

1 Manufacture of Solid Oral Dosage Forms with Micro-structure  
2 Features via Rapid Tooling Injection Moulding

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7 **Abstract**

With advancements in the pharmaceutical industry pushing more towards tailored medicines, novel approaches to tablet manufacture are in high demand. This study demonstrates the use of Rapid Tooling Injection Moulding (RTIM) as a tablet manufacture process. A number of polymeric formulations were trialled to produce three different tablet geometries. The surface area of these designs was altered while the volume was maintained, resulting in three different specific surface areas. Mass variability of the tablets produced was found to be low and well within pharmacopoeia limits. For the majority of the formulations tested the dimensions of the tablets produced were true to the digital design. The RTIM process has demonstrated its ability to produce tablets of defined specific surface area which is of particular interest for the modification of drug dissolution profiles.

8 *Keywords:* dissolution, injection moulding, rapid tooling, specific surface area, additive  
9 manufacture

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10 **1. Introduction**

11 It is widely accepted that the pharmaceutical industry needs to be open to advancing  
12 and developing both current and novel technologies in order to continue to meet the  
13 demands and rigorous regulations within the industry (Goyanes et al., 2015). As the  
14 pharmaceutical industry steps away from traditional large scale industrial production  
15 and towards a more personalised approach to medicine the potential of novel manufacture

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16 techniques is clear (Alomari et al., 2015). One such technique is additive manufacture,  
17 commonly referred to as 3D printing. This technique has demonstrated its ability to  
18 produce tablets with differing geometries and has linked these to the drug release profiles  
19 produced (Goyanes et al., 2015; Karasulu and Ertan, 2002). This work aims to further  
20 expand on this area of research by combining both additive manufacture and injection  
21 moulding techniques as a method for tablet production. This process is known as Rapid  
22 Tooling Injection Moulding (RTIM).

23 The RTIM process utilises heat to encourage a thermoplastic material to adopt the  
24 desired geometry. Thermoplastics are a particularly large collection of materials which  
25 all have unique thermal, mechanical and electrical characteristics and therefore they do  
26 not all behave identically (Giboz et al., 2007; Hecke and Schomburg, 2004). The differ-  
27 ing material properties of these thermoplastic materials therefore need to be understood  
28 to utilise them effectively in an RTIM process. Pressure-volume-temperature (PVT) be-  
29 haviour, polymer structure and morphology and material crystallinity are all material  
30 properties that will have a major impact on the IM process (Annicchiarico and Alcock,  
31 2014). The rheological behaviour of the polymer when molten or softened is particularly  
32 important in the RTIM process. Rheology is the study of material deformation under  
33 force (Satin and Bílik, 2016). Rheological characterisation of molten polymers is a com-  
34 monly used analysis in process monitoring, quality control, process design and also in  
35 modelling and simulation (Zhang and Gilchrist, 2012). To understand the rheological  
36 behaviour of a material you can either observe how the material deforms under a given  
37 force, or determine the force required to achieve the desired deformation (Satin and Bílik,  
38 2016). When studying material rheology, the first property to consider is whether the  
39 material acts as a Newtonian or a non-Newtonian fluid. The rheological behaviour of  
40 Newtonian fluids (linear elastic materials) is far simpler to understand and a general  
41 equation can be used to describe how these materials will react to deformation (Satin  
42 and Bílik, 2016). A constitutive equation or rheological equation of state can therefore  
43 be produced for Newtonian fluids which describes their flow (Satin and Bílik, 2016). Not  
44 all materials behave in this way and understanding the rheology of non-Newtonian fluids  
45 is far more complex. Molten thermoplastics are examples of non-Newtonian fluids and  
46 as such, they are rheologically complex and can exhibit interesting rheological properties

47 (Satin and Bílik, 2016). One of the major differences observed between Newtonian and  
48 non-Newtonian fluids is their viscosity. Viscosity is defined as shear stress divided by  
49 shear rate and this can be seen in Equation 1 from (Newton, 1687).

$$\tau = \eta \cdot \dot{\gamma} \rightarrow \eta = \frac{\tau}{\dot{\gamma}} [Pa \cdot s] = \left[ \frac{Pa}{\frac{1}{s}} \right] \quad (1)$$

50 Where  $\tau$  is the shear stress,  $\eta$  is the dynamic viscosity,  $\dot{\gamma}$  is the shear rate,  $Pa$  is  
51 pressure and  $s$  is time in seconds.

52 For Newtonian fluids, viscosity is independent of time and only temperature, pressure  
53 and molecular properties of the material itself impact the speed shear deformation (Satin  
54 and Bílik, 2016). A number of process parameters involved in IM will therefore impact  
55 the viscosity of the thermoplastic material such as shear stress, shear rate, temperature  
56 and pressure. Non-newtonian injection materials demonstrate significant changes to their  
57 melt viscosity with relatively small variation in the shear rate making the prediction of  
58 process parameters difficult. This change in melt viscosity is due to the entanglement and  
59 disentanglement of polymer chains when the external forces of the injection moulding  
60 process are applied (shear) (Kashyap and Datta, 2015). Additionally, there is some  
61 evidence which suggests that the viscosity of molten thermoplastics is lower in the micro-  
62 channels of a  $\mu$ -IM mould than is measured using a capillary rheometer (Zhang and  
63 Gilchrist, 2012). This is of particular importance for RTIM as the mould channels fall  
64 into this micro-range.

65 Material for IM is often prepared via hot melt extrusion (HME). HME is a technique  
66 used to combine materials to achieve sufficient mixing through the use of heating and  
67 shear stress. The viscosity of the molten mixtures is also important in the HME process.  
68 It must be low enough to not exceed the torque capability of the extruder but also must  
69 be sufficient to allow proper mixing (Verstraete et al., 2016). HME processing is typically  
70 possible with complex viscosity values between 1,000 and 10,000 Pa s (Verstraete et al.,  
71 2016). It is a fair assumption that a formulation that is processable by HME should also  
72 be processable by macro-IM, however a lower viscosity may be required for micro-IM  
73 techniques such as the RTIM involved in this work. Polymers which demonstrate good  
74 melt flow properties are typically preferred for RTIM. These materials typically have a  
75 low viscosity and include polypropylene (PP), polyethylene (PE), polyether ether ketone

76 (PEEK) and cyclic olefin copolymer (COC) (Packianather et al., 2015).

77 The objectives of this work are to develop a process for producing solid oral dosage  
78 forms (tablets) with micro-features designed to control specific surface area using the  
79 RTIM technique. Three different tablet geometries will be produced. These dosage  
80 forms will be comprised of pharmaceutical grade polymers (with the exception of one  
81 reference material) which are typically used in hot melt extrusion, injection moulding  
82 and additive manufacturing. The processability of a number of materials will be assessed  
83 as will the accuracy and precision of the process in reference to the digital design of the  
84 tablets.

## 85 **2. Materials and Methods**

### 86 *2.1. Materials*

#### 87 *2.1.1. Stereolithography Additive Manufacture*

88 The photoresin used in this work is Clear v4 from Formlabs (Massachusetts, USA)  
89 based on the findings from (Walsh et al., 2021). Isopropyl alcohol (Sigma Aldrich, USA)  
90 is used to wash the moulds post-printing.

#### 91 *2.1.2. Rapid Tooling Injection Moulding*

##### 92 *Raw Materials*

93 A number of raw materials were used in this work. The names, supplier details and  
94 acronyms are detailed in Table 1. The acronym for each material will be used throughout  
95 this manuscript to refer to a particular material.

96 For a number of formulations, a aerosol silicone-based lubricant is required to aid  
97 removal from the mould (WD-40, USA).

##### 98 *Extrudates*

99 A number of the formulations used in this work require preparation via hot melt extru-  
100 sion. A series of formulations comprised solely of polymers or polymers with plasticising  
101 agents were produced and are detailed in Table 2. All formulation ratios are by weight.

Table 1: List of raw materials, their supplier details and their acronym codes that will be used in this manuscript.

Material	Supplier	Acronym
Affinisol HPMC HME 15LV	The Dow Chemical Company, USA	AFF
Eudragit E PO	Evonik, Germany	EPO
Klucel EF	Ashland, USA	KEF
Klucel ELF	Ashland, USA	KELF
Klucel LF	Ashland, USA	KLF
Low-density Polyethylene	Sigma Aldrich, USA	LDPE
Polyethylene	Sigma Aldrich, USA	PE
Polyethylene Glycol 4000	Sigma Aldrich, USA	PEG
Polyvinyl alcohol	Sigma Aldrich, USA	PVA
Soluplus <sup>®</sup>	BASF, Germany	SOL
Sorbitol Emprove Parteck SI 150	Merck, USA	SOR
Stearic Acid	Sigma Aldrich, USA	SA

102 *2.2. Methods*

103 *2.2.1. Stereolithography Additive Manufacture*

104 Mould inserts were printed using the Form 2 (Formlabs, Massachusetts) stereolithog-  
 105 raphy (SLA) printer. The moulds are printed at a 45° angle from the build platform.  
 106 On completion of printing, the moulds are washed in isopropyl alcohol in the agitated  
 107 wash bath for a period of 10 minutes before being left to dry completely. The moulds are  
 108 then removed from the build platform and placed in the FormCure for 60 minutes at 60  
 109 °C. Supporting material is removed and any surface roughness on the rear of the mould  
 110 surface is lightly sanded. Further detail on the method used can be found in Walsh et al.  
 111 (2021).

112 *2.2.2. Design of Tablet Geometries*

113 Three tablet geometries were produced for this study (see Figure 1). Conical frustrum  
 114 shaped pins (see Figure 2b) are added to the designs in increasing number ( $n = 2, 6$  or  
 115 10). In order to maintain the tablet mass across all three designs for a formulation,

Table 2: List of polymer-based formulations and their acronyms that will be used in this manuscript.

Primary Polymer	Plasticiser	Prep Method	Acronym
Affinisol	-	HME	AFF
Affinisol (85%)	Polyethylene Glycol (15%)	HME	AFF/PEG 85/15
Affinisol (85%)	Stearic acid (15%)	HME	AFF/SA 85/15
Affinisol (85%)	Polyethylene (15%)	HME	AFF/PE 85/15
Eudragit EPO (85%)	Polyethylene Glycol (15%)	HME	EPO/PEG 85/15
Klucel EF	-	HME	KEF
Klucel ELF	-	HME	KELF
Klucel LF	-	HME	KLF
LDPE	-	Pellets	LDPE
Polyvinyl Alcohol	-	HME	PVA
Soluplus (85%)	Sorbitol (15%)	HME	SOL/SOR 85/15

116 the volume of the three designs must be kept constant. In order to achieve this, the  
117 diameter of the tablets is adjusted to account for any change in volume resulting from  
118 the introduction of the pins. The thickness of each tablet is kept constant for all three  
119 designs as are the dimensions of each pin.

120 The surface area of the tablets is calculated using the following equation:

$$A_{tab} = 2\pi r_{cyl} h_{cyl} + 2\pi r_{cyl}^2 + n\pi \left[ r_{pin1}^2 - r_{pin2}^2 + (r_{pin1} + r_{pin2}) \sqrt{(r_{pin1} - r_{pin2})^2 + h_{pin}^2} \right] \quad (2)$$

(3)

121 Where  $A_{tab}$  is the tablet surface area,  $r_{cyl}$  is the radius of the cylinder,  $h_{cyl}$  is the  
122 height of this cylinder,  $n$  is the number of pins,  $r_{pin1}$  is the top radius of the pin,  $r_{pin2}$   
123 is the bottom radius of the pin and  $h_{pin}$  is the depth of the pin.

124 The volume of the tablets is calculated using the following equation:

$$V_{tab} = 2\pi r_{cyl}^2 h_{cyl} - \left( \frac{1}{3} \pi n h_{pin} (r_{pin1}^2 + r_{pin2}^2 + r_{pin1} r_{pin2}) \right) \quad (4)$$

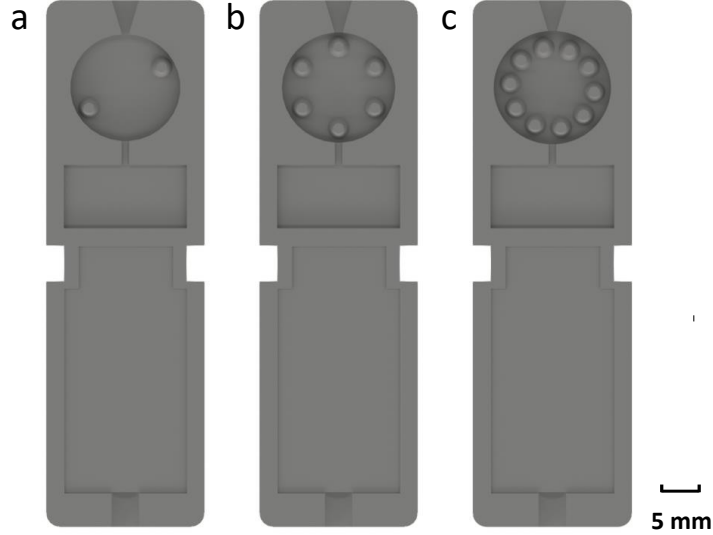


Figure 1: The three mould designs used in this study. a) 2 Pin b) 6 Pin c) 10 Pin.

125 Where  $V_{tab}$  is the tablet volume,  $r_{cyl}$  is the radius of the cylinder,  $h_{cyl}$  is the thickness  
 126 of the cylinder,  $n$  is the number of pins,  $h_{pin}$  is the depth of the pin,  $r_{pin1}$  is the top  
 127 radius of the pin and  $r_{pin2}$  is the bottom radius of the pin.

128 The specific surface area is calculated using:

$$SSA_{tab} = \frac{A}{V} \quad (5)$$

129 Full details of the tablet dimensions produced from these designs can be found in  
 130 Table 3.

### 131 2.2.3. Rapid Tooling Injection Moulding

132 The Rapid Tooling Injection Moulding (RTIM) process couples SLA with Injection  
 133 Moulding (IM). Mould inserts, produced via SLA, are housed within a metal mould  
 134 casing (see Figure 3). Also visible are a number of design features on the printed mould  
 135 insert to make it suitable for use in the RTIM process. The tablet cavity is the section of  
 136 the mould insert which will produce the solid oral dosage form. The air cavity provides  
 137 an overfill space for any excess injection material and offers a space for the air to compress  
 138 upon moulding. The removal points can be found on each side of the mould, these aid in

Table 3: Summary table of tablet dimensions.

Design Feature	2 Pin Design	6 Pin Design	10 Pin Design
Diameter (mm)	15.23	15.69	16.12
Thickness (mm)	3	3	3
Volume (mm <sup>3</sup> )	530.14	530.14	530.14
Surface Area (mm <sup>2</sup> )	510.38	540.23	568.71
Number of Pins	2	6	10
Pin Depth (mm)	2	2	2
Pin Radius 1 (mm)	1.5	1.5	1.5
Pin Radius 2 (mm)	0.75	0.75	0.75
Specific Surface Area (mm <sup>2</sup> /mm <sup>3</sup> )	1.0	1.12	1.24

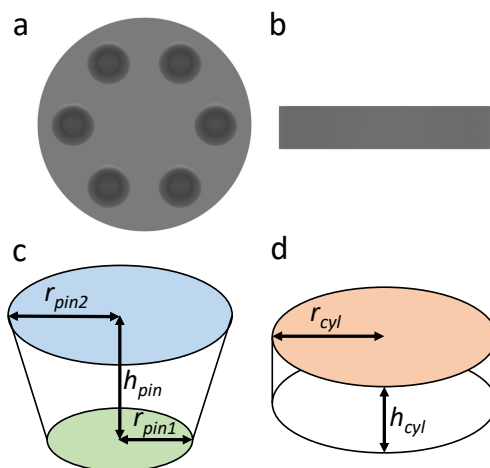


Figure 2: L: A rendering of the tablet design produced by the 6 Pin Design R: A close-up of the pins including details of the radii.

139 removing the mould inserts from the metal moulds. The separation point at the bottom  
 140 of the mould inserts is used to separate the two halves of the mould insert.

141 The two halves of the metal mould were pieced together and placed into the HAAKE  
 142 MiniJet Pro Piston Injection Moulding System (Thermo Fisher Scientific, USA) which



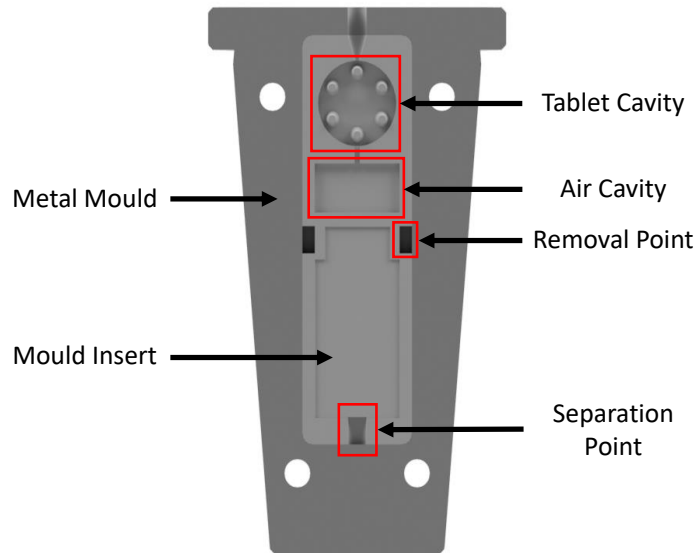


Figure 3: The mould insert for the 6 Pin Design inserted into the metal mould. This depiction represents one half of the full mould.

143 is an upright gas-pressurised injection moulder. The injection material is placed into the  
 144 melt cylinder, the piston is attached and this is then placed into the injection moulder.  
 145 A number of processing parameters must be set:

- 146 • Cylinder Temperature - *This is the temperature to which your injection material*  
 147 *will be heated to.*
- 148 • Mould Temperature - *This is the temperature to which your mould will be heated*  
 149 *to.*
- 150 • Injection Pressure - *This is the pressure which will be applied to the piston to move*  
 151 *the injection material into the mould.*
- 152 • Injection Time - *This is the length of time for which your injection pressure will be*  
 153 *applied.*
- 154 • Hold Pressure - *This is the pressure which will be applied after the injection material*  
 155 *has filled the mould.*

156 • Hold Time - *This is the length of time for which your hold pressure will be applied.*

157 These processing parameters will vary for different injection materials. These are  
158 detailed in Table 4. The injection time, hold pressure and hold time for the formulations  
159 were kept constant at 10 s, 50 bar and 10 s respectively.

Table 4: RTIM process parameters used for each of the formulations. Formulations marked with \* required the addition of a silicone based lubricant.

<b>Formulation</b>	<b>Cylinder Temp</b>	<b>Mould Temp</b>	<b>Injection Pressure</b>
AFF*	N/A	N/A	N/A
AFF/PEG 85/15*	200 °C	100 °C	150 bar
AFF/SA 85/15*	180 °C	100 °C	150 bar
AFF/PE 85/15*	180 °C	100 °C	150 bar
EPO/PEG 85/15*	N/A	N/A	N/A
KEF	140 °C	70 °C	150 bar
KELF	140 °C	70 °C	150 bar
KLF	140 °C	70 °C	150 bar
LDPE	150 °C	100 °C	150 bar
PVA*	200 °C	70 °C	200 bar
SOL/SOR 85/15*	N/A	N/A	N/A

160

161 A number of formulations also required the application of a silicone based lubricant  
162 onto the surface of the mould inserts to aid removal of the injected material. These  
163 formulations are marked with an \* in the above table.

164 Three formulations were found to be unprocessable via this RTIM process namely  
165 AFF, EPO/PEG 85/15 and SOL/SOR 85/15. As such, no further data is presented on  
166 these formulations.

167 Upon completion of injection, the metal mould is removed from the injection moulder.  
168 The metal mould is then opened and the mould insert removed. When sufficiently cooled,

169 the mould insert is then opened and the tablet removed from the mould.

#### 170 *2.2.4. Gravimetric Analysis*

171 All tablets produced were weighed on a 4 decimal point balance. The masses reported  
172 reflect the average of each batch produced. The mean and standard deviations reported  
173 are for  $n = 20$  tablets (LDPE formulations) or  $n = 6$  (all other formulations).

#### 174 *2.2.5. Dimensional Analysis*

175 The width and height of each tablet was measured using digital callipers. A total of  
176 three diameter and three thickness measurements were taken for each tablet, the mea-  
177 surements shown are an average of these replicates. The mean and standard deviations  
178 reported are for  $n = 20$  tablets (LDPE formulations) or  $n = 6$  (all other formulations).

#### 179 *2.2.6. Optical Coherence Tomography*

180 The technique used in this work features Spectral Domain Optical Coherence Tomog-  
181 raphy (OCT) and utilises the Thorlabs (GAN600 Series)(New Jersey, USA). This tech-  
182 nique produces cross-sectional images of a sample which can be used for depth measure-  
183 ments. Measurements can be one-dimensional, two-dimensional or three-dimensional.  
184 For this work, two-dimensional measurements are used. The OCT is focused over the  
185 pins on the tablet surfaces. The focus is adjusted to ensure a strong signal. The diam-  
186 eters at both the top and bottom surfaces of the pins are measured as is the depth of  
187 each pin.

### 188 **3. Results**

#### 189 *3.1. Rapid Tooling Injection Moulding*

#### 190 *3.2. Gravimetric Analysis*

191 The mass of each tablet manufactured was recorded and the averages and standard  
192 deviations for each formulation are displayed in Figure 4a. No data is shown for the  
193 AFF, EPO/PEG 85/15 and SOL/SOR 85/15 formulations as they were unprocessable  
194 via this RTIM process.

195 The mass across the three designs should not vary significantly for any given formu-  
196 lation as the volume should be constant across all designs (this data can be found in

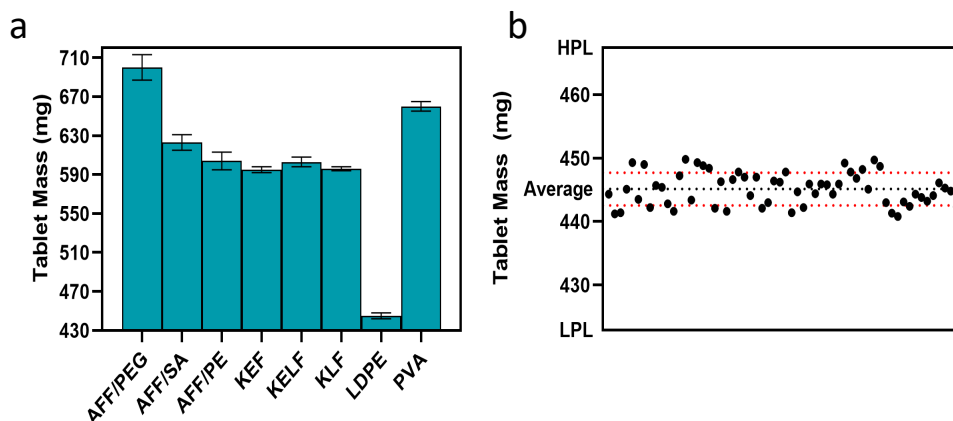


Figure 4: a) The average mass of all tablets for each formulation. Error bars represent the standard deviation ( $n = 60$  for LDPE,  $n = 18$  for all other formulations) b) The mass of 60 LDPE tablets (20 per design). The black dotted line represents the average tablet weight of this batch with the upper and lower red dotted lines being the average plus or minus the standard deviation respectively. HPL represents the higher pharmacopoeia limit (in this case taken as tablet weight +5%) and LPL is the lower pharmacopoeia limit (average tablet weight -5%).

197 Table S4 and Figure S1 in the supplementary information). The average mass does vary  
 198 from one formulation to the next which is to be expected as the formulations will have  
 199 different densities. The variation in mass observed across all formulations and all designs  
 200 is well within the pharmacopoeia standards for tablet mass variation (The International  
 201 Pharmacopoeia, 2019). Generally, a higher degree of variation was observed for the  
 202 formulations which are Affinisol based. This is unsurprising as these formulations were  
 203 difficult to process and the tablets produced had a tendency to stick to the mould surface  
 204 if not removed while warm. This early removal from the mould could be responsible for  
 205 the slightly reduced uniformity of mass compared with the other formulations tested.

206 Figure 4b demonstrates the tablet to tablet variability within the LDPE tablets.  
 207 As all three designs should have equal mass, a total of 60 tablets are displayed here.  
 208 The upper and lower limits on the  $y$ -axis represent the allowed deviation as per The  
 209 International Pharmacopoeia limits of  $\pm 5\%$  (The International Pharmacopoeia, 2019).

210 From this we can clearly see that even within the larger batch size of 60 tablets, the  
 211 mass variation is low and is well within the pharmacopoeia limits.

212 3.3. Dimensional Analysis

213 Both the tablet thickness and the tablet diameter (Figure 5) were measured. Fig-  
 214 ure ??b shows the cylindrical structure of the tablets with the thickness represented by  
 215  $h_1$  and the diameter represented by  $2 \times r$ . As above, no data is shown for the AFF,  
 216 EPO/PEG 85/15 and SOL/SOR 85/15 formulations as they were unprocessable via this  
 217 RTIM process. For all processable designs, the thickness of the tablets by design is con-  
 218 stant at 3 mm. The diameter however is designed to increase moving from the 2 Pin  
 219 through to 10 Pin designs. The designed diameter for the 2 Pin tablets is 15.231 mm,  
 220 for the 6 Pin tablets is 15.684 mm and for the 10 Pin tablets is 16.124 mm.

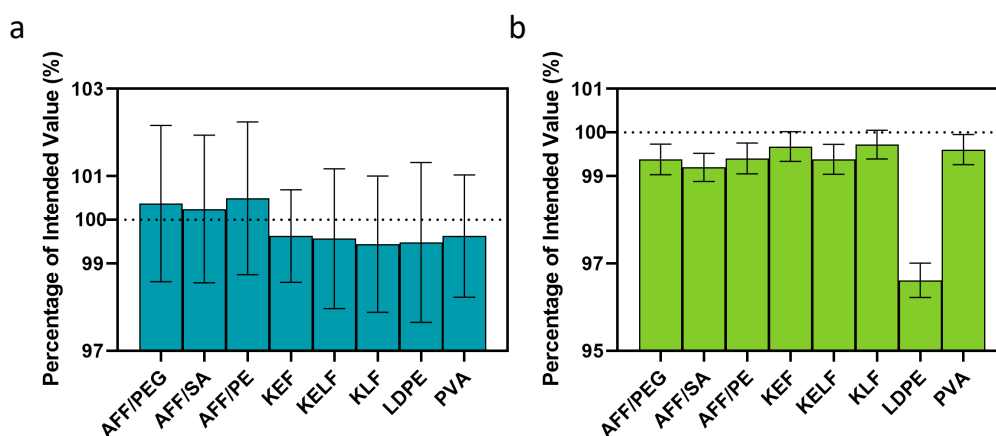


Figure 5: a) The thickness of the tablets tested for each geometry and formulation. For each bar,  $n = 18$  measurements were taken and the error bars represent the standard deviation b) The diameter of the tablets tested for each geometry and formulation. For each bar,  $n = 18$  measurements were taken and the error bars represent the standard deviation.

221 All formulations demonstrated good accuracy and precision to the digital designed  
 222 thickness value of 3 mm. The AFF based formulations produced values slightly higher  
 223 than the design value and the measurements had a higher standard deviation than the  
 224 other formulations which is attributed to the difficulty associated with processing these  
 225 formulations as previously discussed.

226 With the exception of LDPE, all formulations demonstrated good accuracy and preci-  
 227 sion to the digital designed diameter values across all three tablet geometries. The LDPE  
 228 tablets were found to be consistently below the designed diameter values across all three

229 designs (ranging between 96.43 - 96.97% of the designed values). As polyethylene (and  
230 therefore LDPE) is a semi-crystalline thermoplastic, this can be attributed to shrinkage  
231 on cooling which is characteristic of crystalline polymers. While this was not observed to  
232 the same degree in the tablet thickness measurements where the LDPE tablets averaged  
233 99.2% of the intended value, there was some evidence of the value being lower than antic-  
234 ipated and lower than all other formulations tested. Additionally, shrinkage is expected  
235 to be highest on the longest axis which for these designs would be the diameter.

### 236 *3.4. Optical Coherence Tomography*

237 The depth, top diameter and bottom diameter of the pins in all three geometries were  
238 measured using OCT. The depth is represented as  $h_2$  in Figure ??, the top diameter is  
239  $2 \times r_1$  and the bottom diameter is  $2 \times r_2$ . No data is shown for the AFF, EPO/PEG 85/15  
240 and SOL/SOR 85/15 formulations as they were unprocessable via this RTIM process.

241 The depth of the pins (as seen in Figure 6a) was below the expected value of 2  
242 mm across all formulations and geometries. While the measured values were consistently  
243 lower than expected, the low values of the standard deviations suggest that the variability  
244 within the batches was low.

245 The top diameter of the pins (as seen in Figure 6b) was generally above the expected  
246 value of 3 mm across all formulations and designs with the exception of the LDPE  
247 formulation. While the measured values were consistently higher than expected, the low  
248 values of the standard deviations suggest that the variability within the batches was low.

249 The bottom diameter of the pins (as seen in Figure 6c) was slightly below the expected  
250 value of 1.5 mm across all formulations and geometries. While the measured values  
251 were consistently slightly lower than expected, the low values of the standard deviations  
252 suggest that the variability within the batches was low.

## 253 **4. Discussion**

### 254 *4.1. Formulation Processability in RTIM*

255 Three of the formulations trialled were deemed to be unprocessable - AFF, EPO/PEG  
256 85/15 and SOL/SOR 85/15. For the AFF formulation, the main challenge was around  
257 the temperatures and pressures required to process the material. The temperatures and

258 pressures required to achieve a workable viscosity of the formulation was too high for the  
259 mould materials to withstand causing fracture of the plastic mould inserts and ultimately  
260 resulting in an unsuccessful RTIM process. For EPO/PEG 85/15 and SOL/SOR 85/15,  
261 the issue with processing was down to the adherence of the formulation to the surface  
262 of the printed mould. While this is an issue that was encountered with a number of  
263 other formulations (those marked with \* in Table 4), the addition of the silicon-based  
264 lubricant was not able to overcome the issues. For the EPO/PEG 85/15 and SOL/SOR  
265 85/15 formulations a number of processing parameters were trialed including varying the  
266 temperatures for both the cylinder and the mould, reducing the injection pressure and  
267 the injection time. No successful processing conditions could be found for these materials  
268 in this specific RTIM process. The extent of the adhesion to the printed mould surface  
269 was such that the two mould halves were fused together. As such, removal of the tablets  
270 from these moulds was not possible and the formulations were deemed unprocessable.

#### 271 *4.2. Physical Parameters of the Tablets*

272 From the dimensional analysis and the OCT analysis, the surface area, volume and  
273 specific surface area were calculated for the tablets produced. Full details of the equations  
274 used to calculate these parameters and the propagation of errors can be found in the  
275 supplementary information.

276 The average surface area, volume and specific surface area as calculated using Equa-  
277 tions 3,4 and 5 are shown in Figures 7. The error bars shown are the product of error  
278 propagation using Equations 3, 4 and 5.

279 Theoretically, all formulations should produce physical parameters which match the  
280 digital design for their geometry. The digitally designed volume is constant across the  
281 three geometries, while the surface area and specific surface area increase with the in-  
282 creased number of pins.

283 There are a number of factors which create uncertainty in these calculated values.  
284 Primarily, there is an inherent uncertainty that arises from the printing of the plastic  
285 mould inserts. This is extensively studied in Walsh et al. (2021). Additionally, there  
286 are measurement errors associated with the different techniques used to measure the  
287 dimensions of the tablets. Finally, there will be errors associated with the different  
288 formulations used. This is most apparent when looking at the mass variability of the

289 formulations, where some have significantly higher standard deviations than others. The  
290 only variable changed in that case is the formulation so it can be assumed that the  
291 difference in standard deviation is attributed solely to the formulation differences.

292 I have calculated the measurement errors but not sure how to show that here.

293 A publication from Goyanes et al. found that the drug release kinetics were dependent  
294 on the specific surface area of the tablets (Goyanes et al., 2015). This is further supported  
295 by a later article by Martinez et al. which found that the dissolution profile of a  
296 tablet could be fine-tuned by altering the specific surface area (Martinez et al., 2018).  
297 As such, in order to refine the dissolution to a desired profile, the control of the specific  
298 surface area must be accurate.

299 The average surface area of each of the tablets produced for each formulation is  
300 detailed in Figure 7a. From this it can be seen that for most formulations, the accuracy  
301 and precision of the surface area to the digital design is high. The exception to this is the  
302 LDPE formulation which, while still having high precision, has a much lower accuracy  
303 than the other formulations tested. It is proposed that this is due to the shrinkage on  
304 cooling associated with the crystalline nature of this polymer.

305 The average volume of each of the tablets produced for each formulation is detailed  
306 in Figure 7b. From this it can be seen that for most formulations, the accuracy and  
307 precision of the volume to the digital design is high. The exception to this is the LDPE  
308 formulation which, while still having high precision, has a much lower accuracy than the  
309 other formulations tested. As is also reflected in the surface area of the tablets from the  
310 LDPE formulation, the low accuracy for volume is attributed to the shrinkage of this  
311 polymer on cooling in the moulds.

312 The average specific surface area of each of the tablets produced for each formulation  
313 is detailed in Figure 7c. From this it can be seen that for all formulations, the accuracy  
314 and precision of the surface area to the digital design is high. As seen in Figures 7a and  
315 b, for the LDPE formulations the actual values fell below the intended values from the  
316 digital design. The drop in accuracy for both surface area and volume in the case of  
317 LDPE were so similar that the specific surface area is far more accurate to the digital  
318 design.

319 In summary, RTIM has proven to be an accurate and precise method for the produc-



320 tion of tablets with a desired specific surface area. This has been able to be modified  
321 through addition of pins into the tablet geometry and subsequent altering of the overall  
322 tablet diameter (see Figure 8).

### 323 *4.3. Comparison to Similar Techniques*

324 A number of publications have reported success in producing tablets via additive  
325 manufacture techniques such as fused deposition modelling (FDM) (Goyanes et al., 2014,  
326 2015, 2016; Ibrahim et al., 2019; Goyanes et al., 2015) and stereolithography (SLA)  
327 (Martinez et al., 2018).

328 The relative standard deviation reported in Figure 9 demonstrates that the tablets  
329 produced using the RTIM method described in this work have a lower mass variability  
330 than other tablets produced via similar techniques (FDM or SLA). It is worth consid-  
331 ering that the different manufacture techniques featured here are likely responsible for  
332 the differences in relative standard deviation. While both FDM and SLA are additive  
333 manufacture techniques and hence the tablets are built in a layer-by-layer process, the  
334 associated resolutions can be quite different. Typically, FDM has a lower resolution than  
335 SLA, ultimately resulting in a less accurate and precise printing process particularly for  
336 small features or objects. The RTIM process is formative, producing the tablets via a  
337 moulding using a mould created by SLA. As such, the actual formation of the tablet  
338 does not depend on a resolution limited additive manufacture process. These differences  
339 in manufacture process are also responsible for the differences in surface roughness that  
340 can be observed between tablets directly produced from FDM and those produced via  
341 RTIM. FDM tablets have significantly higher uncontrolled surface roughness. This sur-  
342 face roughness makes accuracy of surface area for tablets produced via FDM much harder  
343 to achieve and very difficult to accurately measure in the tablets produced.

344 As can be seen in Figure 10, the accuracy and precision of the PVA based tablets  
345 produced via RTIM were higher than that of the tablets produced via FDM. There  
346 are slight formulatory differences, with the PVA formulation used by Goyanes et al.  
347 containing approximately 4% w/w acetaminophen however it is not expected that this  
348 is responsible for the difference in accuracy and precision. The difference observed is  
349 likely due to the techniques involved in producing the tablets. FDM involves building  
350 the structure of the tablet on a layer by layer basis onto a build platform. There will be

351 limitations in terms of the accuracy of the print head in FDM and the geometry printed  
352 can also change as the printed material cools and solidifies. RTIM on the other hand  
353 produces the tablets via a fixed geometry mould cavity so the material is forced to adopt  
354 the desired geometry as it cools. This results in RTIM producing tablets which are truer  
355 to the digital design in terms of the physical properties than FDM. The precision of  
356 RTIM is also higher than the FDM process as can be seen by observing the error bars  
357 in Figure 10.

## 358 **5. Conclusion**

359 Tablets were able to be produced from a variety of thermoplastic materials and three  
360 tablet geometries were achieved. Generally, the tablets produced were close to the digital  
361 designs in terms of their dimensions, surface area and volume. Shrinkage upon cooling  
362 was observed for LDPE, a semi-crystalline polymer. In order to minimise the impact of  
363 shrinkage, a recommendation that amorphous formulations are most suitable for RTIM is  
364 made. The mass variability of all tablets produced was low and well within the limits of  
365 the pharmacopoeia. The tablets produced via RTIM demonstrated superior accuracy and  
366 precision in terms of physical properties to those produced directly via FDM. The specific  
367 surface areas of the tablets produced were accurate to the digital designs suggesting that  
368 this RTIM process could be used to produce tablets of designed geometries for the purpose  
369 of fine-tuning drug dissolution profiles.

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378 **Declaration of Competing Interest**

379 The authors declare that they have no known competing financial interests or personal  
380 relationships that could have appeared to influence the work reported in this paper.

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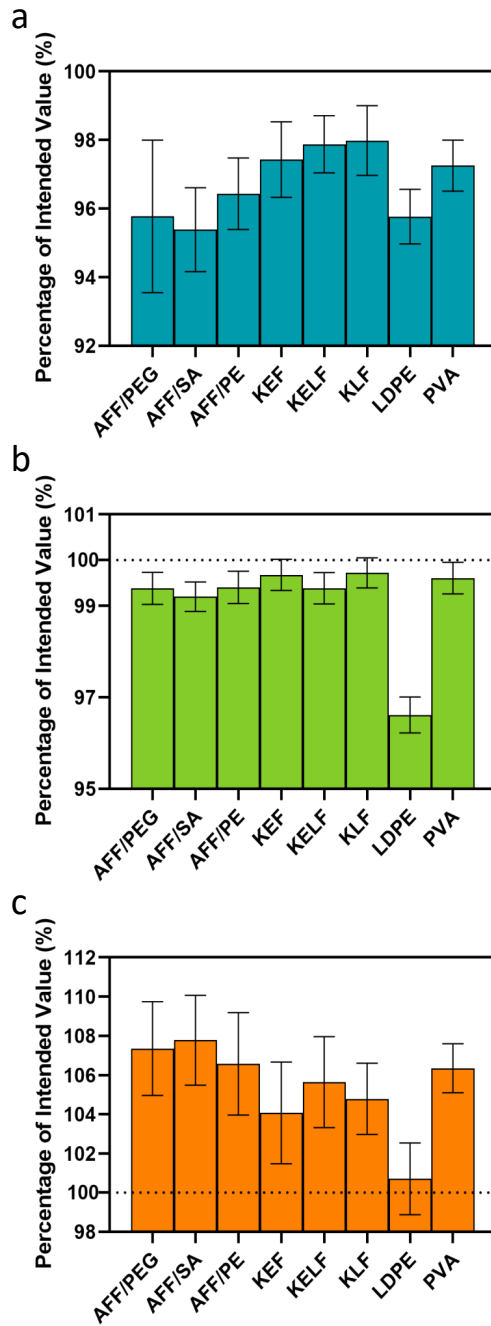


Figure 6: a) The depth of the pins on the tablet surface ( $h_2$  from Figure ??a) b) The top surface diameter of the pins on the tablet surface ( $2 \times r_1$  from Figure ??a) c) The bottom surface diameter of the pins on the tablet surface ( $2 \times r_2$  from Figure ??a). a-c: 21 each bar  $n = 6$  measurements with the error bars representing the standard deviation.

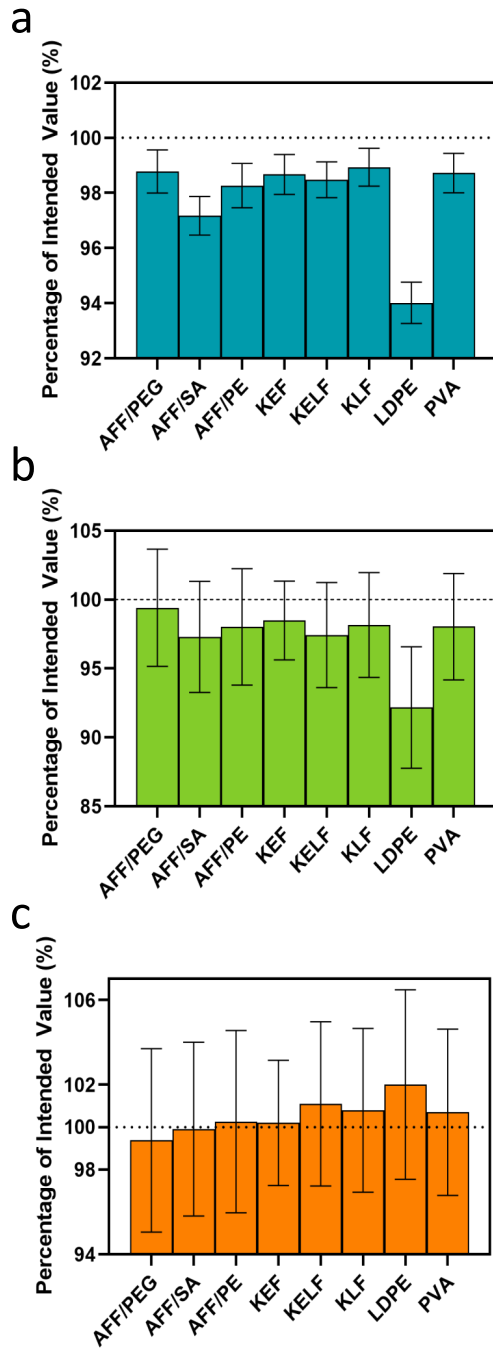


Figure 7: a) The average surface area for each formulation as calculated by Equation 3 b) The average volume for each formulation as calculated by Equation 4 c) The average specific surface area for each formulation as calculated by Equation 5. a-c: for each bar,  $n = 18$  tablet with the error bars representing the propagated standard deviation.

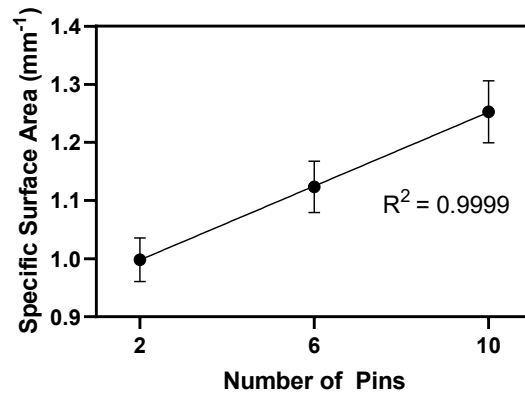


Figure 8: The average specific surface area for all formulations vs. the number of pins in the tablet geometry.

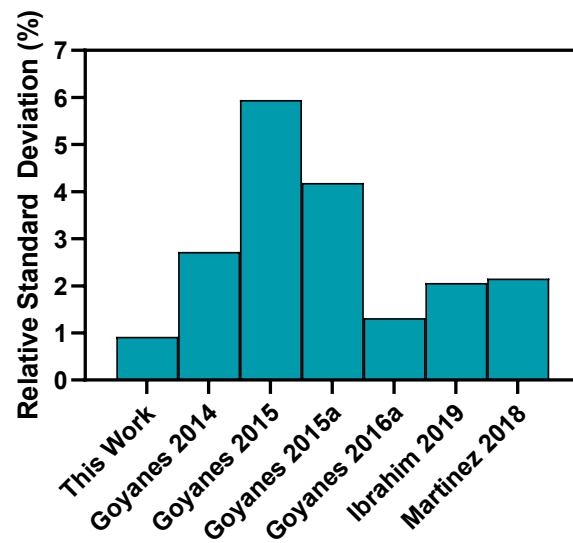


Figure 9: The relative standard deviation of tablet masses from this work and a number of similar manuscripts. From left to right, the manuscripts referenced are (Goyanes et al., 2014), (Goyanes et al., 2015), (Goyanes et al., 2015), (Goyanes et al., 2016), (Martinez et al., 2018) and (Ibrahim et al., 2019).

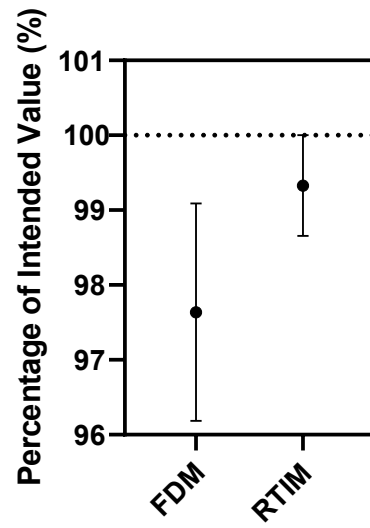


Figure 10: The percentage of the designed surface area of PVA based tablets from (Goyanes et al., 2015) and this study. The error bars represent the standard deviation for FDM and the propagated standard deviation for RTIM.