

1 **Investigation of factors affecting isolation of needle-shaped particles in a**  
2 **vacuum agitated filter drier through non-invasive measurements by**  
3 **Raman spectrometry**

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20

21 **Abstract**

22 The effects of pressure filtration and vacuum agitated drying on cellobiose octaacetate (COA)  
23 particles in methanol slurries were studied by making Raman measurements through the glass  
24 wall at the side of a filter drier beneath the oil jacket. The change in intensity of methanol  
25 peaks in the spectra allowed the removal of the solvent from the particle bed to be monitored.  
26 Also, drying curves for COA generated from the Raman measurements gave an indication of  
27 the changing physical status of the particle bed during continuous or intermittent agitation.  
28 The intensity of the Raman signal for COA depended on the bulk density of the particle bed,  
29 which changed due to aggregation and attrition that occurred during solvent removal and  
30 particle motion induced by agitation during vacuum drying. Loss on drying (LOD)  
31 measurements of samples removed at the end of the pressure filtration and vacuum agitated  
32 drying stages established the degree of wetness and confirmed the end point of drying  
33 (<0.5% w/w solvent), respectively. Dynamic image analysis confirmed that minimum  
34 attrition of COA was achieved when (a) the majority of the methanol was removed during  
35 pressure filtration at 0.5 bar N<sub>2</sub> and (b) intermittent agitation was applied during the vacuum  
36 drying stage.

37

38 **Keywords**

39 Raman spectrometry; process analytical technologies (PAT); filtration; drying; particle  
40 processing; attrition.

41

## 42 **1. Introduction**

43 The use of agitated filter driers is popular for isolation of active pharmaceutical ingredients  
44 (APIs) following crystallisation, as filtration and drying can be completed in a single vessel  
45 (Kougoulos et al., 2011). Industrial filtration is often performed under a positive pressure of  
46 an inert gas, typically N<sub>2</sub>, to remove excess solvent from particles before drying is conducted  
47 under vacuum to remove the remaining residual solvent. The impact of the isolation process  
48 on particulate properties, especially during the drying phase, may determine the success of an  
49 API manufacturing campaign; however, the effects of particle isolation are often not well  
50 understood and poorly controlled.

51 There are a growing number of examples in the literature where the influence of agitated  
52 drying on particulate properties has been investigated (Hare et al., 2009; Kom et al., 2011;  
53 Kougoulos et al., 2011; Lamberto et al., 2011; Lekhal et al., 2003; Lekhal et al., 2004). The  
54 processes that lead to particle attrition have been studied extensively by Ghadiri et al.  
55 (Ghadiri et al., 2000; Ghadiri et al., 1991; Ghadiri and Zhang, 2002; Subero and Ghadiri,  
56 2001; Zhang and Ghadiri, 2002). Lamberto et al. (2011) reported the development of a  
57 laboratory method to rank qualitatively six pharmaceutical materials on a breakage scale  
58 (hard, medium or easy to break) that allowed recommendations for processing conditions at a  
59 larger scale. In contrast, there have been few published studies of the combined effects of  
60 filtration and agitated drying on particulate properties. Recently, however, needle breakage  
61 has been reported at the end of filtration of needle-shaped particles, owing to the stress  
62 caused by the positive pressure applied to the particles exceeding that of the critical stress  
63 required for needle breakage (MacLeod and Muller, 2012).

64 Process analytical technologies (PAT) are currently being implemented across a wide range  
65 of unit operations in the pharmaceutical industry to improve process understanding and

66 control. Various spectroscopic techniques have been applied successfully to monitor unit  
67 operations such as crystallisation (Cornel et al., 2008; Kougoulos et al., 2005; Larsen et al.,  
68 2006; Liu et al., 2011; O'Grady et al., 2008), granulation (De Beer et al., 2011; Tok et al.,  
69 2008; Walker et al., 2009) and blending (El-Hagrasy et al., 2006a; El-Hagrasy et al., 2006b;  
70 El-Hagrasy and Drennen, 2006). Drying processes have also been studied either through  
71 analysis of the off-gas or the particle bed directly. For example, optical spectroscopic  
72 techniques such as mid infrared (MIR) (Tewari et al., 2010) and near infrared (NIR) (Coffey  
73 et al., 1998; Harris and Walker, 2000; Parris et al., 2005) have been used to monitor the  
74 solvent concentration in the off-gas of tray and rotary driers by insertion of a gas cell into the  
75 vacuum line. On-line mass spectrometry has also been used to measure the moisture content  
76 in the off-gas for microwave assisted vacuum drying (Hettenbach et al., 2004). The solvent  
77 content of the particle bed in filter, paddle and spherical driers has been monitored *in situ*  
78 using an in-line NIR probe (Burgbacher and Wiss, 2008). In-line NIR spectrometry has also  
79 been used to monitor the progress of fluidised bed drying processes (Demers et al., 2012;  
80 Green et al., 2005; Peinado et al., 2011). In all of the above studies, the extent of drying was  
81 monitored on the basis of the solvent content of either the off-gas or powder bed. However, in  
82 one study the drying of molecular sieves in a rotary drier was monitored using acoustic  
83 emission generated from the impact of the particles with the vessel wall (Briens et al., 2008).  
84 The effects of the drying conditions on the particles themselves are rarely considered and the  
85 physical effects that occur during drying are still relatively unstudied. Spectra obtained with  
86 near-infrared (NIR) or Raman spectroscopy are known to be affected by the physical  
87 properties of samples and have been used in powder blending (Bellamy et al., 2008a;  
88 Bellamy et al., 2008b) or wet granulation (Walker et al., 2009) to study physical changes to  
89 the material. Raman spectrometry can offer advantages over NIR spectrometry including  
90 narrower spectral features and easier interpretability of the spectra (Allan et al., 2013). For

91 isolation processes, Raman spectrometry provides opportunities to monitor directly and  
92 simultaneously both the solvent and powder. Drying end-point is currently determined by off-  
93 line measurement of the solvent content of the powder bed, which is sampled periodically  
94 during the process. Consequently, *in situ* measurements eliminate the need for sampling and  
95 also allow identification of the drying end-point in real-time; the ability to monitor both  
96 solvent removal and the physical state of the powder bed allows better control of particle  
97 properties. Benefits of implementation of PAT for drying processes include a reduction in  
98 drying time, elimination of over-drying, and lower energy consumption and costs (Parris et  
99 al., 2005).

100 In a preliminary study (Hamilton et al., 2011), methods were investigated for monitoring  
101 needle-shaped cellobiose octaacetate (COA) particles directly in a laboratory scale vacuum  
102 agitated drier using non-invasive Raman spectrometry. A design of experiments approach  
103 was used to investigate the effects of three process variables (mode of agitation, % solvent  
104 loss on drying, and jacket temperature) on the drying time, with off-line particle size analysis  
105 by laser diffraction employed to determine the extent of attrition. Subsequent research  
106 compared the efficacy of three commonly used particle size analysis techniques for  
107 quantitative assessment of the extent of attrition that occurs during the drying of needle-  
108 shaped particles (Hamilton et al., 2012). It was found that the Feret Max diameter obtained  
109 from dynamic image analysis provided the best indication of changes in needle length;  
110 although qualitative trends could be obtained from laser diffraction or focused beam  
111 reflectance measurements.

112 In this study, the full isolation process for filtration and drying of COA particles in a vacuum  
113 agitated drier was investigated. Slurries of COA particles and methanol were subjected to  
114 different periods of pressure filtration before vacuum agitated drying was performed using  
115 either continuous or intermittent agitation. Raman measurements were collected *in situ*

116 throughout each experiment and samples were collected at the end of each stage for  
117 measurement of loss on drying (LOD) and particle size using dynamic imaging analysis. In  
118 contrast to the preliminary study (Hamilton et al., 2011), Raman measurements were made  
119 from the side of the vessel towards the bottom of the packed bed rather than from the top,  
120 which allowed a better assessment of the impact of the filtration/drying conditions on the  
121 particles during processing. By interpretation of the Raman profiles it was possible to monitor  
122 in real time the removal of the solvent and changes to the physical status of the packed bed,  
123 and hence determine the end point of drying. Further, the particle size analysis allowed the  
124 relationship between starting wetness (LOD), agitation time and extent of attrition to be  
125 examined. The study illustrates for the first time the potential of non-invasive Raman  
126 spectrometry to monitor the full filtration-drying isolation process, which will be particularly  
127 beneficial when optimising conditions for fragile particles.

128

## 129 **2. Materials and methods**

### 130 **2.1. Materials**

131 Cellobiose octaacetate (COA) was obtained from GSK (Stevenage, Hertfordshire, UK). COA  
132 has a needle-shaped crystal habit with a high aspect ratio and does not exhibit polymorphism.  
133 COA was selected as a model compound to study as it has similar physical characteristics to  
134 many pharmaceutical active compounds (e.g. the dry powder has a low bulk and tapped  
135 density and the crystals are needle-shaped), without being biologically active and therefore  
136 requires no specific controls for handling in a laboratory. Methanol (Sigma-Aldrich, A5376,  
137 Dorset, UK) was chosen as the liquid phase for this study as it is often used as an anti-solvent  
138 in the re-crystallisation of COA. A cylinder of oxygen-free nitrogen gas (BOC) was used for  
139 pressure filtration.

### 140 **2.2. Lab scale filter drier**

141 A schematic of the drier is shown in Fig.1. The drier is made of glass, has an internal  
142 diameter of 15 cm and is based on process scale agitated filter driers (Hamilton et al., 2011).  
143 The agitator has two angled retreat blades (polytetrafluoroethylene (PTFE)) positioned at  
144 180°. The motor is an IKA RW 20 digital (IKA works, Wilmington, USA), which was  
145 positioned at 90° to the vessel and drove the agitator through a 10 : 1 gear box at a mixing  
146 speed of 10 rpm. Oil heated to 60 °C by a Haake DC5/ K20 heater/chiller unit  
147 (ThermoHaake, UK) was pumped through the jacket of the reactor. For the experiments  
148 described in this work, 250 g of COA was transferred to a 2 L conical flask before 1 L of  
149 methanol was added. The resulting slurry was transferred from the conical flask into the drier  
150 through a port in the lid; the conical flask was rinsed with 100 mL of methanol, which was  
151 then transferred into the vessel before the port was sealed. A positive pressure of N<sub>2</sub> at 0.5 bar  
152 was applied to the slurry for 0, 0.167 (10 min), 0.333 (20 min), 0.5 (30 min) or 1 h and

153 methanol was collected in a solvent reservoir in the line. In the initial experiment (0 h), the  
154 cake was allowed to filter for 1 h at atmospheric pressure prior to moving to the vacuum  
155 agitated drying stage. At the end of the pressure filtration step for each experiment, a sample  
156 was extracted for LOD and particle size analysis; the base of the drier was then connected to  
157 a vacuum pump and the line was switched, using a PTFE T-junction with two taps, to collect  
158 in a cold finger the remaining solvent that was not removed during pressure filtration. The  
159 vacuum was held between 50 – 100 mbar during vacuum drying; the pressure was monitored  
160 using a Pirani gauge (Edwards, Crawley, UK) in the line. During vacuum drying, continuous  
161 or intermittent (1 min every 0.5 h) agitation of the particles was carried at a mixing speed of  
162 10 rpm. Interpretation of the Raman spectra collected throughout the isolation process  
163 indicated when the powder was dry. At this point, a second sample was extracted for LOD  
164 and particle size analysis.

### 165 **2.3. Loss on drying analysis**

166 A sample thief probe was made by cutting the bulb of a plastic pipette tip; to obtain a sample,  
167 the bulb was inserted and filled with powder from the vessel. The total weight of sample was  
168 measured on collection and after static drying in an oven at ~100 °C (to give the weight of  
169 solids in the sample). The LOD is the weight lost during drying expressed as a percentage of  
170 the weight of solids in the sample. After oven drying and LOD analysis, the samples were  
171 subjected to particle size analysis.

### 172 **2.4. Particle size analysis**

173 Sympatec QICPIC image analysis (Sympatec Ltd, Bury, UK) was performed on the COA  
174 particles before the slurries were prepared, after each N<sub>2</sub> pressure filtration period, and after  
175 each vacuum agitated drying period using the LIXELL wet dispersion unit. Powder was  
176 dispersed in 0.1% Tween 80 (SigmaAldrich, UK) in water and circulated through a flow cell



177 using a peristaltic pump. A pulsed light source was used to ensure that no motion blur of the  
178 particles occurred as they were imaged onto a CCD detector. WINDOX 5 software  
179 (Sypmatec Ltd) was used to perform the measurements (30 s) and analyse the data. The  
180 particle size dimension used in this study was the Feret Max diameter (Hamilton et al., 2012)  
181 and the results quoted are an average of three measurements from the same sub-sample.

## 182 **2.5. Non-invasive Raman spectrometry**

183 A Kaiser RXN1 Raman spectrometer with P<sup>h</sup>AT probe (Kaiser Optical Systems Inc., Ann  
184 Arbor, USA) was used to monitor the drying process non-invasively. The P<sup>h</sup>AT probe has the  
185 laser beam optically expanded to give a 6 mm spot size, a working distance of 25.4 cm and a  
186 depth of field of 5 cm. The beam was directed at the process through the glass wall (thickness  
187 of approximately 1.5 cm) beneath the oil jacket at the side of the vessel as shown in Fig. 1.  
188 The laser wavelength was 785 nm produced by an Invictus diode laser operated at 400 mW at  
189 the source (equating to ~220 mW at the sample). A 1024 × 256 pixel CCD detector cooled to  
190 -40 °C by a Peltier cooling system was also used. The Raman signal is divided into 10  
191 channels that are imaged on the different tracks of the CCD camera.

192 Daily diagnostic tests were performed (which measured relative peak intensity and peak  
193 position against known peaks from a cyclohexane standard) using the external sample  
194 compartment accessory for the instrument. Raman spectra were recorded using iC Raman  
195 software (Metler-Toledo, Columbus, USA) and exported as individual .SPC files to  
196 MATLAB version R2010b (Mathworks, Natick, USA) for analysis using PLS\_Toolbox  
197 version 4.11 (Eigenvector Research, Washington, USA). Each Raman spectrum was recorded  
198 with a 30 s integration time resulting in one spectrum every 35 s. For all Raman experiments,  
199 the vessel was wrapped in aluminium foil to prevent room light from affecting the spectra.

200 When 1<sup>st</sup> derivative transformations were performed, a Savitzky-Golay function with a  
201 smoothing filter width of 11 points and 2<sup>nd</sup> order polynomial fit was applied.

202 When continuous agitation was applied, the powder was deemed to be dry when the 1<sup>st</sup>  
203 derivative Raman signal from COA at 1076 cm<sup>-1</sup> and the ratio of methanol: COA peaks  
204 (1036 cm<sup>-1</sup> : 904 cm<sup>-1</sup>) in the underivatised Raman spectra were both constant (as defined  
205 later in section 3.2) for 0.167 h. With intermittent agitation, the same measurements were  
206 used to indicate the end point of drying, however, in this case the requirement was for a  
207 constant value of the methanol : COA peak ratio and a negligible change (<10%) in the signal  
208 from COA (1076 cm<sup>-1</sup> ) during and after the 1 min agitation period.

209

## 210 **3. Results and discussion**

### 211 **3.1. N<sub>2</sub> pressure filtration stage**

212 The conditions used during the study are shown in Table 1. In the experiments, an initial N<sub>2</sub>  
213 pressure filtration period was followed by a period of vacuum agitated drying that was  
214 terminated when the powder was deemed to be dry based on the combination of Raman  
215 measurements outlined in section 2.5. The data from experiments 1 – 5 in Table 1 show that  
216 most of the solvent was removed after a pressure filtration period of 0.5 h. The filtration  
217 periods for experiments 2 and 6 were the same (0.167 h), but the LOD values were different;  
218 a similar observation applies to the results for experiments 4 and 7 (filtration period of 0.5 h).  
219 The poor repeatability of LOD probably occurred due to differences in the effective pressure  
220 of N<sub>2</sub> owing to a small leak in the drier during experiments 6 and 7. Variations in the extent  
221 of solvent removal during fixed periods of pressure filtration are not uncommon and so it can  
222 be difficult to predict the end-point particularly during process scale up. Therefore, the ability  
223 to determine the solvent content without removing material from the drier would be  
224 beneficial. Furthermore, as the solvent load at the start of vacuum agitation influences the  
225 time required to dry the powder, it would also be useful to be able to detect the end-point of  
226 drying in real time.

227 Non-invasive Raman measurements were made throughout each pressure filtration period.  
228 Underivatised and 1<sup>st</sup> derivative Raman of COA and methanol can be found in Fig. S1a and  
229 Fig. S1b, respectively, of the Supplementary Information. A full assignment of the main  
230 peaks in the underivatised spectra is also given. Shown in Fig. 2a and Fig. 2b are example  
231 underivatised and 1<sup>st</sup> derivative Raman spectra (550 – 1250 cm<sup>-1</sup>), respectively, recorded  
232 during experiment 5 (1 h pressure filtration). A large background arising from the glass wall  
233 of the vessel was observed above approximately 1150 cm<sup>-1</sup> in all Raman spectra as shown in

234 Fig. 2a. The signal at 733 and 738  $\text{cm}^{-1}$  in Fig. 2a and Fig. 2b, respectively, can be attributed  
235 to the PTFE agitator. The associated univariate curves from the methanol peak centred at  
236 1044  $\text{cm}^{-1}$  and the COA peak centred at 1076  $\text{cm}^{-1}$  in the 1<sup>st</sup> derivative spectra are given in  
237 Fig. 3. Drying curves obtained for COA using the peaks at 910 and 1750  $\text{cm}^{-1}$  in the 1<sup>st</sup>  
238 derivative spectra were comparable to that shown in Fig. 3a. The increase in the COA signal  
239 in Fig. 3 is attributable to two processes that occurred as the methanol was removed under  
240 pressure, i.e. there was a decreasing amount of methanol to contribute to the Raman  
241 scattering and the bulk density of the COA particles increased as the static bed of particles  
242 became more densely packed. At the commencement of the pressure filtration period there  
243 was an excess of methanol in relation to COA particles in the slurry, hence the low starting  
244 intensity of the COA peak. When the positive pressure was applied, the solvent was removed  
245 rapidly which compressed the COA particles into a packed bed of wet particles, resulting in a  
246 substantial reduction in the signal for methanol and a sharp rise in the signal for COA. The  
247 densification process continued as more of the methanol was removed and the COA signal  
248 continued to increase, albeit more slowly. Similar relative changes in the two Raman signals  
249 were noted for the other periods of pressure filtration, but to different extents. At the end of  
250 each pressure filtration phase, a sample was extracted for LOD and particle size analysis. The  
251 LOD data for each experiment are given in Table 1 and the LOD values are plotted against  
252 the univariate Raman signal for methanol at 1044  $\text{cm}^{-1}$  (from 1<sup>st</sup> derivative spectra) in Fig. 4.  
253 Fig. 4 shows that a correlation exists between the LOD values and the Raman signal for  
254 methanol at the end of the pressure filtration period. This demonstrates that the Raman signal  
255 can be used to estimate the amount of remaining solvent in the drier, and it follows that  
256 Raman measurements could then be used to control pressure filtration so that a specific target  
257 range of LOD could be achieved without performing LOD measurements at defined time  
258 points.

259 Examples of the particle size distribution data for two experiments (pressure filtration times  
260 of 0.167 (experiment 2) and 1 h (experiment 5)) are shown in Fig. 5 along with the size  
261 distribution of the initial particles before preparation of the slurry. Also, the median (D50)  
262 Feret Max diameter of the particles after pressure filtration is given in Table 2 for each  
263 experiment. The particle size distribution data obtained from dynamic image analysis  
264 indicated a small increase in the number of shorter needles and fewer larger needles after  
265 pressure filtration. However, the extent of needle breakage was not significant for the time  
266 periods investigated when the filtration pressure was 0.5 bar. For significant needle breakage  
267 to occur, the applied pressure would have had to exceed the critical stress required to create  
268 fractures or failure modes in the particles (MacLeod and Muller, 2012). From comparison  
269 with similar compounds, the maximum stress developed in the particles with a pressure of  
270 0.5 bar is of the order of 1 – 4 MPa (MacLeod and Muller, 2012), which is lower than the  
271 tensile strength (typically 5 – 14 MPa) of many common pharmaceutical materials (Roberts  
272 et al., 1995).

### 273 **3.2. Vacuum agitated drying period**

274 Non-invasive Raman spectrometry successfully identified the end-point of powder drying  
275 using the procedures outlined in section 2.5. The LOD values of the powders at the end of  
276 each vacuum agitated drying period were  $\leq 0.5\%$  w/w (Table 1). In this study, the drying end  
277 point was decided by the operator observing the Raman signals on a monitor, however, if the  
278 procedure were to be implemented in a real process, algorithms (such as moving block  
279 standard deviation analysis (Sekulic et al., 1998)) could be established in statistical software  
280 to automatically identify the end of drying. After completion of the experiments, data were  
281 also analysed using principal component analysis (PCA). However, PCA did not offer any  
282 additional information to that obtained using univariate analysis and so will not be discussed  
283 further.

284 As well as indicating when the end point of drying had been reached, the profiles of the  
285 drying curves obtained from the Raman spectra also give information about the physical  
286 status of the particle bed during vacuum agitated drying. This is illustrated in Figs. 6 and 7  
287 for continuous (experiment 2) and intermittent (experiment 6) agitation, respectively. In each  
288 figure, the signal for COA at  $1076\text{ cm}^{-1}$  in the first derivative spectra is overlaid with a drying  
289 curve based on the ratio of the underivatized intensities of MeOH: COA at  $1036$  and  
290  $904\text{ cm}^{-1}$ , respectively. Drying curves showing the removal of methanol could also have been  
291 established by plotting the 1<sup>st</sup> derivative Raman signal at  $1044\text{ cm}^{-1}$  versus time. Both peak  
292 ratio and univariate drying curve methods have been reported previously (Hamilton et al.,  
293 2011). In this study, the peak ratio method was preferred because the effects of particle  
294 motion were normalised, and therefore, a smoother drying curve was produced which was  
295 easier to interpret. The Raman intensities of COA recorded during the pressure filtration step  
296 are included in Figs. 6 and 7 and reflect the influence that removal of most of the methanol  
297 had on the bulk density of the COA, as described in section 3.1.

298 At the start of vacuum drying, a reduction in COA Raman signal was observed at the onset of  
299 agitation, which was again attributable to bulk density effects. The motion of the agitator  
300 induced a shear force that disrupted the packed bed and decreased the number of particles in  
301 the Raman measurement volume. The sharp increase in the signal observed at about 0.22 h in  
302 Fig. 6 can be attributed to a dense volume of particles passing through the laser beam as the  
303 packed bed was broken up. During the period of continuous agitation from 0.25 to 0.45 h in  
304 Fig. 6, a combination of particle attrition and aggregation caused the bulk density of the  
305 material sampled by the Raman laser to increase, resulting in an increase in the Raman  
306 intensity of COA. The maximum COA signal (at 0.45 – 0.60 h in Fig. 6) occurred when the  
307 methanol concentration was at a critical point where there was a maximum in the formation  
308 of liquid bridges between particles. The data in Fig. 4 suggests that the methanol

309 concentration at the critical point was ~5% w/w. After the critical point, the aggregates began  
310 to break up, which reduced the bulk density of the material and caused the COA signal to  
311 decrease again until a constant value was reached near the drying end point (from about  
312 1.25 h in Fig. 6).

313 The drying curve for intermittent agitation, shown in Fig. 7, has some features similar to the  
314 trends for continuous agitation. After pressure filtration, the first 1 min period of intermittent  
315 agitation disrupted the packed bed, which reduced the bulk density and the COA Raman  
316 signal, similar to that observed in the initial period of continuous agitation. There was then a  
317 static period where removal of the solvent under vacuum caused partial re-compression of the  
318 bed and so there was an increase in the Raman signal for COA until a steady state was  
319 reached. By the second 1 min period of agitation (at around 0.833 h in Fig. 7), aggregation  
320 effects began to dominate causing a marked increase in the bulk density of the sampled  
321 material and so the COA Raman signal increased. As there was little remaining solvent to  
322 remove, there was not a significant change in the Raman signal during the second static  
323 period, but when agitation resumed further aggregation occurred, increasing the Raman  
324 signal. Eventually, the aggregated material began to break up during the 1 min agitation  
325 periods and dry powder was produced (by about 2.83 h in Fig. 7).

326 As the intensity of the Raman signal for COA was affected by both aggregation and attrition,  
327 it was not possible to monitor the extent of attrition using *in situ* Raman measurements.  
328 Therefore, samples were extracted at the end of the vacuum agitated drying period of each  
329 experiment for LOD and particle size analysis. Example particle size distributions based on  
330 the Feret Max dimension from dynamic image analysis are given in Fig. 8 for the initial  
331 particles before the slurries were prepared and for particles from experiments 2 and 6. The  
332 D50 median Feret Max values of the particles removed at the end of the pressure filtration  
333 step and the vacuum agitated drying stage are given in Table 2. As previously mentioned,

334 little particle attrition occurred during pressure filtration as indicated by the D50 values in  
335 Table 2. However, the particle size distributions and D50 values showed that considerable  
336 attrition occurred during vacuum drying with continuous agitation, whereas the extent of  
337 attrition was much less for intermittent agitation. This is due to the significant differences in  
338 the shear forces that the particles are exposed to during the different modes of agitation.

339 The experiments carried out during this study allowed further investigation of the relationship  
340 between particle attrition and the agitation time (Fig. 9a) and the solvent load (particle  
341 wetness) at the start of agitation (Fig. 9b). Fig. 9 combines the data from the continuous and  
342 intermittent agitation experiments. The results displayed in Fig. 9a show that particle attrition  
343 occurred within the first 0.5 h of agitation, but thereafter, additional periods of agitation did  
344 not cause significant further attrition. At the start of agitation there were a high number of  
345 long needles present in all of the samples, and these long needles were most susceptible to  
346 fragmentation caused by collisions with the agitator, the vessel wall or other particles. At this  
347 stage, the attrition rate was high due to the low density and high friability of the COA  
348 particles. The D50 values did not change much beyond 0.5 h of agitation because by then the  
349 majority of the longer needles had undergone the attrition process, and the increase in the  
350 bulk density of the particles through aggregation reduced the shear sensitivity of the particles.  
351 The relationship between particle attrition and starting LOD (i.e. particle wetness) was also  
352 investigated and is shown in Fig. 9b. There does not seem to be a significant difference  
353 between the extent of particle attrition and LOD for the continuously agitated experiments,  
354 with significant particle breakage occurring under all the conditions studied. However, for  
355 intermittent agitation the starting LOD has a greater influence on attrition as more 0.5 h  
356 periods of agitation are required to dry wetter particles, increasing the likelihood of particle  
357 breakage due to the shear forces induced by the agitator.

358



359 **4. Conclusions**

360 The non-invasive Raman measurements, made through the glass wall beneath the oil jacket,  
361 allowed changes in the bulk density caused by particle motion and particle wetness to be  
362 monitored, and so the progression of drying could be tracked in real-time better than in  
363 previous investigations (Hamilton et al., 2011). As variations in the extent of solvent removal  
364 during fixed periods of pressure filtration and drying are not uncommon, the ability to  
365 monitor directly solvent removal and the effects of process conditions on the particle bed  
366 allows better control of particle properties, particularly when the compound is susceptible to  
367 significant attrition and agglomeration effects.

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373

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490

491

492 **Tables**

493 Table 1. Isolation conditions for each of the experiments carried out and % LOD after each  
 494 phase.

495

Experiment	Pressure filtration period/ h	LOD after filtration period/ % w/w	Agitation type <sup>a</sup>	Agitation period/ h	LOD after agitation period/ % w/w
1	0 <sup>b</sup>	104 <sup>b</sup>	CA	2.167	0.05
2	0.167	22	CA	1.216	0.05
3	0.333	7	CA	1.750	0.18
4	0.500	4	CA	0.900	0.09
5	1.000	3	CA	0.500	0.35
6	0.167	40	IA	0.100	0.29
7	0.500	15	IA	0.067	0.50

496

497 <sup>a</sup> CA = continuous agitation and IA = intermittent agitation (1 min agitation per 0.5 h of  
 498 drying).

499 <sup>b</sup> cake left to filter for 1 h at atmospheric pressure to allow enough methanol to be removed  
 500 by gravity in order to start drying; LOD quoted is after 1 h period.

501



502 Table 2. Median Feret Max values obtained at the end of each drying phase for experiments 1  
503 – 7.

Experiment	Agitation type <sup>a</sup>	QICPIC Feret Max	QICPIC Feret Max
		D50 after pressure filtration <sup>b</sup> / $\mu\text{m}$	D50 after vacuum agitated drying/ $\mu\text{m}$
1	CA	392	236
2	CA	381	216
3	CA	411	181
4	CA	391	189
5	CA	421	221
6	IA	405	343
7	IA	394	368

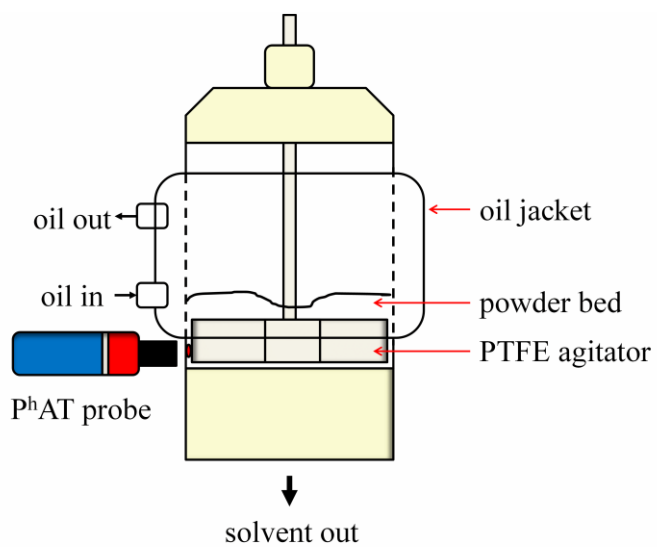
504

505 <sup>a</sup> Continuous agitation (CA) or intermittent agitation (IA) carried out during vacuum agitated  
506 drying period.

507 <sup>b</sup> Average Feret Max D50 value for COA particles before slurries were prepared was  $413 \pm$   
508  $18 \mu\text{m}$  (average  $\pm$  one standard deviation based on duplicate measurements of 3 sub samples;  
509  $n=6$ ).

510

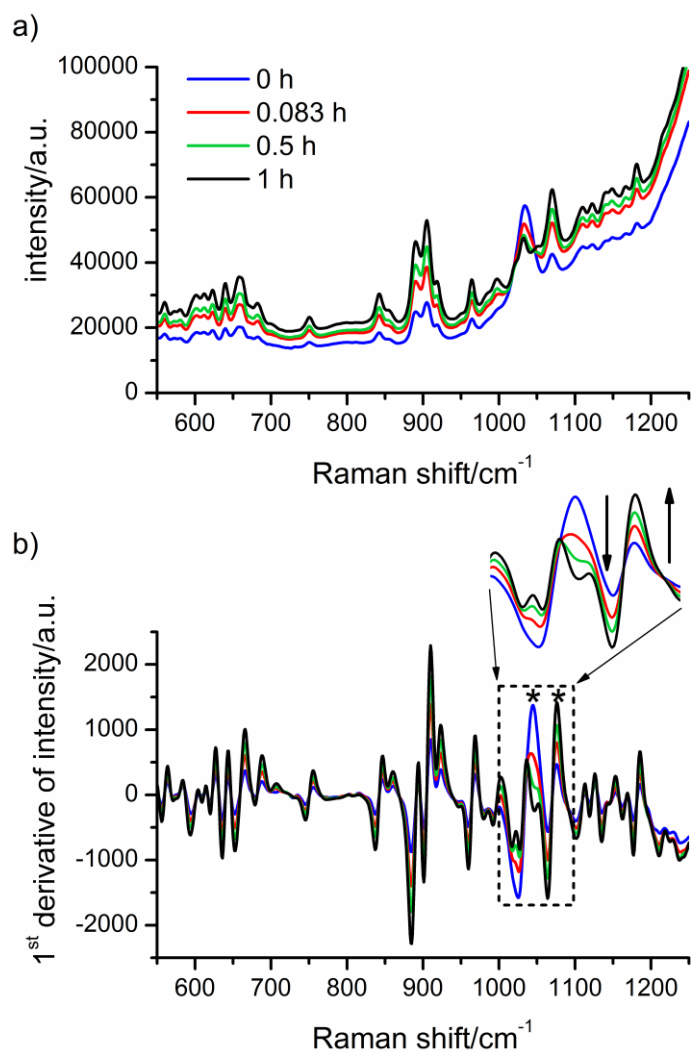
511 **Figures**



512

513 Fig.1. Schematic of the vacuum agitated filter drier. Non-invasive Raman measurements were  
514 made through the glass wall beneath the oil jacket at the side of the vessel.

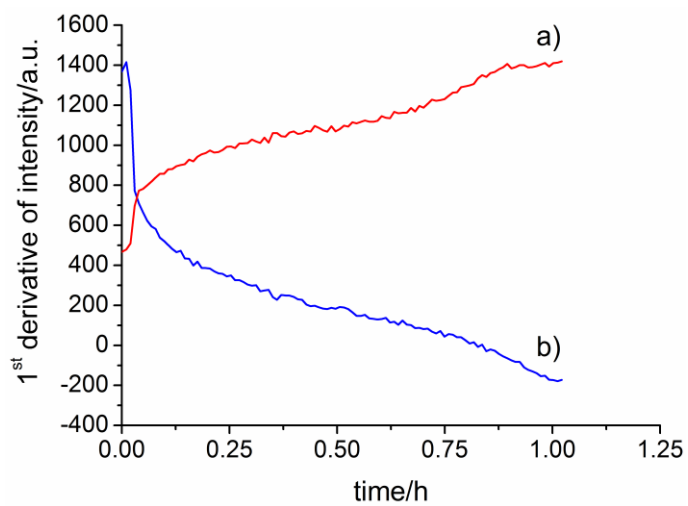
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516

517 Fig. 2. Example a) underivatized and b) 1<sup>st</sup> derivative Raman spectra (550 – 1250 cm<sup>-1</sup>) at  
 518 stated time points during 1 h pressure filtration (experiment 5): blue 0 h; red 0.083 h; green  
 519 0.5 h; black 1 h. \* in b) indicates the peaks at 1044 and 1076 cm<sup>-1</sup> which were used to  
 520 generate drying curves based on methanol and COA, respectively.

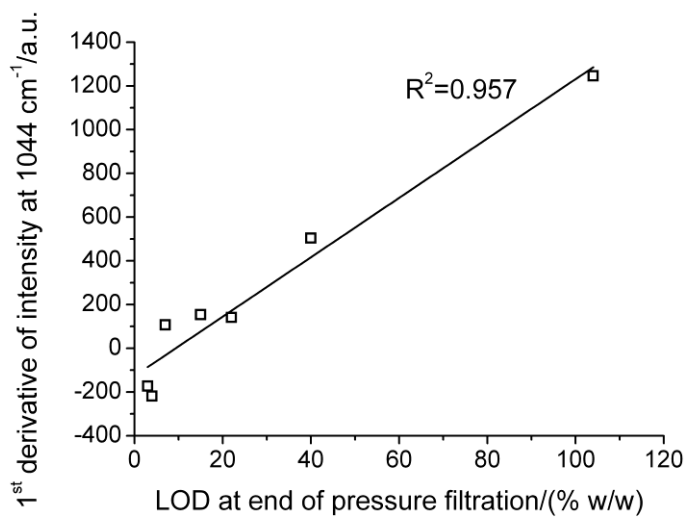
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523 Fig. 3. Univariate drying curves from a) the COA peak centred at  $1076\text{ cm}^{-1}$  (red) and b) the  
524 methanol peak centred at  $1044\text{ cm}^{-1}$  (blue) in the 1<sup>st</sup> derivative Raman spectra during 1 h  
525 pressure filtration (experiment 5).

526

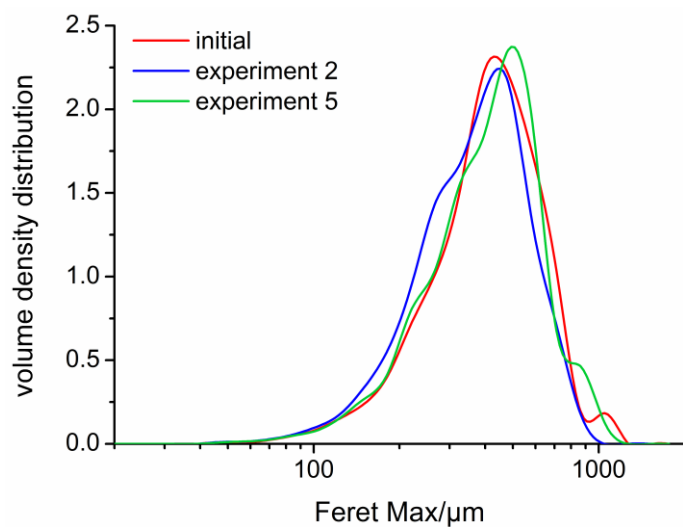


527

528 Fig. 4. LOD data versus the 1<sup>st</sup> derivative Raman signal of methanol at 1044 cm<sup>-1</sup> at the end

529 of the N<sub>2</sub> pressure filtration period.

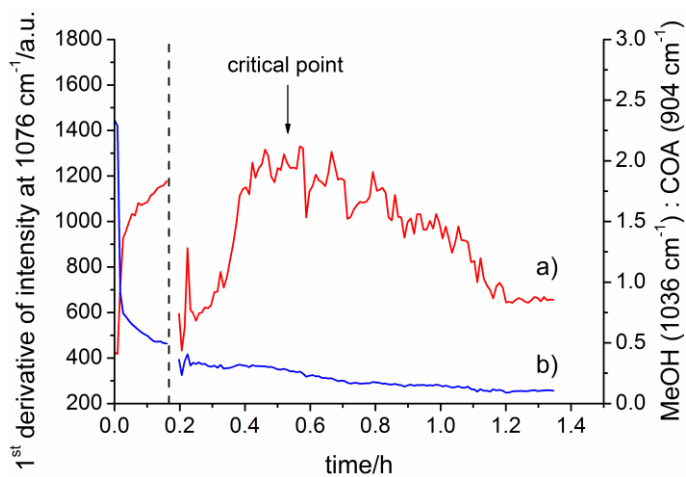
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531

532 Fig. 5. Example Feret Max particle size distributions of COA for the initial particles before  
533 the slurries were prepared (red), and after pressure filtration periods of 0.167 h in experiment  
534 2 (blue) and 1 h in experiment 5 (green).

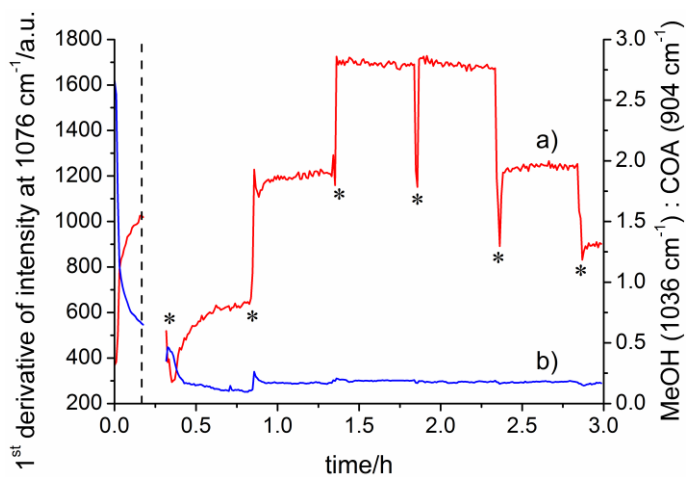
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536

537 Fig. 6. Drying curves from a) 1<sup>st</sup> derivative Raman signal at 1076 cm<sup>-1</sup> arising from COA  
 538 particles (red) and b) the ratio of methanol: COA peaks in the underivatised Raman spectra  
 539 (blue) from experiment 2 (continuous agitation). Dashed black line indicates the end of the  
 540 N<sub>2</sub> pressure filtration period. The discontinuity in the data occurs during the changeover  
 541 period from pressure filtration to vacuum drying.

542

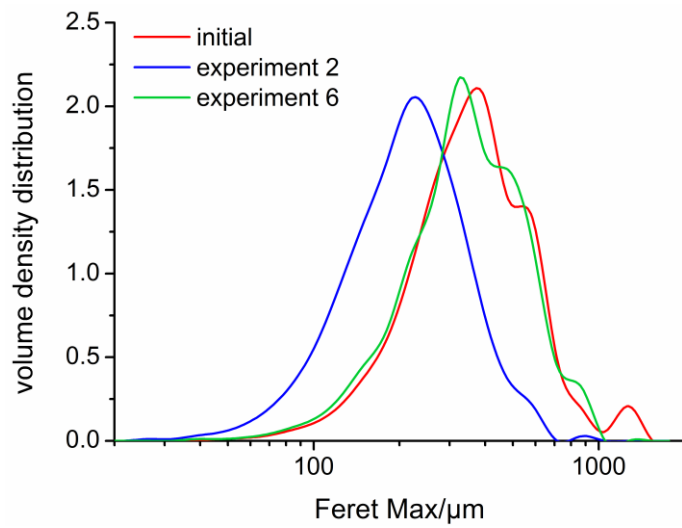


543

544 Fig. 7. Drying curves from a) 1<sup>st</sup> derivative Raman signal at 1076 cm<sup>-1</sup> arising from COA  
 545 particles (red) and b) the ratio of methanol: COA peaks in the underderivatised Raman spectra  
 546 (blue) from experiment 6 (intermittent agitation; \* denotes 1 min agitation periods). Dashed  
 547 black line indicates the end of the N<sub>2</sub> pressure filtration period. The discontinuity in the data  
 548 occurs during the changeover period from pressure filtration to vacuum drying.

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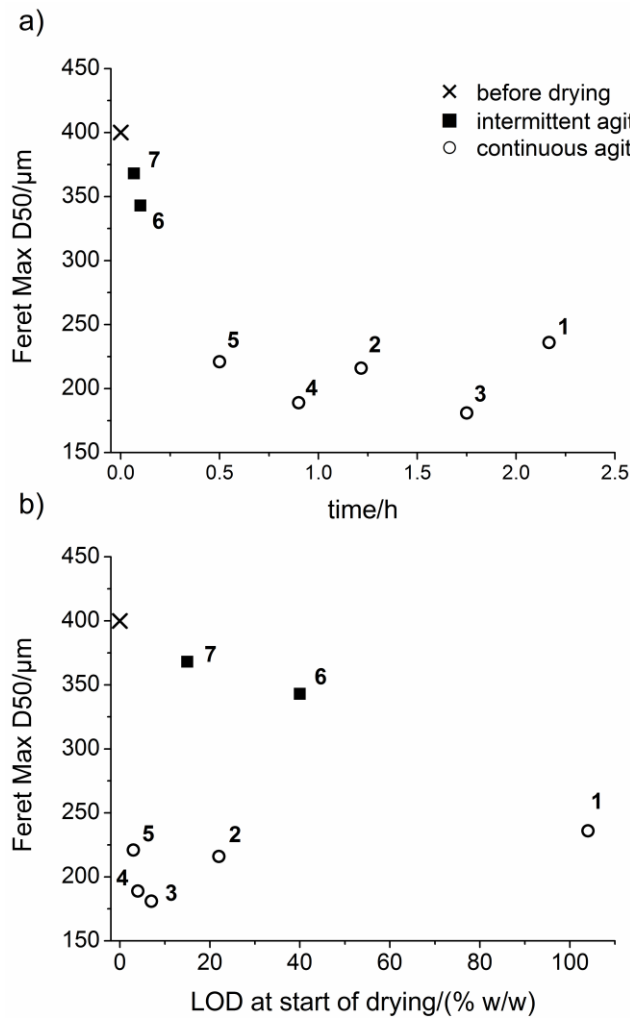




550

551 Fig. 8. Example Feret Max particle size distributions for COA before the slurries were  
552 prepared (red), and after the vacuum agitated drying periods for experiments 2 (blue) and 6  
553 (green).

554



555

556 Fig. 9. QICPIC Feret Max D50 values for particles before drying (which is the average value  
 557 obtained from repeat measurements of 3 sub samples of the COA batch used for the study)  
 558 and after drying for experiments 1 to 7 versus a) total agitation time and b) LOD at the start  
 559 of the vacuum agitated drying period (after pressure filtration).