

1 Title: Microfluidic Manufacture of Solid Lipid Nanoparticles: A case study on tristearin-
2 based systems.

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20 **ABSTRACT**

21 **Background:** Solid lipid nanoparticles are lipid-based carriers and that can be used for a range
22 of drugs and biomolecules. However, most production methods currently used do not offer
23 easy translation from laboratory preparation to scale-independent production.

24 **Objectives:** Within this study, we have investigated the use of microfluidics to produce solid
25 lipid nanoparticles and investigated their protein loading capability. In the development of
26 the process, we have investigated and identified the critical process parameters that impact
27 on the production of the solid lipid nanoparticles.

28 **Method:** Solid lipid nanoparticles based on Tristearin and 1,2-Distearoyl-
29 phosphatidylethanolamine-methyl-polyethyleneglycol conjugate-2000 were formulated
30 using on the Nanoasemblr® Benchtop system from Precision Nanosystems and the flow rate
31 ratio, total flow rate and initial protein concentration were investigated as process
32 parameters and the particle size, PDI, zeta potential, drug loading and drug release was
33 quantified.

34 **Results:** Our results demonstrate the suitability of microfluidics as a valid production method
35 for solid lipid nanoparticles containing protein production. In terms of key process parameters
36 to consider, both the solvent/aqueous ratio (FRR) and total flow rate were shown to have a
37 notable impact on particle size. However, protein loading capacity was similar across all flow
38 rates tested.

39 **Conclusion:** Within this study we outline a rapid and easy to adopt protocol for the scale-
40 independent production of solid lipid nanoparticles. This process can support the rapid
41 translation of production methods from bench to clinic.

42

43 **1. Introduction**

44 Microfluidics technology is based on controlled manipulation and mixing of fluids in the
45 microliter to picolitre range. Since its first application in the 1980s ⁽¹⁾, microfluidics has
46 emerged as a lab-on-a-chip based technology for process development ⁽²⁻³⁾, to automate
47 laboratory procedures in the fields of pharmaceutical industry and biotechnology ⁽⁴⁾ and to
48 produce nanomedicines ⁽⁵⁻⁹⁾. Indeed, the rise in the number of publications on PubMed
49 referencing microfluidic* is shown in Figure 1 and can be seen to have grown to
50 approximately 2500 since 1988. In general, microfluidics involves the controlled mixing of
51 fluids, with fluid mixing being dictated by the design of the microfluidic cartridge (with
52 numerous formats and mixing-steps having been investigated) and the process parameters
53 adopted (including the flow rate through the cartridge and the mixing ratios employed during
54 the process). In terms of a mixing process, microfluidics offers a range of advantages including
55 scalable working volumes from very low volumes to high-throughput, short reaction times,
56 reduced cost, controlled mixing and enhanced parameter control combined with process
57 automation ⁽¹⁰⁻¹³⁾. Due to these advantages, microfluidics has been used to produce a range
58 of nanoparticle systems including lipid nanoparticles, liposomes, polymeric nanoparticles and
59 solid lipid nanoparticles with some examples outlined in Table 1. By using microfluidics to
60 rapidly mix liquids of different polarities, the nanoprecipitation of dissolved molecules can be
61 promoted and uniform nanoparticle suspensions produced. ⁽⁴⁰⁾ As shown in Table 1, the
62 majority of the investigations looking at manufacturing with microfluidics has focused on the
63 production of liposomes with less consideration given to solid lipid nanoparticles (SLNs). This
64 may be due to solid lipid nanoparticles being less widely studied as potential delivery systems;

65 a PubMed search identifying only around 200 publications associated with these systems
66 (Figure 1). However, as delivery systems, solid lipid nanoparticles can offer a range of
67 advantages including enhanced stability compared to other lipid-based formulations,
68 sustained release and increased tolerability compared to polymeric systems ⁽⁴¹⁻⁴³⁾. However,
69 lipid all nanoparticles, large scale production can be a challenge. In terms of their application,
70 SLNs are more commonly explored as solubilising agents for the delivery of poorly soluble
71 drugs due to the hydrophobic nature of the particles matrix. However, they have also been
72 investigated for the delivery of nucleic acids, proteins, antigens, or in food industry as carriers
73 for bioactive compounds or to protect biomolecules against degradation⁽⁴⁴⁻⁴⁶⁾. Within our
74 research, we are considering a range of delivery systems for their potential as novel adjuvants
75 and studies have demonstrated that SLNs have an adjuvant activity. In general, the adjuvant
76 effect of SLNs is related to their ability to protect sub-unit antigens from rapid degradation in
77 vivo, and to promote delivery and targeting of antigen presenting cells ⁽⁴⁷⁾. With regard to
78 their activity, particle size is a consideration with some studies suggesting that SLNs with a
79 diameter of more than 100 nm exhibited a stronger adjuvant activity ⁽⁴⁸⁻⁴⁹⁾. Furthermore, SLNs
80 in association with interleukin-2 have been shown to increase antibody titre, spleenocyte
81 proliferation, and secretion of interferon-gamma and interleukin 4 cytokines. ⁽⁵⁰⁾

82 In terms of manufacturing processes, high-pressure homogenization ⁽⁵¹⁾ and microemulsion-
83 based techniques ⁽⁵²⁻⁵⁴⁾ are the most used methods in the preparation of SLNs. Yet, these
84 methods have limitations including ease of scalability and poor drug loading capacity.
85 Therefore, given the potential of microfluidics to produce nanoparticles, herein we
86 consider the application of microfluidics for rapid and scale-independent manufacture
87 of solid lipid nanoparticles. To achieve this, we have investigated the process parameters

88 involved in their microfluidic production and consider the loading of protein within these
89 systems.

90 **2. Materials and Methods**

91 **2.1 Materials**

92 Tristearin (Grade II-S, $\geq 90\%$) and trifluoroacetic acid (TFA) were obtained from Sigma-Aldrich
93 Company Ltd, Poole, UK. 1,2-Distearoyl-phosphatidylethanolamine-methyl-
94 polyethyleneglycol conjugate-2000 (DSPE-mPEG-2000) was obtained from Lipoid GmbH
95 (Ludwigshafen Germany). Ethanol were obtained from Fisher Scientific UK,
96 Loughborough, UK. TRIS Ultra-Pure was obtained from ICN Biomedicals, Inc., Aurora,
97 Ohio. Phosphate-buffered saline (PBS) and Albumin from chicken egg (OVA), were obtained
98 from Sigma-Aldrich Company Ltd, Poole, UK. Sephadex G-75 size exclusion columns were
99 obtained from GE Healthcare Life Science -Little Chalfont-Buckinghamshire, UK.

100 **2.2 Preparation of solid lipid particles using Nanoassemblr platform**

101 Solid lipid nanoparticles formulations using the micromixer were performed on a benchtop
102 NanoAssemblr™ instrument (NanoAssemblr™, Precision Nano- Systems Inc.). The two inlet
103 streams comprised lipids dissolved in ethanol and aqueous buffer (Tris, 10 mM, pH 7.4),
104 syringe pumps allowed for controlling the flow rates and the flow ratios between the two
105 inlet streams. Solid lipid nanoparticles were prepared with the NanoAssemblr™; 1.3 mg of
106 Tristearin 0.25 mg of mPEG-DSPE were dissolved in 1 mL of ethanol (70°C) and OVA (when
107 added) was dissolved in 1 mL TRIS buffer pH 7.4 10 mM. Both solutions mixed via microfluidics
108 and particles were collected in a 15-mL falcon tube. The total flow rate (TFR) was varied

109 between 5 and 20 mL/and the aqueous/solvent ratio (FRR) was varied between 1:1, 3:1 and
110 5:1.

111 **2.3 Solvent purification methods**

112 To consider solvent purification methods, residual solvent levels were quantified after
113 tangential Flow Filtration (TFF – KR2i TFF System® – Filtration speed 27 mL/min, washing
114 volume 20 mL), dialysis (1-hour, membrane cut off 14 000 KDa) and spin column (3 mL elution
115 buffer volume). Residual solvent was detected using gas chromatography (GC-MS, Agilent
116 Technologies) adding 1% 2-propanol (IPA) as internal standard; peaks area was normalised by
117 IPA peak area and related to solvent concentration through a calibration curve with a linearity
118 of $R^2 = 0.9502$. All measurements were within the level of detection and level of
119 quantification.

120 **2.4 Lipid recovery quantification after purification**

121 Lipid recovery after dialysis, TFF and spin column was performed by adding 1,1'-Dioctadecyl-
122 3,3,3',3'-Tetramethylindocarbocyanine Perchlorate (DiIC) 0.2% mol total lipid concentration
123 solved in ethanol to lipid stocks before being loaded in the NanoAssemblr. DiIC fluorescence
124 was measured before and after TFF, dialysis and spin column (PolarStar, BMG LABTECH
125 GmbH). Lipid quantification was achieved by referring to a calibration curve with a linearity
126 of $R^2=0.995$. All measurements were within the level of detection and level of quantification.

127 **2.5 Characterisation of SLNs**

128 The dynamic light scattering (DLS) technique was used to report the intensity mean diameter
129 (z-average) and the polydispersity of all solid lipid nanoparticles formulations (Malvern
130 Zetasizer Nano-ZS (Malvern Instruments, Worcs., UK). Particles size and polydispersity

131 analysis was carried out at 25 °C in Tris buffer (10 mM, pH 7.4). Liposome zeta potential was
132 measured in Tris buffer (10 mM, pH 7.4) using the Malvern Zetasizer Nano-ZS (Malvern
133 Instruments, Worcs., UK).

134 **2.6 Protein loading quantification.**

135 The loading efficiency was measured using reverse phase HPLC (Agilent 1100 Series) with a
136 mobile phase of TFA 0.1 % and methanol with 0.08% TFA with a flow rate of 2.0 mL/min, λ_{\max}
137 of 215 nm. At these conditions the OVA retention time is 9.6 min. The particles were
138 destroyed using a solution of IPA: TRIS 50:50 vol/vol. The solution was left at room
139 temperature for 1 hour to achieve the complete particle dissolution. All measurements were
140 within the level of detection and level of quantification.

141 **2.7 In vitro release study**

142 For the release study of 0.5 mg/mL ovalbumin from SLNs, nanoparticles were prepared using
143 Nanoassemblr (TFR 10 mL/min, FRR 3:1) as previously described. All formulations were
144 dialysed against 80 mL PBS pH 7.4 at 37 °C (membrane cut off 300KDa). The absorbance of
145 aliquots from the outer buffer was analysed at different time points (0.25, 0.5, 0.75, 1, 1.5, 2,
146 4, 6, 8, 10, 12, 24 and 48 h) using NanoDrop 2000c UV-Vis spectrophotometer (Thermo Fisher
147 Scientific Inc). OVA release was detected by measuring the protein absorbance at 230 nm
148 (NanoDrop 2000c, UV-Vis Spectrophotometer).

149 **2.8 Statistical analysis**

150 Unless stated otherwise, the results were calculated as mean \pm standard deviation (SD) from
151 three independent studies. ANOVA followed by Tukey post hoc analysis was performed for

152 comparison and significance was acknowledged for p values less than 0.05. All the calculations
153 were made using Excel (Excel Software and Systems Pvt. Ltd.).

154

155 **3. Results**

156 **3.1 SLNs manufacturing by microfluidics– particles size can be in process controlled**

157 Solid lipid nanoparticles consisting of Tristearin and PEG-DSPE were prepared using the
158 microfluidics method and the aim of this study was to evaluate how process parameters
159 impact on particles size and polydispersity. More precisely the percentage of organic phase
160 was reduced from 50% to 17% and differences in particles attributes were evaluated. Figure
161 2A showed the effect of modifying flow rate ratio (FRRs; ratio between organic and aqueous
162 phase) on SLNs size distribution; by enhancing the FRR from 1:1 to 3:1, the diameter was
163 reduced from 180 ± 65 nm to 65 ± 23 nm; however further increasing the FRR to 5:1 did not
164 significantly impact on the particle size (59 ± 17 nm; Figure 2A). Across the FRR tested the PDI
165 remained low was between 0.2 and 0.3 (figure 2A) and the size intensity plots are shown in
166 figure 2B. Regarding particles charge, the zeta potential values was independent from the
167 FRR (Figure 2C); among all aqueous/solvent ratio tested, the surface charge remained slightly
168 negative (between -17 and -20 mV) as expected, with no significant difference.

169 **3.2 Purification process for SLNs produced by microfluidics**

170 Given that after microfluidics samples contain organic solvent, several purification methods
171 were investigated. Tristearin: mPEG-DSPE SLNs were prepared using microfluidics at a FRR
172 1:1; in these conditions the concentration of ethanol in the final sample is 50 %, thus aiming
173 to challenge all purification systems tested. To remove solvent content from the formulations

174 dialysis, tangential flow filtration (TFF) or spin column purification was employed and SLN
175 recovery and residual solvent levels compared (Figure 3). As it shown in figure 3A, by using
176 either spin column or dialysis it was possible to collect almost 100% particle yield. In contrast
177 recovery of SLNs after TFF purification was lower (72%; Figure 3A). Figure 3B also shows that
178 all three methods tested could efficiently remove the organic solvent from the sample to
179 below 1%, and both TFF and dialysis achieved residual ethanol levels below 0.5 %, in line with
180 ICH guidelines for residual ethanol levels.

181 **3.3 Protein-loaded lipid particles production using Nanoassembly: loading efficiency as a** 182 **function of manufacture process**

183 Using these optimised parameters, the next stage was to evaluate the suitability of
184 microfluidics for producing SLNs containing proteins. To consider this, three different protein
185 (OVA) concentrations were used and loading compared. To achieve this, initially the
186 extraction process was optimised and drug loading was measured at different time points (3
187 min, 6 hours and 24 hours post digestion). Spin column purification was applied for both
188 solvent and free protein removal. Figure 4A shows that subjecting the OVA-loaded SLNs to
189 prolonged exposure to IPA: TRIS 50:50 vol/vol resulted in reduced protein loading, which may
190 be a result of protein degradation in the IPA. Therefore, for all further studies, all formulations
191 were subjected to no more than 30 min digestion. Figure 4A also shows the effect of initial
192 OVA concentration on loading capacity; as expected with increasing initial OVA
193 concentrations the SLNs can incorporate higher concentrations up to approximately 140
194 µg/mL when initial concentrations of 1 mg/mL OVA is used. When expressed as % loading
195 efficiency, the maximum that can be achieved is 36% when an initial concentration of 0.1
196 mg/mL OVA is used (Figure 4B), and in terms of loading capacity (protein/lipid wt/wt) the

197 trend again shows increasing loading with increasing initial OVA concentrations with up to
198 11% (Figure 4C). Therefore, high protein loading can be achieved when high initial protein
199 concentrations are used but this is at the expense of loading efficacy (Figure 4).

200 **3.4 Influence of flow rate on particle characteristics and drug loading**

201 To consider the impact of production speed of the SLNs, changes in TFR on protein loaded
202 particles attributes were further investigated. The total flow rate values were varied from 5
203 mL/min to 20 mL/min, while the ratio between aqueous and solvent stream was maintained
204 constant at 3:1. Figure 5A shows the effect of flow rate changes on particles size and
205 polydispersity. Increasing the injection speed from 5 to 10 mL/min made no significant
206 difference in particles size (between 70 and 90 nm); however, enhancing TFR values to 15 mL
207 per min or above reduced the particle sizes to a minimum of 40 ± 4 nm without affecting
208 samples homogeneity (around 0.25). The same trend was seen when particles zeta potential
209 was measured (Figure 5B) with the zeta potential at TFR 5 mL/min being more variable at low
210 (5 mL/min) TFRs, where charge was maintained slightly negative (around -30 mV). With
211 respect to the loading efficiency, using an initial 0.5 mg/mL protein concentration, the
212 capability was not significantly influenced by the total flow rate; however, less variability in
213 protein loading was seen at flow rates of 10 mg/mL or more with loading of 80 - 90 μ g/mL
214 (Figure 5C).

215 The release profiles of OVA from SLNs produced was also investigated. To study this, particles
216 were prepared from a FRR 3:1, TFR 10 mL/min and OVA initial concentration of 0,5mg/mL.
217 The results show that the SLNs give a rapid release of up to 90% within the first 24 h and the
218 release does not follow a zero-order profile. The release was also plotted as Ln cumulative
219 percentage of drug released vs time (Figure 6B) and the data suggests the release does not

220 follow a first-order model. This finding suggested that a combination of more than one
221 kinetics might drive protein release from SLNs.

222 **4. Discussion**

223 This work demonstrates that microfluidics technology can be employed as an alternative
224 method for the rapid and scalable production of solid lipid nanoparticles containing
225 hydrophilic molecules. Although there has been extensive work on delivery for hydrophobic
226 molecules using SLNs (due to their lipid-based matrix facilitating drug incorporation) their
227 applicability as water soluble carries has received less attention. Therefore, to consider this,
228 we have investigated these systems for the delivery of water soluble proteins (ovalbumin).
229 Our studies demonstrate that protein-loaded SLNs can be manufactured with their particle
230 size being process controlled. Previous studies on microfluidics confirmed the effect of flow
231 rate ratio on particles dimensions, in agreement with what has been reported in the present
232 work. ⁽⁵⁵⁻⁵⁷⁾ For example, cationic 1,2-dioleoyl-3-trimethylammonium-propane (DOTAP)
233 based liposomes formed at 1:5 solvent/aqueous formulation were smaller in size (50–75 nm)
234 compared to the 1:1 solvent/aqueous formulation (175–200 nm) ⁽⁵⁾. Furthermore, 1,2-
235 distearoyl-sn-glycero-3-phosphocholine (DSPC) and cholesterol liposomes formed at low flow
236 rate ratio (1:1) resulted to be larger in size (200 nm) with respect to their counterparts
237 formulated at higher FRRs (around 90–120 nm). ⁽⁹⁾ However, it was also seen that increasing
238 the FRR increased polydispersity; ⁽⁶⁾ a possible explanation to this phenomenon would be
239 related to the reduced particles fusion (Ostwald ripening) that occurred at higher FRR, due to
240 the lower amount of residual solvent. Thus, the formation of smaller monodisperse particles
241 in achieved. ⁽⁵⁸⁻⁵⁹⁾ On the other hand, at higher FRRs, a dilution of the organic phase occurred,
242 reducing the tendency of lipids to diffuse, with an effect on sample polydispersity; these

243 observations were confirmed by previous studies where a staggered herringbone mixer was
244 used ⁽⁶⁾. The higher is the FRR the lower is the lipid concentration and consequently the lower
245 is the rate of diffusion. This phenomenon led to partly incomplete nucleation and a lower rate
246 of particles formation. ⁽⁶⁰⁾

247 When considering the surface charge of the SLN particles, zeta potential values remained
248 unchanged along FRRs tested (around -20mV; Figure 2B) as expected; Tristearin or glyceryl
249 tristearate is a triglyceride derived from three units of stearic acid, without any charged group
250 at neutral pH. ⁽⁶¹⁻⁶³⁾ However, PEG-DSPE is a linear phospholipid, a block copolymer of a
251 hydrophobic part (DSPE) and a hydrophilic part (PEG). ⁽⁶⁴⁻⁶⁵⁾ The phosphoethanolamine group
252 is completely ionised at pH 7.4, giving the PEG a net negative charge. By adding PEG-DSPE to
253 SLNs formulation the distearoyl tail is incorporated to the tristearin solid layer; instead, the
254 hydrophilic PEG-phosphoethanolamine part remained on lipid surface, making particles
255 negatively charged. ⁽⁶⁶⁻⁶⁸⁾ It is also known that the addition of PEG may help the manufacture
256 of more homogeneous particles. ⁽⁶⁹⁾

257 In the development of manufacturing processes, production speed is important. Here we
258 demonstrate that total flow rates of 20 mL/min can easily be adopted with no effect on the
259 particle size or protein loading. This is in line with previous work on liposomes, where
260 increasing the flow rate from 10 to 20 mL/min had no effect on liposome size, PDI or protein
261 loading. ⁽⁷⁾ Within the microfluidic cartridge, even although the surface to volume ratio is
262 relatively high (due to the reduced dimensions of the channels), the Reynolds number ($Re=1$
263 $\rho v/\eta$) of liquid is quite low (around 1) ⁽⁷⁰⁻⁷¹⁾. In these conditions, the flow tends to be laminar
264 and driven by diffusive forces, with a direct consequence on mixing process speed ⁽⁷²⁾. To
265 overcome these issues, either the contact area or the contact time between solutions need

266 to be enhanced. To address this, the inner geometry of the cartage plays an important role
267 with the serpentine shape of the microchannel doubling the mixing efficiency of conventional
268 straight microchannel ⁽⁹⁾.

269 As part of production process, the residual ethanol concentration in the final product is one
270 of the characteristics that must be considered. Ethanol is a Class 3 solvent, which is
271 considered less toxic and a lower risk solvent compared to Class 1 and 2 solvents and within
272 pharmaceuticals levels of 50 mg per day or less (corresponding to 5000 ppm or 0.5%) being
273 acceptable without justification. ⁽⁷³⁻⁷⁵⁾. For laboratory production of SLNs, three methods
274 were considered and both TFF and dialysis can remove solvents to below the required ICH
275 levels (Figure 3). Whilst TFF was shown to give slightly lower recovery compared to the other
276 methods, TFF offers faster and scalable purification options. Tangential flow filtration is a
277 technique that utilizes a porous barrier to separate molecules in solution based on size or
278 molecular weight. Application of pressure across a known pore size column drives the
279 separation process. Smaller constituents pass through the barrier with the solvent as filtrate
280 while the larger solutes are retained ⁽⁷⁶⁾. In this technique the feed stream passes parallel to
281 the membrane face as one portion passes through the membrane (permeate) while the
282 remainder (retentate) is recirculated back to the feed reservoir. ⁽⁷⁷⁾ TFF has already been
283 demonstrated for the purification of other nanomedicines, for example, poly-vinyl alcohol
284 (PVA) and sodium cholate were shown to be efficiently removed from monomethoxy poly
285 (ethylene glycol) – poly (D, L-lactide-co-glycolide) (mPEG-PLGA) co-polymer nanoparticles
286 using TFF, without altering particles properties. ⁽⁷⁸⁾ Furthermore, tangential flow filtration was
287 applied as purification method for Poly (d, l-lactic acid) nanoparticles from poloxamer 188;
288 within this study the authors reported that purification of the nanoparticles from the excess

289 surfactant using tangential flow filtration enabled even better drying results when the
290 different sugars were studied. ⁽⁷⁹⁾

291 Considering protein loading within solid lipid nanoparticles, drug loading via microfluidics is a
292 passive mechanism, where a simultaneous dispersion of drug and lipids in the aqueous phase
293 occurs and microfluidics can promote higher encapsulation efficiency compared to
294 conventional techniques. Herein, we achieved high protein (OVA) loading even at lower OVA
295 concentrations (around 40% LE at 0.1 mg/mL initial [OVA]) (Figure 4 and 5). This is higher than
296 commonly reported for SLNs manufactured by other methods. For example, it has been seen
297 that egg lecithin and stearic acid based SLNs made through warm microemulsion were able
298 to encapsulate below 5% of the water soluble immunostimulant Thymopentin ⁽⁸⁰⁾. The same
299 technique was applied for cyclosporine loading into SLNs made of a mixture of stearic acid
300 and Epikuron 200[®]. However, the loading efficiency was not promising (just 13%) ⁽⁸¹⁾. Further,
301 supercritical fluids (e.g. CO₂) were applied to SLN manufacturing. However, many papers
302 reported that the entrapment efficiency of small peptides – e.g. Insulin – within Tristearin
303 based SLNs was very low (<3%) ⁽⁸²⁻⁸³⁾. This improved loading via microfluidics has also been
304 shown with other nanoparticle systems, for example, 1,2-distearoyl-sn-glycero-3-
305 phosphocholine (DSPC) and cholesterol liposomes made by microfluidics gave 30 % protein
306 loading (0.18 mg/mL initial OVA concentration). This is in comparison to below 5 % with lipid
307 hydration and extrusion or sonication. ⁽⁷⁾ Furthermore, the concentration of sulforhodamine
308 B in liposomes prepared with microfluidics was found to be unexpectedly high due to a spatial
309 concentration enhancement induced by viscosity anisotropy in the microchannel. ⁽⁸⁴⁻⁸⁵⁾
310 Therefore, the combination of the fast production step and higher entrapment efficiency of
311 protein demonstrates the suitability of microfluidics as effective alternative method for
312 protein loaded solid lipid nanoparticles. In terms of release from the SLNs (Figure 6), the

313 majority of the protein was released within 24 h. The initial fast release of OVA maybe
314 resulting from OVA associated at the surface layer of the solid lipid nanoparticles ⁽⁸⁶⁾ and the
315 PEG coating on SLNs surface could accelerate the release of proteins or drugs from lipid
316 matrix. It has been seen ⁽⁸³⁾ that PEGylated particles showed a faster protein release with an
317 initial burst, probably due to protein diffusion through polymer pores and impaired protein
318 interaction with lipophilic molecules ⁽⁸⁷⁻⁸⁸⁾. The release profile of OVA from these SLNs may
319 be of advantage in the delivery of antigens with the initial fast release facilitating the immune
320 system priming.

321 **5. Conclusions**

322 In this paper, we have demonstrated a microfluidic manufacturing process that is scalable
323 from small laboratory scale to scale-independent processes. Both the total flow rate and the
324 flow rate ratio were identified as critical process parameters and SLNs were produced with
325 particles physicochemical attributes suitable for pre-clinical and potentially clinical
326 application.

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331

332 **7. Supporting information Available**

333 Data presented in this publication can be found at [DOI to be confirmed on acceptance of
334 manuscript].

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580

581 **Tables.**

582 **Table 1.** Examples of research investigating the use of microfluidics technology for the
 583 manufacture of nanoparticles.

Title	Application	Year	Ref
Microfluidic Production of Alginate Hydrogel Particles for Antibody Encapsulation and Release	Alginate Hydrogel Particles	2015	14
Controlled and tunable polymer particles' production using a single microfluidic device	Polymeric nanoparticles	2018	15
Chemotherapy drugs derived nanoparticles encapsulating mRNA encoding tumor suppressor proteins to treat triple-negative breast cancer	Lipid nanoparticle	2019	16
MicroRNAs Enable mRNA Therapeutics to Selectively Program Cancer Cells to Self-Destruct	Lipid nanoparticle	2018	17
A Single Administration of CRISPR/Cas9 Lipid Nanoparticles Achieves Robust and Persistent In Vivo Genome Editing	Lipid nanoparticles	2018	18
High-throughput manufacturing of size-tuned liposomes by a new microfluidics method using enhanced statistical tools for characterization	Liposomes	2014	5
Microfluidic-controlled manufacture of liposomes for the solubilisation of a poorly water soluble drug	Liposomes	2015	6
Rapid and scale-independent microfluidic manufacture of liposomes entrapping protein incorporating in-line purification and at-line size monitoring	Liposomes	2019	7
Formation and purification of tailored liposomes for drug delivery using a module-based micro continuous-flow system	Liposomes	2017	8
Microfluidics based manufacture of liposomes simultaneously entrapping hydrophilic and lipophilic drugs	Liposomes	2016	9
Liposome production by microfluidics: potential and limiting factors	Liposomes	2016	19
Microfluidic manufacturing of phospholipid nanoparticles: Stability, encapsulation efficacy, and drug release	Liposomes	2016	20
Microfluidic synthesis of multifunctional liposomes for tumour targeting	Liposomes	2016	21
Imidazole Ketone Erastin Induces Ferroptosis and Slows Tumor Growth in a Mouse Lymphoma Model	Polymeric nanoparticles	2019	22
Microfluidic Production and Application of Lipid Nanoparticles for Nucleic Acid Transfection	Lipid nanoparticles	2018	23
Rapid transport of deformation-tuned nanoparticles across biological hydrogels and cellular barriers	Polymeric nanoparticles	2018	24

The Use of an Efficient Microfluidic Mixing System for Generating Stabilized Polymeric Nanoparticles for Controlled Drug Release	Polymeric nanoparticles	2018	25
Microfluidic Platform for Controlled Synthesis of Polymeric Nanoparticles	Polymeric nanoparticles	2008	26
Microfluidic synthesis of highly potent limit-size lipid nanoparticles for in vivo delivery of siRNA.	Lipid nanoparticles	2012	27
Zebrafish as a predictive screening model to assess macrophage clearance of liposomes in vivo	Liposomes	2019	28
STAT3 inhibition specifically in human monocytes and macrophages by CD163-targeted corosolic acid-containing liposomes	Liposomes	2019	29
N-Oxy lipid-based click chemistry for orthogonal coupling of mannan onto nanoliposomes prepared by microfluidic mixing: Synthesis of lipids, characterisation of mannan-coated nanoliposomes and in vitro stimulation of dendritic cells	Liposomes	2018	30
Hyaluronic acid surface modified liposomes prepared via orthogonal aminoxy coupling: synthesis of nontoxic aminoxy lipids based on symmetrically α -branched fatty acids	Liposomes	2018	31
mRNA-Lipid Nanoparticles: A potent tool for manipulating neuronal genes	Lipid nanoparticles	2017	32
Microfluidic manufacturing improves polydispersity of multicomponent polymeric nanoparticles	Polymeric nanoparticles	2019	33
Microfluidic preparation of PLGA microspheres as cell carriers with sustainable Rapa release	Polymeric nanoparticles	2019	34
Microfluidic preparation of drug-loaded PEGylated liposomes, and the impact of liposome size on tumour retention and penetration	Liposomes	2019	35
Microfluidic-assisted nanoprecipitation of (PEGylated) poly (d,l-lactic acid-co-caprolactone): Effect of macromolecular and microfluidic parameters on particle size and paclitaxel encapsulation	Polymeric nanoparticles	2018	36
Design, production and optimization of solid lipid microparticles (SLM) by a coaxial microfluidic device	Solid lipid microparticles	2012	37
Dynamic Pattern Formation in a Vesicle-Generating Microfluidic Device	reverse micelles	2001	38
Synthesis of silver nanoparticles in a continuous flow tubular microreactor	Silver nanoparticles	2004	39

584

585

586 **Figure legends**

587 **Figure 1.** Microfluidic and solid lipid nanoparticles publications in engineering,
588 multidisciplinary, and biology and medicine journals from 1980 to 2019.

589 **Figure 2.** A) Size (columns) and PDI (dots) and B) Intensity plot of SLNs made by Nanoassemblr
590 and sized after dialysis purification method. FRR 1:1 (black solid line), 3:1 (black dashed line)
591 and 5:1(gray solid line) were represented and C) Zeta-potential of Tristearin: mPEG-DSPE SLNs
592 using Nanoassemblr after dialysis. Formulations with TFR 10 mL/min and FRR from 1:1 to 5:1
593 had been tested. Results are expressed as the means of three experiments \pm S.D.

594

595 **Figure 3.** Purification of SLNs. Formulations were prepared using a TFR of 10 mL/min and FRR
596 of 1:1. A) Lipid recovery of Tristearin: mPEG-DSPE SLNs after spin column, dialysis and TFF
597 purification and B) Residual solvent after spin column, dialysis and TFF expressed as
598 percentage of remained ethanol (mL%). All data were normalised by IPA standard peaks area.
599 Results are expressed as the means of three experiments \pm S.D.

600

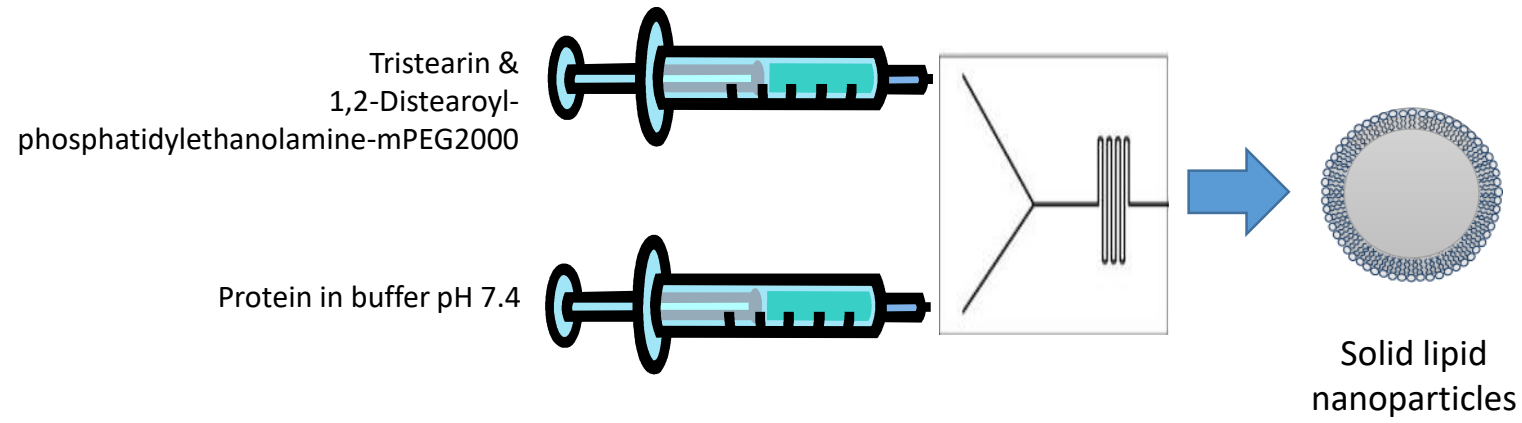
601 **Figure 4.** The effect of digestion method on entrapment. Solid lipid nanoparticles
602 encapsulating 0.1, 0.5 and 1 mg/mL initial OVA content were formulated using the
603 Nanoassemblr platform. A) Protein encapsulation efficiency was determined after 3 min, 6
604 hours and 24 hours post IPA digestion. Protein incorporation also expressed as B) Protein
605 loading efficiency (%) and C) Loading capacity (wt OVA/wt Tristearin). Results are expressed
606 as the means of at least four experiments \pm SD.

607

608 **Figure 5.** A) Size (columns), PDI (dots), B) Zeta potential and C) Loading capacity (μ g/mL) of
609 OVA loaded SLNs. Initial protein concentration was 0.5 mg/mL. FRR was set up at 3:1 while
610 TFR were increased between 5 and 20 mL/min. Results are expressed as the means of at least
611 four experiments \pm SD.

612

613 **Figure 6.** A) The cumulative release profile of OVA under physiological conditions from SLNs
614 (PBS buffer, pH = 7.4, at 37°C). B) Data was also replotted according to first-order models.
615 Results represent percentage cumulative release of initially incorporated OVA and are
616 expressed as the means of three experiments \pm SD.



Checklist of CPPs

- ✓ Protein concentration
- ✓ Flow rate ratio
- ✓ Total flow rate

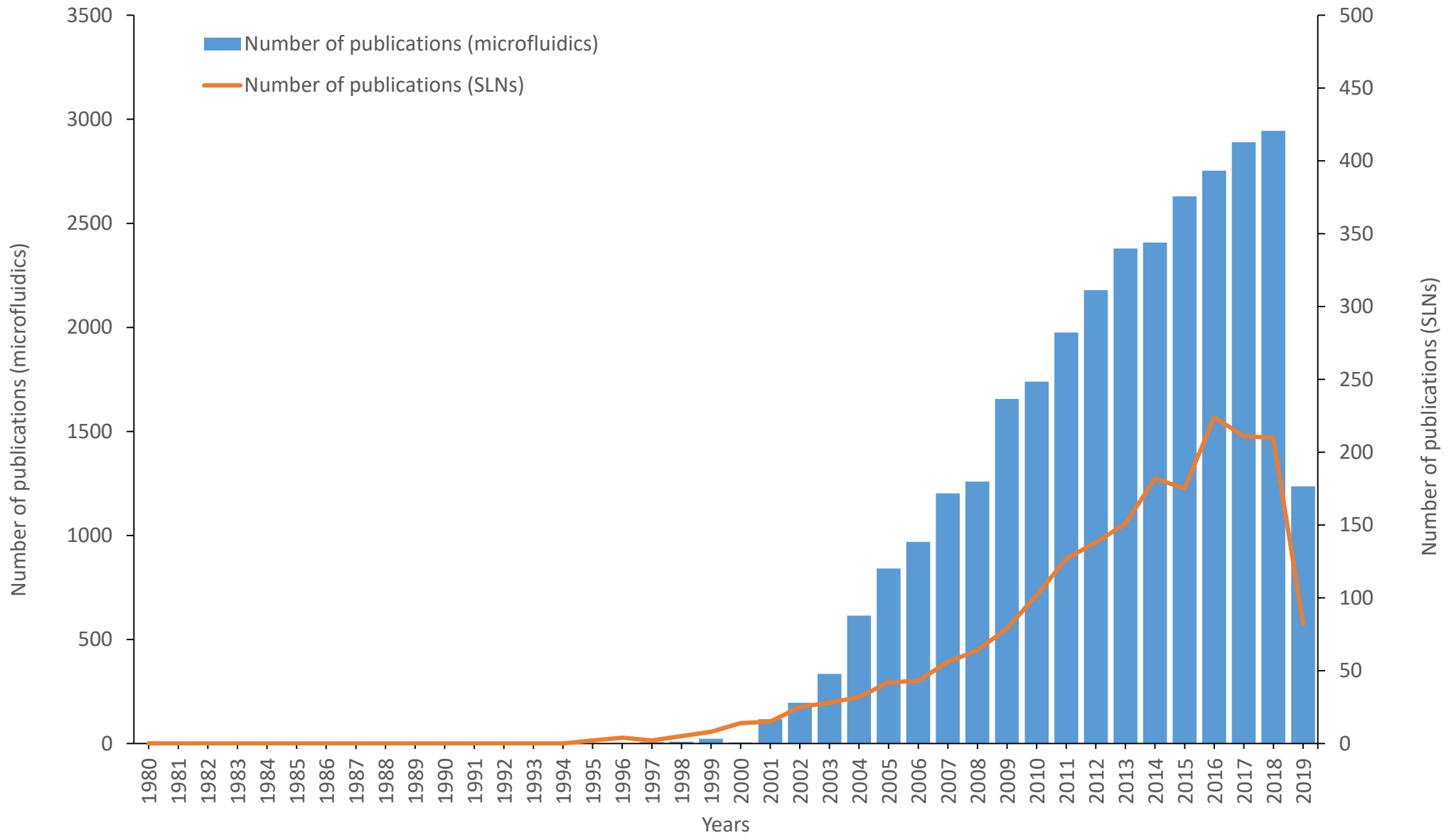


Figure 1

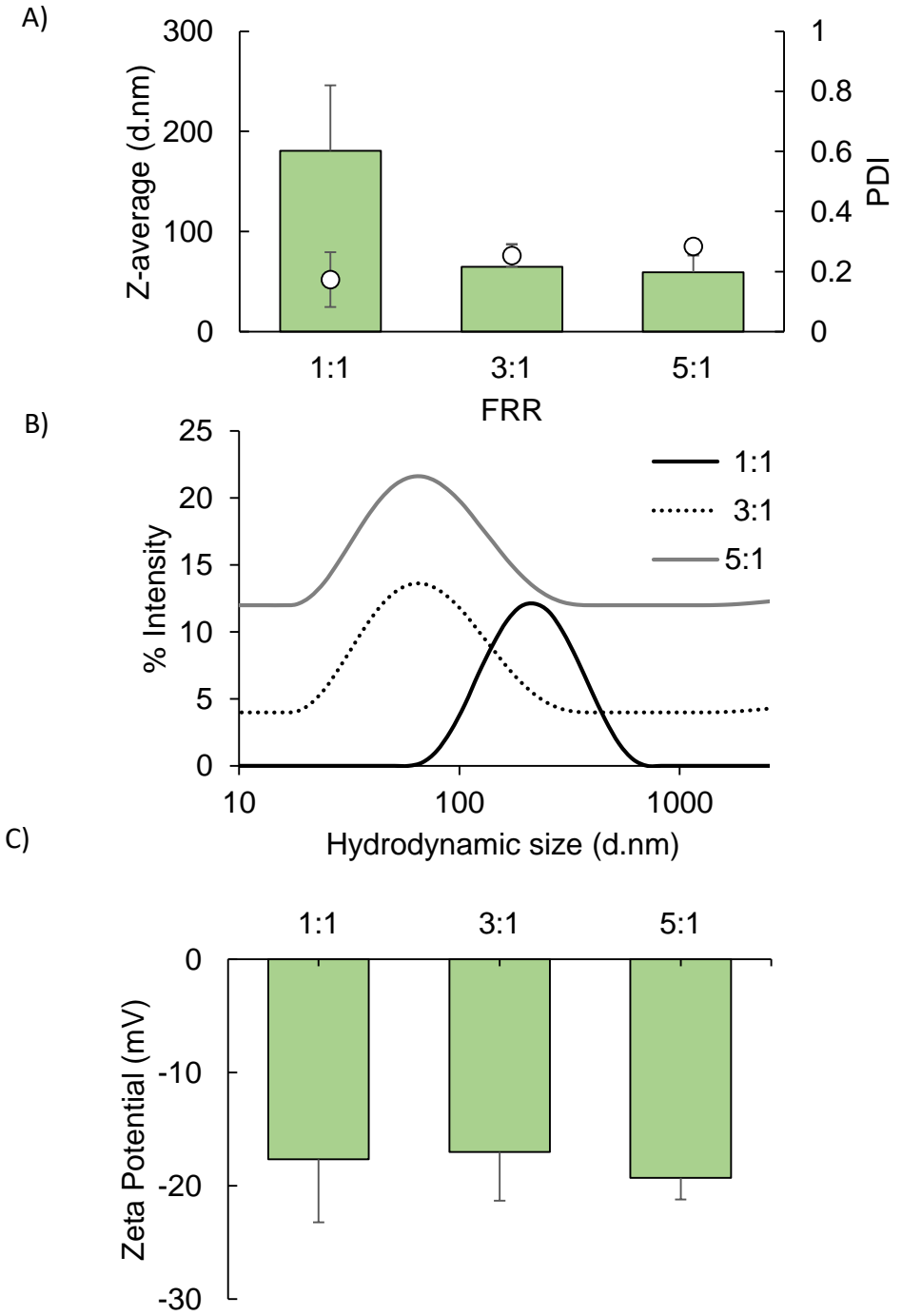


Figure 2

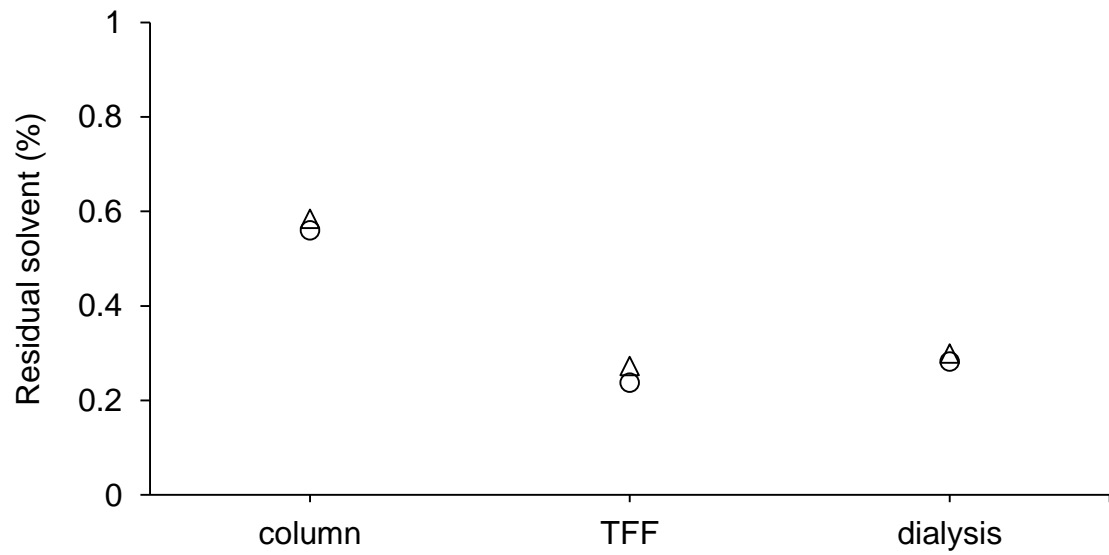
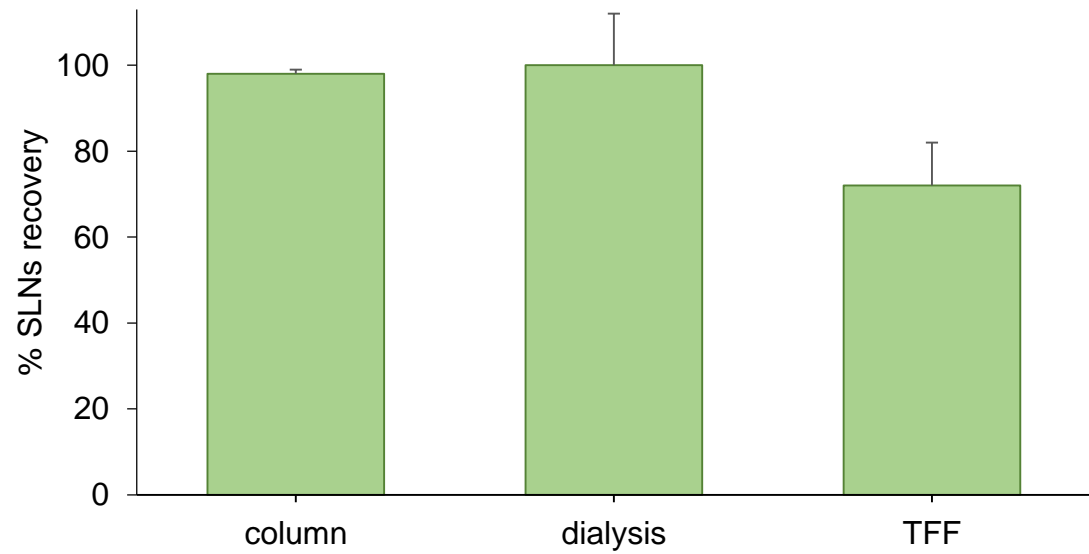


Figure 3

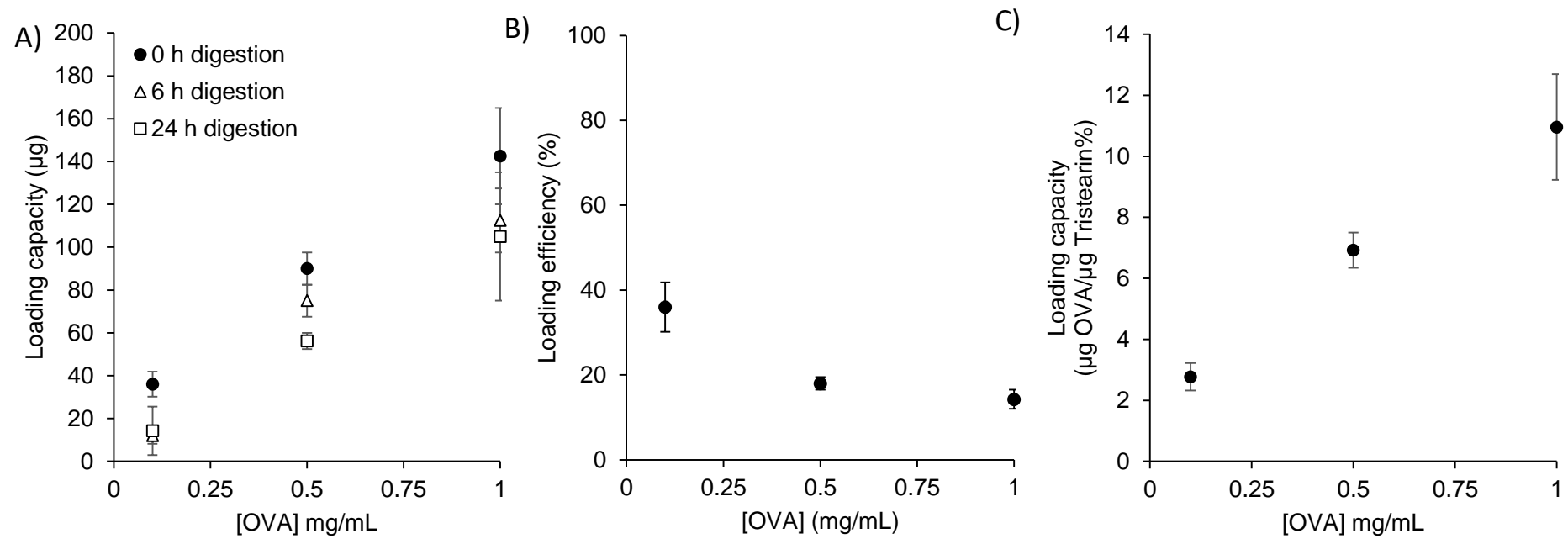


Figure 4

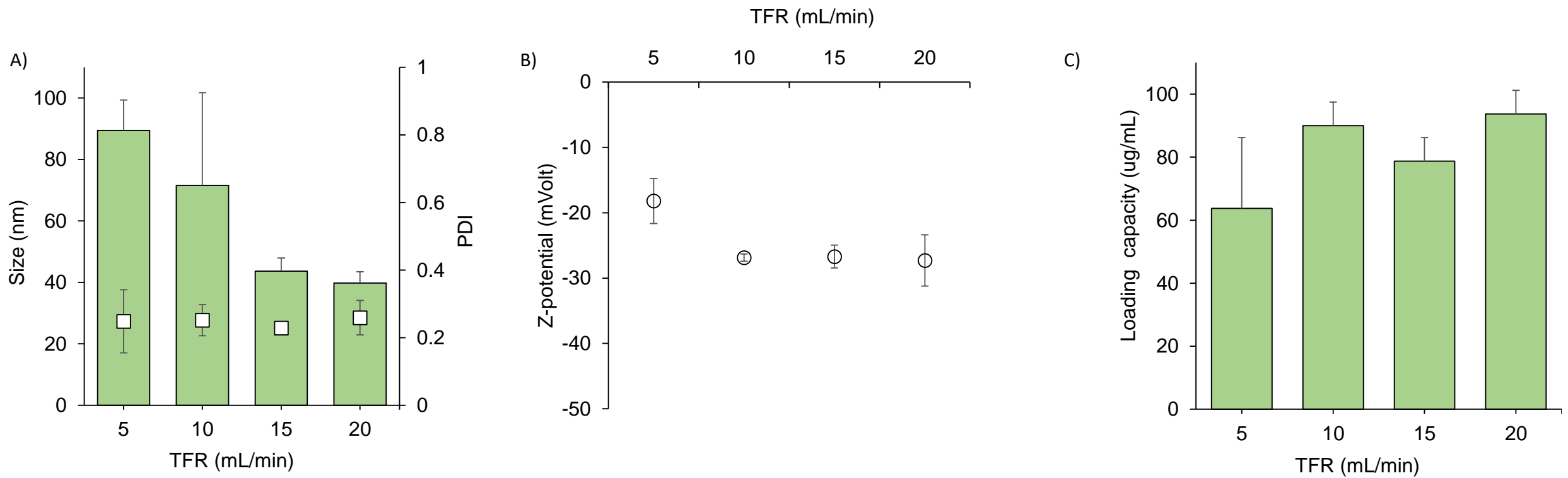


Figure 5

