



Numerical study of mode conversion between lower hybrid and whistler waves on short-scale density striations

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[1] We present a theoretical and numerical study of linear mode conversion of lower hybrid waves interacting with short-scale density striations in the Earth's ionosphere. The efficiency of the conversion process is investigated for different sets of parameters such as the angle of incidence, the wavelength of the lower hybrid wave, and the size of the striation. It is found that the most efficient whistler generation occurs at a critical angle of incidence where the whistler waves are driven resonantly along the density striations, and when the product of the striation width and the wave number of the lower hybrid wave is of the order unity. It is suggested that whistlers generated as a byproduct of upper hybrid F-region ionospheric heating can be observed on the ground and by satellites. The generated whistlers could be important for the precipitation of energetic electrons in the radiation belts.

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1. Introduction

[2] Whistler waves are ubiquitous in the Earth's ionosphere and magnetosphere where they propagate along magnetic field lines between the hemispheres. They contribute to the pitch angle scattering and precipitation of radiation belt electrons in the inner radiation belts [Inan and Bell, 1991; Inan et al., 2003; Abel and Thorne, 1998a, 1998b]. The whistlers are naturally generated by lightning in the equatorial zone. Their propagation speed varies with the frequency and gives rise to their characteristic descending tone. Recent satellite observations indicate that lightning-induced whistlers contribute to lower hybrid turbulence and ion heating in the equatorial ionosphere [Berthelier et al., 2008]. Whistlers are also generated at shocks in the magnetosphere and at the bow shock, and are thought to be important for fast magnetic reconnection [Deng and Matsumoto, 2001]. Observations with the CLUSTER satellites near the plasmopause revealed low-frequency (100–500 Hz) whistlers correlated with density fluctuations and high-frequency (3–6 kHz) whistlers anticorrelated with the density fluctuations [Moullard et al., 2002]. In the laboratory, whistlers have been observed to be guided along magnetic [Gushchin et al., 2005] and density [Zaboronkova et al., 1992] ducts. The channeling of whistlers along density troughs, crests and gradients has been studied in recent numerical studies [Streltsov et al., 2006]. In the presence of magnetic field aligned plasma irregular-

ities (striations), whistlers can be mode converted into lower hybrid waves and vice versa. At the Large Plasma Device (LAPD) at UCLA, it was demonstrated experimentally that lower hybrid waves can be generated by whistlers on density striations [Bamber et al., 1995; Rosenberg and Gekelman, 1998], and that lower hybrid waves interacting with density striations have their largest wave fields in the regions of steepest density gradient in the density striations [Rosenberg and Gekelman, 2000, 2001]. The generation of whistler waves by lower hybrid waves on density striations has been investigated theoretically for planar density irregularities [Bell and Ngo, 1990], where the possibility of generating whistlers by lower hybrid waves also was studied. In experiments at Arecibo, it was demonstrated that VLF signals can couple into ionospheric ducts and propagate into the conjugate hemisphere as ducted whistlers, where they can parametrically excite lower hybrid waves [Lee and Kuo, 1984]. The generation of whistlers by HF induced lower hybrid waves in the presence of density striations has been investigated theoretically [Borisov, 1995]. During ionospheric heating experiments at the Sura facility near Nizny Novgorod, Russia, whistlers were observed on the top side ionosphere by the Intercosmos-24 satellite [Vas'kov et al., 1998]. Ionospheric density striations created by HF waves can have sizes ranging from a fraction of a meter up to 10-m scale [Thome and Blood, 1974; Minkoff et al., 1974a, 1974b; Minkoff, 1974; Djuth et al., 1985; Kelley et al., 1995].

[3] In the present paper we investigate the generation of low-frequency (in comparison to the electron gyrofrequency) whistler waves by lower hybrid waves interacting with short-scale (in comparison with the whistler wavelength) density striations. The paper focuses on the efficiency of the whistler generation as a function of different

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parameters, and the interplay between the whistlers and lower hybrid waves in the presence of a collection of density striations. In section 2, we derive the mathematical model that governs the dynamics of the interaction between lower hybrid and whistler waves in the presence of density striations/cavities. The dependence of the efficiency on the angle of incidence for mode conversion of lower hybrid waves into whistlers in the presence of striations is derived in section 3. In section 4, we investigate numerically the efficiency of whistler generation for different parameters, as well as the interplay between whistler and lower hybrid generation in the presence of several striations. Finally, conclusions are presented in section 5.

2. Mathematical Model

[4] We derive next the governing equations for coupled lower hybrid and whistler waves in the presence of density striations. We assume that the ions are unmagnetized since the lower hybrid and whistler frequencies are much higher than the ion gyrofrequency, and that that quasi-neutrality applies.

[5] The electromagnetic field is governed by Faraday's and Ampère's equations,

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}, \quad (1)$$

and

$$\nabla \times \mathbf{B} = \mu_0 e \tilde{n} (\mathbf{v}_{i1} - \mathbf{v}_{e1}), \quad (2)$$

respectively, where μ_0 is the magnetic permeability in vacuum and e is the magnitude of the electron charge. Here $\tilde{n}(\mathbf{x}) = n_0 + n_{\text{str}}(\mathbf{x})$ is the zero-order background electron number density, which is composed of the equilibrium density n_0 and the "striation density" $n_{\text{str}}(\mathbf{x})$. We have neglected the displacement current in equation (2) since the phase speed of the lower hybrid and whistler waves are much smaller than the speed of light.

[6] We shall for simplicity consider cold electrons and ions $T_e = T_i = 0$, which is valid for wavelengths much longer than the particle's Debye length. The ion dynamics is governed by the linearized ion continuity equation equations

$$\frac{\partial n_{i1}}{\partial t} + \nabla \cdot (\tilde{n} \mathbf{v}_i) = 0, \quad (3)$$

and the unmagnetized ion momentum equation

$$m_i \tilde{n} \frac{\partial \mathbf{v}_i}{\partial t} = e \tilde{n} \mathbf{E}, \quad (4)$$

where m_i is the ion mass. The electrons are governed by the continuity equation

$$\frac{\partial n_{e1}}{\partial t} + \nabla \cdot (\tilde{n} \mathbf{v}_{e1}) = 0, \quad (5)$$

and momentum equation

$$m_e \tilde{n} \frac{\partial \mathbf{v}_{e1}}{\partial t} = -e \tilde{n} \mathbf{E} - e \tilde{n} \mathbf{v}_{e1} \times \mathbf{B}_0, \quad (6)$$

where m_e is the electron mass, and $\mathbf{B}_0 = B_0 \hat{\mathbf{z}}$ is the geomagnetic field directed in the z direction. The assumption of quasineutrality $n_{e1} = n_{i1} \equiv n_1$ combined with the continuity equations leads to the condition

$$\nabla \cdot (\tilde{n} \mathbf{v}_{i1}) = \nabla \cdot (\tilde{n} \mathbf{v}_{e1}), \quad (7)$$

for the velocity fields, and we have the continuity equation

$$\frac{\partial n_1}{\partial t} + \nabla \cdot (\tilde{n} \mathbf{v}_{e1}) = 0. \quad (8)$$

It is now convenient to introduce the ion and electron particle current fields $\mathbf{j}_{i1} = \tilde{n} \mathbf{v}_{i1}$ and $\mathbf{j}_{e1} = \tilde{n} \mathbf{v}_{e1}$, respectively. Then equations (2)–(8) yield

$$\nabla \times \mathbf{B} = \mu_0 e (\mathbf{j}_{i1} - \mathbf{j}_{e1}), \quad (9)$$

$$m_i \frac{\partial \mathbf{j}_{i1}}{\partial t} = e \tilde{n} \mathbf{E}, \quad (10)$$

$$m_e \frac{\partial \mathbf{j}_{e1}}{\partial t} = -e \tilde{n} \mathbf{E} - e \mathbf{j}_{e1} \times \mathbf{B}_0, \quad (11)$$

$$\nabla \cdot \mathbf{j}_{i1} = \nabla \cdot \mathbf{j}_{e1}, \quad (12)$$

and

$$\frac{\partial n_1}{\partial t} + \nabla \cdot \mathbf{j}_{e1} = 0. \quad (13)$$

The mode coupling between the lower hybrid and whistler waves is mediated by the $\tilde{n} \mathbf{E}$ -terms in the right-hand sides of equations (10) and (11).

[7] In order to cast the governing equations into a numerically more convenient form, we now add equations (10) and (11), take the divergence of the resulting equation, and use equation (12) to eliminate $\nabla \cdot \mathbf{j}_{i1}$. The result is

$$\frac{\partial}{\partial t} \nabla \cdot \mathbf{j}_{e1} = -\frac{e}{m_i} \nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0), \quad (14)$$

where we used $m_i \gg m_e$. On the other hand, taking the double curl of equation (11) yields

$$\frac{\partial}{\partial t} [\nabla(\nabla \cdot \mathbf{j}_{e1}) - \nabla^2 \mathbf{j}_{e1}] = -\frac{e}{m_e} \nabla \times [\nabla \times (\tilde{n} \mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)], \quad (15)$$

where we used the vector identity $\nabla \times (\nabla \times \mathbf{j}_{e1}) = \nabla(\nabla \cdot \mathbf{j}_{e1}) - \nabla^2 \mathbf{j}_{e1}$. Taking the gradient of equation (14) and subtracting the result from equation (15) yields

$$\begin{aligned} -\frac{\partial}{\partial t} \nabla^2 \mathbf{j}_{e1} &= -\frac{e}{m_e} \nabla \times [\nabla \times (\tilde{n} \mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)] \\ &\quad + \frac{e}{m_i} \nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]. \end{aligned} \quad (16)$$

We now use that $\nabla \times (\tilde{n}\mathbf{E}) = \nabla \times (n_{str}\mathbf{E}) + n_0 \nabla \times \mathbf{E} = \nabla \times (n_{str}\mathbf{E}) - n_0 \partial \mathbf{B} / \partial t$, to obtain

$$l \frac{\partial}{\partial t} \left(\nabla^2 \mathbf{j}_{e1} + \frac{en_0}{m_e} \nabla \times \mathbf{B} \right) = \frac{e}{m_e} \nabla \times [\nabla \times (n_{str}\mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)] - \frac{e}{m_i} \nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]. \quad (17)$$

The magnetic field is computed from the divergence free part of the electron current in equation (9), as $\nabla \times \mathbf{B} = \mu_0 e \nabla^{-2} [\nabla (\nabla \cdot \mathbf{j}_{e1}) - \nabla^2 \mathbf{j}_{e1}]$, so that equation (17) takes the form

$$\frac{\partial}{\partial t} (\lambda_e^2 \nabla^2 - 1) \mathbf{j}_{e1} = \frac{e\lambda_e^2}{m_e} \nabla \times [\nabla \times (n_{str}\mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)] - \frac{e}{m_i} \nabla^{-2} (\lambda_e^2 \nabla^2 - 1) \nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)], \quad (18)$$

where we used equation (14) to eliminate the $\nabla \cdot \mathbf{j}_e$ term, and where $\lambda_e = c/\omega_{pe}$ is the electron skin depth, c is the speed of light in vacuum, $\omega_{pe} = (n_0 e^2/\epsilon_0 m_e)^{1/2}$ is the electron plasma frequency, and ϵ_0 is the electric permittivity in vacuum. The ∇^{-2} operator corresponds to k^{-2} in Fourier space, where $k^2 = k_y^2 + k_z^2$, and where k_y and k_z are the y and z components of the wavevector. In the pseudospectral method used in the numerical simulations below, we set the Fourier components corresponding to $k = 0$ to zero in the numerical approximation of ∇^{-2} .

[8] In order to calculate the beating between the lower hybrid waves and the striations in the $n_{str}\mathbf{E}$ -term, we need to determine the electric field. The curl of the electric field is given by equation (1), and we also need the divergence of the electric field to determine it completely. Taking the divergence of equation (10) with $\tilde{n} \approx n_0$ and noting that $\partial \nabla \cdot \mathbf{j}_{i1} / \partial t = \partial \nabla \cdot \mathbf{j}_{e1} / \partial t$, we replace the time derivative with the right-hand side of equation (14) to obtain

$$\nabla \cdot \mathbf{E} \simeq - \frac{\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)}{n_0}, \quad (19)$$

where we used that $m_i \gg m_e$. Using the vector identity $\nabla^2 \mathbf{E} = \nabla (\nabla \cdot \mathbf{E}) - \nabla \times (\nabla \times \mathbf{E})$ together with equations (1) and (19) we obtain

$$\nabla^2 \mathbf{E} \simeq - \frac{\nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]}{n_0} + \nabla \times \frac{\partial \mathbf{B}}{\partial t}. \quad (20)$$

Taking the curl of equation (9) with $|\nabla \times \mathbf{j}_{i1}| \ll |\nabla \times \mathbf{j}_{e1}|$ and $\nabla \cdot \mathbf{B} = 0$ yields $\nabla^2 \mathbf{B} = \mu_0 e \nabla \times \mathbf{j}_{e1}$. Solving for \mathbf{B} , inserting the result into (20), and eliminating the time derivative with the help of equation (18), gives the electric field as

$$\nabla^2 \mathbf{E} \simeq - \frac{\nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]}{n_0} - \frac{1}{n_0} (\lambda_e^2 \nabla^2 - 1)^{-1} \nabla \times [\nabla \times (\mathbf{j}_{e1} \times \mathbf{B}_0)]. \quad (21)$$

Equation (18), supplemented by equation (21), governs the interaction and mode conversion between lower hybrid and whistler waves in the presence of density striations.

[9] It is convenient to simplify equation (18) by separating it into one equation for electrostatic lower hybrid waves and one for whistler waves, which are coupled via the striation. In doing so, we decompose the electron particle current density as $\mathbf{j}_{e1} = \mathbf{j}_{LH} + \mathbf{j}_W$ and the electric field as $\mathbf{E} = \mathbf{E}_{LH} + \mathbf{E}_W$ where the subscripts LH and W denotes ‘‘lower hybrid’’ and ‘‘whistler’’, respectively. The lower hybrid waves are almost completely electrostatic and have wave their vectors almost perpendicular to the magnetic field lines. The short wavelength ($|\lambda_e^2 \nabla^2| \gg 1$) lower hybrid evolution equation is obtained from equation (18) as

$$\frac{\partial \mathbf{j}_{LH}}{\partial t} = \nabla^{-2} \left\{ \frac{e}{m_e} \nabla \times [\nabla \times (n_{str}\mathbf{E}_W + \mathbf{j}_{LH} \times \mathbf{B}_0)] - \frac{e}{m_i} \nabla [\nabla \cdot (\mathbf{j}_{LH} \times \mathbf{B}_0)] \right\}, \quad (22)$$

where the $n_{str}\mathbf{E}_W$ term represents the coupling of the whistler electric field to the lower hybrid waves via the density striation. On the other hand, for the whistler waves we neglect the influence of the ions due to their large mass, so that the whistler evolution equation is obtained from equation (18) as

$$\frac{\partial \mathbf{j}_W}{\partial t} = - \frac{e\lambda_e^2}{m_e} (1 - \lambda_e^2 \nabla^2)^{-1} \nabla \times [\nabla \times (n_{str}\mathbf{E}_{LH} + \mathbf{j}_W \times \mathbf{B}_0)], \quad (23)$$

where the $n_{str}\mathbf{E}_{LH}$ term couples the lower hybrid electric field to the whistler waves via the density striation. The low-frequency, long-wavelength whistlers are characterized by $|\lambda_e^2 \nabla^2| \ll 1$, but we keep the $\lambda_e^2 \nabla^2$ term in equation (23) for numerical convenience since it limits the whistler frequency to the electron gyrofrequency at short wavelengths. For $n_{str} = 0$, equations (22) and (23) are decoupled and we have pure whistler and lower hybrid waves. The electrostatic field of the lower hybrid wave is obtained from equation (21) as

$$\mathbf{E}_{LH} = - \frac{1}{n_0} \nabla \nabla^{-2} [\nabla \cdot (\mathbf{j}_{LH} \times \mathbf{B}_0)], \quad (24)$$

while the whistler electric field is in the long wavelength limit $|\lambda_e^2 \nabla^2| \ll 1$ obtained as

$$\mathbf{E}_W = - \frac{1}{n_0} \mathbf{j}_W \times \mathbf{B}_0. \quad (25)$$

Equations (22)–(25) are the desired set of equations for the mode conversion between lower hybrid and whistler waves in the presence of density striations.

3. Condition for Resonant Mode Conversion

[10] The most efficient mode conversion of lower hybrid waves into parallel (to the magnetic field lines) propagating whistlers is likely to occur when there is a simultaneous matching between the frequencies and the parallel components of the wave numbers of the lower hybrid waves and the whistler waves, so that the whistler waves are driven resonantly along the magnetic field aligned density striations.

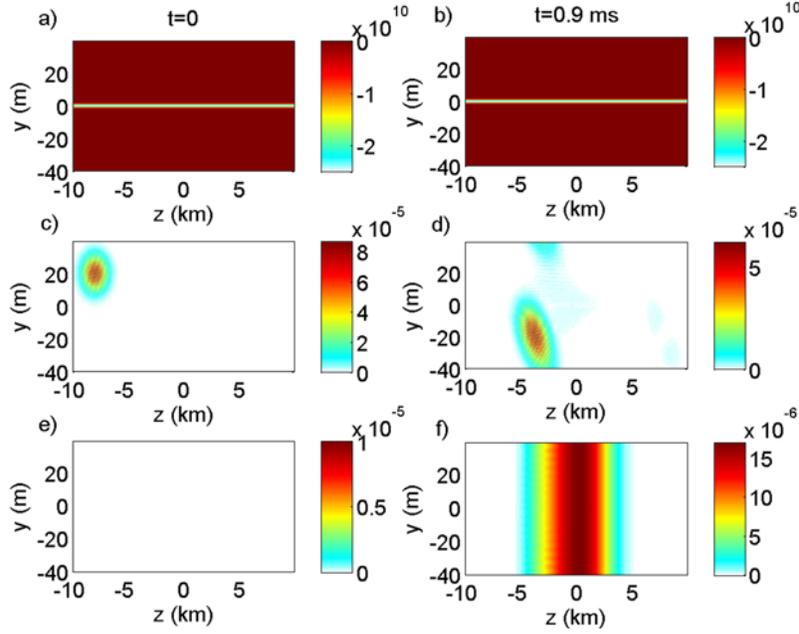


Figure 1. Generation of whistler waves by lower hybrid waves in the presence of a density striation, initial condition $t = 0$ (left), and at $t = 0.9$ ms (right). The striation n_{str} (a, b) has the width $D_{\text{str}} = 1$ m, has a maximum density depletion of 5% of the background density, and is aligned with the geomagnetic field lines. The y component of the electric field (c, d) shows the lower hybrid wave with the wave number $k = 1.5 \text{ m}^{-1}$ and the angle of incidence given by $\cos(\theta) = 0.093$. The lower hybrid wave envelope is initially centered at $y = 20$ m, $z = -8$ km (c). At $t = 0.9$ ms (d), it has past over the striation and has reached $y = -15$ m, $z \simeq -3$ km. The amplitude of the whistler magnetic field, $c|\mathbf{B}|$, is shown in Figures 1e and 1f. The whistler waves have a wavelength of ~ 450 m and is propagating along the magnetic field lines in the rightward direction.

tions. The dispersion relation for lower hybrid waves in the electrostatic limit ($\lambda_e^2 k^2 \gg 1$) is given by

$$\omega^2 = \frac{\omega_{ce}\omega_{ci}k_{\perp}^2 + \omega_{ce}^2 k_z^2}{k_{\perp}^2 + k_z^2}, \quad (26)$$

while that of low-frequency whistler waves ($\lambda_e^2 k^2 \ll 1$), propagating parallel to the magnetic field lines, is given by

$$\omega = \frac{c^2 k_z^2}{\omega_{pe}^2} \omega_{ce}. \quad (27)$$

Using $k_z = k \cos(\theta)$ and $k_{\perp} = k \sin(\theta)$, we obtain from equations (26) and (27)

$$\cos^2(\theta) = \frac{1}{2} \frac{\omega_{pe}^4}{c^4 k^4} + \sqrt{\frac{1}{4} \frac{\omega_{pe}^8}{c^8 k^8} + \frac{m_e \omega_{pe}^4}{m_i c^4 k^4}}. \quad (28)$$

We note that equation (28) is independent of the magnetic field.

4. Numerical Results

[11] In order to study the coupling between lower hybrid and whistler waves in the presence of magnetic field aligned density striations, equations (22)–(25) are solved numerically. We use a rectangular simulation box with periodic boundary conditions. The box size is $L_y \times L_z = 80 \times 10000$

m, and we use typically $N_y \times N_z = 300 \times 150$ grid points to resolve the solution, so that the grid sizes become $\Delta y = L_y/N_y \approx 0.27$ m and $\Delta z = L_z/N_z \approx 67$ m. The box sizes L_y and L_z have been chosen much larger than the wavelengths in the y and z direction, respectively, to avoid finite box size effects. The criterium for choosing grid sizes is that one must have more than two grid points per wavelength to represent the solution on the numerical grid. Hence in the z dimension we must have $k_z < \pi/\Delta z$, and in the y dimension we must also take into account the mixing between the wave and the striation (the $n_{\text{str}} E_{LH}$ and $n_{\text{str}} E_W$ terms) so that the condition becomes $k_y + k_{\text{str}} \ll \pi/\Delta y = \pi N_y/L_y$ to avoid aliasing effects, where $k_{\text{str}} = 1/D_{\text{str}}$ is the spectral width of the striation. The grid size is in some cases decreased to ensure that the solution is resolved on the numerical grid. A pseudo-spectral method is used to approximate the spatial derivatives, and a Runge-Kutta scheme is used to advance the solution in time, with the time step $\Delta t = 3 \times 10^{-7}$ s.

[12] For concreteness we use parameters of the ionospheric F region given by $n_0 = 5 \times 10^{11} \text{ m}^{-3}$, $m_i/m_e = 29500$ (oxygen ions) and $B_0 = 4.8 \times 10^{-5}$ T. The magnetic field $\mathbf{B}_0 = B_0 \hat{z}$ is aligned with the z axis. The electron plasma frequency is $\omega_{pe} = 4 \times 10^7 \text{ s}^{-1}$ and the electron gyrofrequency is $\omega_{ce} = 8.44 \times 10^6 \text{ s}^{-1}$.

[13] Figure 1 shows the time evolution of a lower hybrid wave interacting with one density striation, and the gener-

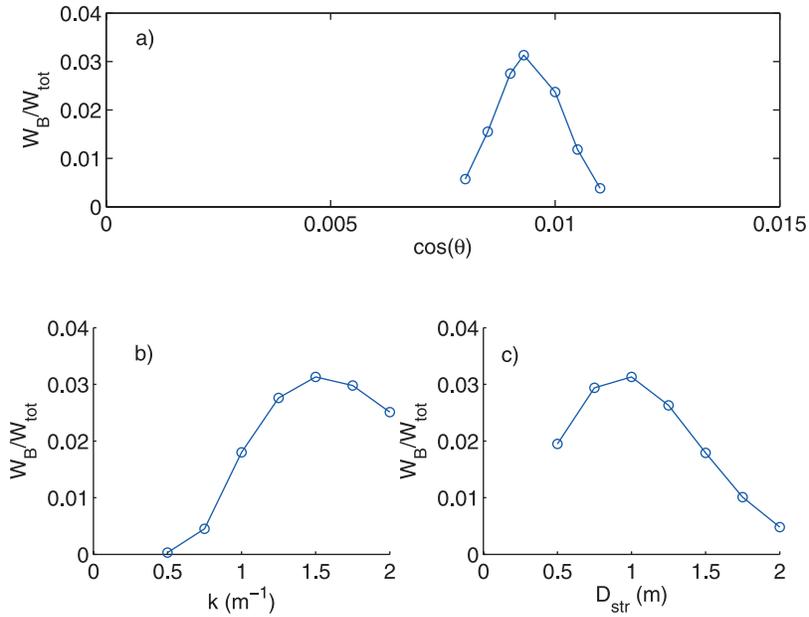


Figure 2. The efficiency of whistler generation (generated whistler energy divided by total energy) as a function of (a) $\cos(\theta) = k_z/k$ with $k = 1.5 \text{ m}^{-1}$ and $D_{str} = 1 \text{ m}$, (b) of k with $D_{str} = 1 \text{ m}$, and (c) of D_{str} with $k = 1.5 \text{ m}^{-1}$. The circles indicate measured values. The plots in Figures 2b and 2c are done with the resonant angle of incidence given by equation (28).

ation of whistler waves. The striation density, which is aligned with the z axis, is given by

$$n_{str} = -n_{0,str} \exp\left(-\frac{y^2}{D_{str}^2}\right), \quad (29)$$

where D_{str} is the width of the striation, and $n_{0,str}$ is the magnitude of the density striation; we use $n_{0,str} = 0.25 \times 10^{11} \text{ m}^{-3}$ (corresponding to 5% of the background number density n_0). The striation width is set to $D_{str} = 1 \text{ m}$. As initial conditions for our simulation, we use

$$j_{1x} = j_0(y, z) \frac{\omega_{ce}}{\omega} \sin(k_y y + k_z z), \quad (30)$$

$$j_{1y} = j_0(y, z) \cos(k_y y + k_z z), \quad (31)$$

and

$$j_{1z} = -j_0(y, z) \frac{(\omega_{ce}^2 - \omega^2)}{\omega^2} \frac{k_z}{k_y} \cos(k_y y + k_z z), \quad (32)$$

where the frequency ω is given by the lower hybrid dispersion relation (equation (26)), and the amplitude of the wave packet is given as a Gaussian envelope

$$j_0(y, z) = 10^{10} \exp\left[-\frac{(y-20)^2}{D_y^2} - \frac{(z+8000)^2}{D_z^2}\right], \quad (33)$$

where the pulse widths are taken to be $D_y = 10 \text{ m}$ and $D_z = 1000 \text{ m}$ in the y and z directions, respectively. For the lower hybrid wave, we use the wave number $k = 1.5 \text{ m}^{-1}$ and the resonance condition (equation (28)) for the angle, yielding $\cos(\theta) = 0.0093$, $k_{\perp} \equiv k_y = \approx 1.5 \text{ m}^{-1}$ and $k_z \approx 0.0138 \text{ m}^{-1}$. We see in Figure 1 that the lower hybrid wave packet, initially centered at $y = 20 \text{ m}$ and $z = -8 \text{ km}$ propagates

obliquely (perpendicularly to the wave number) and crosses the striation (centered at $y = 0$). At $t = 0.85 \text{ ms}$, it has traversed the striation and has reached $y = -15 \text{ m}$, $z \approx -3 \text{ km}$. During the interaction between the lower hybrid wave and the striation, whistlers have been excited and are propagating along the magnetic field lines in the rightward direction (see Figure 1f). The whistler waves have a wavelength of $2\pi/k_z \approx 450 \text{ m}$.

[14] In Figure 2, we repeat the numerical experiment of Figure 1 and allow the lower wave packet to propagate and cross the striation for several sets of parameters. At the end of the simulation, we measure the total magnetic energy of the whistler wave, and calculate the efficiency of the energy conversion from the lower hybrid to the whistler wave. We define the conversion efficiency as the ratio between the magnetic (whistler) energy W_B and the total energy of the lower hybrid wave packet, $W_{tot} = W_e + W_i + W_B$, where W_e and W_i are the kinetic energy of the electrons and ions, respectively. The energies are obtained as

$$W_B = \int \frac{B^2}{2\mu_0} d^2x \approx \frac{m_e}{2\lambda_e^2 n_0} (\nabla^{-2} \nabla \times j_{e1})^2 d^2x, \quad (34)$$

$$W_e = n_0 \int \frac{m_e v_e^2}{2} d^2x = \frac{m_e}{2n_0} \int j_{e1}^2 d^2x, \quad (35)$$

and

$$W_i = n_0 \int \frac{m_i v_i^2}{2} d^2x \approx \frac{m_i}{2n_0} \int [\nabla^{-2} \nabla (\nabla \cdot j_{i1})]^2 d^2x, \quad (36)$$

where the integrals are taken over the simulation box. We use the same parameters as in Figure 1, except for the parameters that are varied as described below. In Figure 2a, the wave number is set to 2 m^{-1} and the angle of incidence θ is varied. Here, equation (28) predicts that there will be

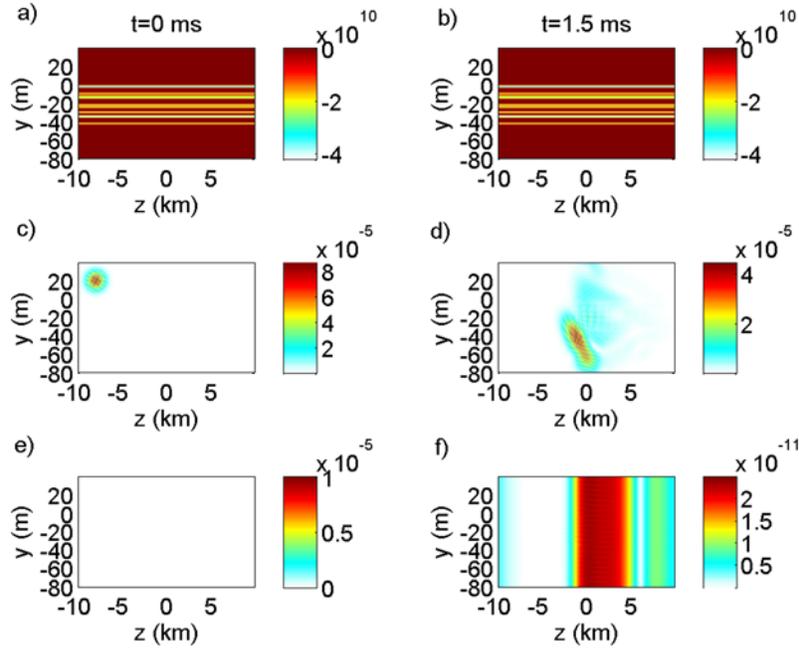


Figure 3. Generation of whistler waves by lower hybrid waves in the presence of a collection of density striations, initial condition $t = 0$ (left), and at $t = 1.5$ ms (right). The 11 striations (a, b) have a width of $D_{str} = 1$ m and an amplitude of 5% of the background density, and are randomly spaced and centered at $y = -41.88$ m, $y = -34.63$ m, $y = -33.86$ m, $y = -29.83$ m, $y = -24.19$ m, $y = -21.82$ m, $y = -14.39$ m, $y = -12.53$ m, $y = -9.65$ m, $y = -2.51$ m, and $y = -1.62$ m. The initial parameters for the lower hybrid wave are the same as in Figure 1. The y component of the electric field (c, d) shows the lower hybrid waves at $t = 0$, centered at $y = 20$ m, $z = -8$ km (c), and at $t = 1.5$ ms (d). The amplitude of the whistler wave magnetic field $c|\mathbf{B}|$ is shown in Figures 3e and 3f.

resonant mode conversion for $\cos(\theta) = 0.0093$, and that the generated whistler waves will have a wave number $k_z = 0.0138 \text{ m}^{-1}$ corresponding to a wavelength of ~ 450 m. We see in Figure 2a that the maximum efficiency is $\sim 3\%$, and that the efficiency is strongly peaked at $\cos(\theta) = 0.0093$ as predicted by equation (28). In Figure 2b, we vary the wave number of the lower hybrid wave, while keeping the striation width $D_{str} = 1$ m constant. We see that there is an optimal conversion of lower hybrid waves when $kD_{str} \approx 1.5$. In Figure 2c, we vary the striation width D_{str} for a fixed value of $k = 1.5 \text{ m}^{-1}$ and observe that there is a maximum conversion efficiency at $D_{str} = 1$ m. The results of Figures 2b and 2c indicate that there is a maximum generation of whistler waves for $D_{str}k \approx 1.5$.

[15] The interaction of the lower hybrid wave packet with a collection of striations is studied in Figures 3 and 4. The box size used is $L_y \times L_z = 120 \times 10,000$ m, and we used $N_y \times N_z = 300 \times 200$ grid points to resolve the solution. Here, there are 11 striations randomly spaced between $z \sim -40$ m and $z \sim 0$; see Figure 3. The parameters are the same as in Figure 1, where the wave number $k = 1.5 \text{ m}^{-1}$ of the lower hybrid wave, together with the resonance condition (equation (28)), gives $\cos(\theta) = 0.0093$ for the angle of incidence. In the simulation, we observe that the lower hybrid waves initially interact with the density striations to excite whistler waves. The whistler waves, on the other hand, generate lower hybrid waves in the interaction with the density striation. At the end of the simulation, exhibited in

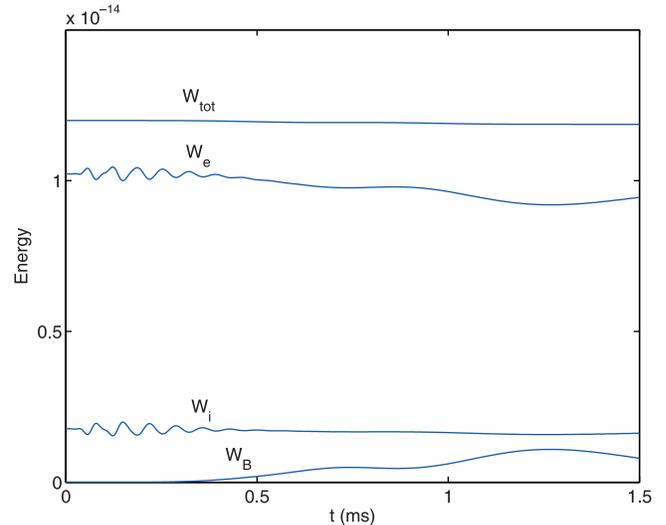


Figure 4. The particle kinetic energies associated with the lower hybrid oscillations W_e and W_i , and the whistler wave magnetic energy W_B as a function of time, for the simulation in Figure 3. The total energy $W_{tot} = W_e + W_i + W_B$ is almost constant throughout the simulation. Energy is initially ($t < 1.25$ ms) converted from lower hybrid wave into magnetic energy of the whistler wave, but at later times ($t > 1.25$ ms) some of the whistler wave energy is converted back to lower hybrid wave energy.

the right of Figure 3, we see that lower hybrid waves have been excited over a large region in space.

[16] In Figure 4, we have plotted the energies of the lower hybrid and whistler waves. Initially there is an efficient excitation of whistler waves, and the magnetic energy reaches about 10% of the total energy. At later times, the whistler energy is returned back to the lower hybrid waves and the whistler energy decreases to about 7% of the total energy at the end of the simulation. Hence short-scale density striations are not only important for the generation of whistlers by lower hybrid waves, but also for the absorption of whistler waves and the generation of lower hybrid waves. This was also observed in laboratory experiments at LAPD by *Rosenberg and Gekelman* [1998] who studied the mode conversion of incident whistler waves into lower hybrid waves on a single striation. In the laboratory experiment, the width of the striation was 3–4 times the lower hybrid wave length, which corresponds roughly to the most favorable case of mode conversion found here (see Figures 2b and 2c).

5. Discussion

[17] We have developed a simple model for the mode conversion of lower hybrid waves into whistler waves in the presence of density striations. On the basis of the numerical results, we have found that there is a critical angle of incidence of the lower hybrid waves to the striation, where the whistler waves are driven resonantly and there is a maximum efficiency of whistler generation. Furthermore, the whistler wave generation is most efficient when the product of the striation width and the wave number of the lower hybrid wave is of the order unity. Typical efficiencies of whistler wave generation (whistler energy divided by total energy) is a few percent. In the presence of a collection of density striations, there is an efficient generation of whistler waves by lower hybrid waves, and also an efficient absorption of whistler waves and re-generation of lower hybrid waves. Hence in the presence of short-scale density striations in the Earth's ionosphere and magnetosphere, whistlers may be absorbed and prevented to propagate over large distances. The investigation has relevance for forthcoming ionospheric heating experiments with HAARP, in which striations and lower hybrid waves are generated at the upper hybrid layer, and where whistler waves are expected to be generated by the interaction between lower hybrid waves and the density striations. The whistler waves could be useful for the pitch angle scattering and precipitation of energetic electrons in the Earth's radiation belts. The detection of whistlers can be done on the ground and by overflying spacecrafts.

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