 (99.6)		1.0 (reference)		-	-
Used Isoniazid preventive therapy	Yes	167 (14.6)	263 (15.3)	0.582	0.9 (0.7 – 1.1)	0.323	-	-
	No	978 (85.4)	1452 (84.7)		1.0 (reference)		-	-
Receiving Ritonavir along with TDF	Yes	64 (5.6)	76 (4.4)	0.161	1.4 (0.9 – 2.0)	0.123	-	-
	No	1082 (94.4)	1639 (95.6)		1.0 (reference)		-	-
CD4 count <200 cells/mm <sup>3</sup> §	Yes	191 (16.7)	248 (14.5)	0.109	-	-	-	-
	No	955 (83.3)	1467 (85.5)		-	-	-	-
Viral load >1000 copies/L§	Yes	246 (21.5)	322 (18.8)	0.077	-	-	-	-
	No	900 (78.5)	1393 (81.2)		-	-	-	-
Tuberculosis§	Yes	111 (9.7)	172 (10.0)	0.763	-	-	-	-
	No	1035 (90.3)	1543 (90.0)		-	-	-	-
Improvement (≥25% increase in CrCl)		1146	n/a	-	-	-	-	-
Any improvement (≥3ml/min/year increase in CrCl)		1146	582	-	-	-	-	-
Any decline (≥3ml/min/year)		n/a	201	-	-	-	-	-

Variable	Improvement group	Non-improvement group	<i>p</i> -value	Crude Odds Ratio (Confidence Interval)	<i>P</i> -value	Adjusted Odds Ratio (Confidence Interval)	<i>P</i> -value
Decline in renal function ( $\geq 25\%$ decrease in CrCl)	n/a	65	-	-	-	-	-
Regression to severe stage of renal function	n/a	28	-	-	-	-	-

‡HIV status marked with a CD4 count <200 or VL >1000 or TB or a combination of either of these variable (Poor status = Yes); †means -figures were rounded off; □ Sub-analysis findings, which involved 62.5% of the patients (n=1788); §= variables marked with this symbol were not used in the model as they contributed to the HIV status variable. The italicised values are findings generated from the sub-analysis.

**Table 3: Sub-analysis: Duration of follow-up for the improvement group vs. non-improvement group based on different time-points**

Duration of follow-up		Number of patients		<i>p</i> -value (t-test)	Duration of follow-up: mean (SD), years		F-value	<i>p</i> -value (F-test)	<i>p</i> -value (t-test)
		Improvement	Non-improvement		Improvement	Non-improvement			
0-6 months	Female	265 (58.6)	187 (41.4)	0.352	0.22 (0.15)	0.3 (0.2)	0.099	0.753	0.012
	Male	43 (53.1)	38 (46.9)						
7-12 months	Female	174 (63.7)	99 (36.3)	0.049	0.8 (0.2)	0.8 (0.2)	2.186	0.140	0.540
	Male	19 (47.5)	21 (52.5)						
13-18 months	Female	241 (63.9)	136 (36.1)	0.027	1.3 (0.2)	1.3 (0.2)	0.024	0.876	0.994
	Male	19 (46.3)	22 (53.7)						
19-24 months	Female	308 (71.5)	123 (28.5)	0.033	1.7 (0.1)	1.7 (0.1)	0.792	0.374	0.956
	Male	56 (60.2)	37 (39.8)						
<b>0-24 months</b>	<b>Female</b>	<b>988 (64.4)</b>	<b>545 (35.6)</b>	<b>0.001</b>	<b>0.9 (0.6)</b>	<b>1.1 (0.6)</b>	<b>1.169</b>	<b>0.280</b>	<b>&lt;0.001</b>
	<b>Male</b>	<b>137 (53.7)</b>	<b>118 (46.3)</b>						

More male patients experienced improvement at all time- intervals except the first one, where no difference in proportions was observed. The duration of follow-up was significantly shorter for the patients who experienced improvement than for the corresponding group. Most patients in the improvement group had the second test results recorded after 24 months, widely spread across the time intervals. The event of improvement could not be traced to a specific time interval. For this reason, they were not included in the sub-analysis.

## 1 Discussion

2 This in-depth assessment of the effects of TDF-containing ART on renal function in HIV-positive  
3 patients with a baseline CrCl of <60 ml/min, involved two referral hospitals and included many  
4 patients. We found that a large proportion of patients experienced improvement in CrCl following the  
5 initiation of ART (TDF-containing). The factors that were associated with improvement in CrCl  
6 included stage IV of CrCl at baseline, male gender, and a poor HIV status. We found that decline in  
7 CrCl was more frequent amongst patients with a baseline CrCl  $\geq$ 60 ml/min than in those with a  
8 baseline CrCl <60 ml/min. We found that disproportionately more patients in the  $\geq$ 60 ml/min group  
9 transitioned to lower stages of CrCl; however, disproportionately fewer patients in the  $\geq$ 60 ml/min  
10 group than in the <60 ml/min, transitioned to stage IV of CrCl.

11 Our study documents a significantly greater proportion of patients experiencing improvement than  
12 decline in CrCl following the initiation of ART, moreover they received TDF-containing ART. These  
13 findings agree with a previous study we conducted on fewer patients (17). Mulenga *et al.*, observed  
14 improvement in renal function for patients with severe renal impairment at baseline, even with TDF-  
15 containing ART (8). We found that improvement in CrCl was an early event, and this has been  
16 implied by Mpondo *et al.* and Kalayjian (7,28). In our study, most patients had two renal function test  
17 results including the baseline and the second test, but the second test results were spread across  
18 various time intervals making it a challenge to assess the effect of duration of exposure to the outcome  
19 of interest. The sub-analysis we conducted to sort out this challenge exposed an interaction bias, as  
20 the direction of effect was reversed. The latter finding was more likely than the former because we  
21 found improvement within the first year for most patients who had more than two renal function test  
22 results. Since renal impairment is a complication of HIV, the improvement we observed suggests that  
23 some patients in the <60 ml/min group were experiencing HIV-related renal impairment, which  
24 responds to highly active ART (29). This preposition leans on the fact that the likelihood of  
25 experiencing improvement amongst patients in CrCl stage IV at baseline was several folds that of the  
26 patients who were in stage III of CrCl. HIV is known to cause HIVAN, HIV immune complex kidney  
27 disease, and opportunistic infections in the parenchyma of the kidney, which affect kidney function  
28 (6,30–34). Currently, an alternative antiretroviral drug to TDF has been recommended for patients  
29 with a CrCl <60 ml/min as a precautionary measure against further decline (13). However, the  
30 available alternatives, namely zidovudine (AZT) and Stavudine (D4T), are associated with severe  
31 adverse events that have led to their withdrawal from the preferred ART regimens, in favour of TDF;  
32 and sometimes they are contraindicated. For example: AZT is contraindicated in patients with severe  
33 anaemia at baseline (35). Further, the significantly greater improvement than decline we observed,  
34 suggests that the prescription of TDF for patients with a CrCl <60 ml/min was clinically logical  
35 (7,29), and careful matching of the dose with the CrCl should be ensured. Close monitoring of renal  
36 function in these patients may be necessary, due to TDF-associated nephrotoxicity (18,36), and  
37 bearing in mind that the period of exposure was relatively short. Also, comorbidities that may be  
38 associated with further decline should be investigated prior to initiating TDF-containing ART. We  
39 could not explain why male gender was associated with a significantly greater proportion of  
40 improvement events, but it could be a result of disturbance in the female patients' hormonal milieu,  
41 resulting in hypoestrogenaemia and loss of oestrogen's renal protective effects (37). Further,  
42 regarding male gender, their older age may have influenced the age variable making older age a  
43 predictor of improvement. This is unlikely, because increase in age is associated with a reduction in  
44 the number of functional nephrons (38). A separate analysis on male patients showed that older age  
45 was not a predictor for improvement. A similar analysis on female gender supported the association  
46 between increasing age and improvement, and this increased the likelihood of an unknown  
47 confounding variable.

48

1 TDF is aetiologically related to nephrotoxicity (39,40), and mechanisms of TDF-associated  
2 nephrotoxicity have been suggested (41,42). Nonetheless, through this study we endeavour to answer  
3 the question ‘what is the incidence rate’, and the question ‘who is at risk regarding the baseline CrCl?’  
4 This is important because of conflicting evidence regarding both incidence and risk factors  
5 (8,9,43,44), and because the findings pertain to sub-Saharan Africa, where there is a low research  
6 output, except in South-Africa (45). Regarding the question on incidence rate, our study has shown  
7 that TDF-associated nephrotoxicity per-se is common, but not all events were clinically significant.  
8 Regarding the question ‘who is at risk’, our study has shown that patients with a baseline CrCl  $\geq$ 60  
9 ml/min were at a greater risk of experiencing the decline compared with those who had  $<$ 60 ml/min,  
10 and that not all declines were clinically important. Therefore, the more important outcome to study  
11 would be a transition to stage IV of CrCl, including end-stage-renal-disease, rather than decline in  
12 CrCl. A study by Ojeh *et al* put the incidence of TDF-associated renal impairment at 4.6% (46), but  
13 did not provide the proportion who migrated to the severe stage of renal function. Brennan *et al*  
14 reported that patients with a moderate renal function at baseline were more at risk of nephrotoxicity  
15 than others (47). Our findings agree with Brennan *et al* regarding the risk; but the proportion was too  
16 low to undermine the benefits of TDF-containing ART. The incidence of new onset severe reduced  
17 CrCl in the  $\geq$ 60 ml/min group in this study (0.5%) is similar to findings by Kyaw *et al* (0.3%),  
18 Winston *et al* (0.43%) and Nishijima *et al* (0.5%) (48–50). Our study puts the incidence of new onset  
19 severe reduced CrCl at 1.0% amongst patients with a CrCl in the moderate CrCl stage, at baseline.  
20 Further investigation of this that deals with confounders such as diabetes mellitus and hypertension  
21 can generate better results (51). Improvement for female patients being significantly lower than that of  
22 the males could be due to HIV-associated disturbances in the hormonal milieu amongst the female  
23 patients, resulting in ovarian insufficiency. The resultant hypoestrogenaemia is associated with loss of  
24 the renal protective effects of oestrogen (52,53). The findings of this study support the use of TDF-  
25 containing ART in patients with moderately or severely reduced CrCl at baseline, particularly in  
26 patients without co-existing diseases known to affect renal function.

27 The findings of this research provide a solution to the clinician caring for an individual ART naïve  
28 HIV positive patient with a low CrCl. The patient may be coinfecting with hepatitis B thus needing  
29 TDF-containing ART (13,54) or may have attending clinical states rendering the use of alternatives to  
30 TDF contraindicated. Our study supports the use of TDF in these patients; however, some patients  
31 may have co-morbidities that increase their nephrotoxicity (55). Therefore, the decision to use TDF-  
32 containing ART should be individualised. Careful attention should be given to TDF’s dose, matching  
33 it with the increasing CrCl. Furthermore, the clinician should set one’s attention on the estimated CrCl  
34 rather than the magnitude of reduction, since some large reductions in CrCl never required changes in  
35 dose or dosage intervals. Individualisation of therapy falls under clinical pharmacology. Therefore,  
36 this research is suitable for publication in this journal.

37 Our study had limitations. Regarding improvement, the definition there of was restrictive. As a result,  
38 a substantial number of patients who experienced increases in CrCl were not included amongst the  
39 improvement group, and may infringe on the benefits of TDF-containing ART. We conducted a  
40 separate analysis including these patients, which generated the same results concerning the predictors.  
41 Another limitation was the various and irregular periods of follow-up, which made it difficult to  
42 assess specific periods such as at six and twelve months, for many patients. In response, we conducted  
43 assessment based on time intervals, for the patients whose follow-up renal function test results were  
44 conducted within two years, including those who had more than one test results. Regarding decline in  
45 CrCl our study was limited by the lack of objective evidence to explain why the declines occurred in  
46 the  $<$ 60 ml/min group. Nonetheless, TDF has the propensity to cause a significant decline in CrCl,  
47 and a synergistic interaction between TDF and co-existing non-HIV related risk factors are known  
48 aetiologies for the decline in CrCl (41,46,56–58).

## 1 Conclusion

2 The initiation of TDF-containing ART in patients with a baseline CrCl <60 ml/min was associated  
3 with significantly more patients experiencing improvement than decline. The study suggests that  
4 patients who have HIV-associated renal disease are more likely to improve with ART, including TDF-  
5 containing regimens. Improvement should be expected to occur within the early period of ART. Male  
6 gender was also a factor associated with more improvement than female gender. Decline in CrCl  
7 should also be expected but in a rather small proportion of patients who had a CrCl <60 ml/min at  
8 baseline. Careful monitoring may still be required since some of the declines may culminate in new  
9 onset severe reduced CrCl. Overall, this study suggests that TDF-containing ART may be used in  
10 patients with a baseline CrCl <60 ml/min. Although it was not part of this study, it is worth  
11 mentioning that prior to initiating TDF-containing ART, the patients should be investigated for  
12 comorbidities that are known to increase the risk of decline in CrCl.

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## Author contribution

Presented below are the contributions of the authors to the study presented in this manuscript.

- **FK:** Concept, study design, data analysis, manuscript
- **BG:** Manuscript review
- **AS:** Concept, study design, manuscript review
- **TR:** Concept, study design, manuscript review

## Data Availability Statement

The data that was used in this study may not be readily available as it may compromise patient confidentiality.