

1                   **ANALYTICAL MODEL FOR NON-UNIFORM CORROSION-INDUCED**  
2   **CONCRETE CRACKING**

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10  
11   **ABSTRACT**

12   Corrosion-induced concrete cracking is one of the major deterioration mechanisms for  
13   reinforced concrete structures. Evidently, the corrosion process is not uniform along the  
14   circumference of the reinforcement. To model the stress distribution in concrete and determine  
15   the initiation of concrete cracking, a realistic non-uniform corrosion model needs to be  
16   developed. In this paper, a time-dependent corrosion model, producing non-uniform expansion  
17   to concrete, is first established. The stresses in concrete are then formulated through the  
18   employment of complex functions. The time to initiation of concrete cracking is determined  
19   and related to a number of material, geometric and corrosion parameters. The derived analytical  
20   model is verified by comparing the results with those from experimental tests in literature. It  
21   can be concluded that this model is one of very few analytical models that can determine the  
22   stresses in concrete caused by non-uniform corrosion of reinforcement in concrete.

23  
24   **KEYWORDS:** Durability-related properties; Fracture & fracture mechanics; Cracks &  
25   cracking.

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## 28 INTRODUCTION

29 Corrosion of reinforcement is a significant problem affecting the durability of reinforced  
30 concrete (RC) structures. Practical experience and experimental observations (Andrade et al.,  
31 1993, Li, 2003, Otsuki et al., 2000) suggest that corrosion affected RC structures deteriorate  
32 faster in terms of serviceability (e.g., cracking or deflection) than safety (e.g., strength).  
33 Consequently, corrosion can lead to premature deterioration of RC structures, causing concrete  
34 cracking, delaminating and de-bonding. For example, only 4% to 5% degree of corrosion (in  
35 terms of steel mass loss) can cause serviceability failure of RC structures as defined by  
36 corrosion-induced crack width (El Maaddawy et al., 2005). Moreover, the maintenance and  
37 repair costs for corrosion induced deterioration in RC bridges in the United States have been  
38 reported more than \$5 billion per year (Koch et al., 2002).

39  
40 Realising the significance of the problem, considerable research has been conducted during the  
41 last few decades. Early work mainly focused on uniform corrosion along the circumference of  
42 the reinforcing rebar in concrete (Liu and Weyers, 1998). This was probably because most  
43 experiments on producing corrosion in reinforced concrete employed impressed current  
44 technique; such an accelerated corrosion method controls the corrosion rate, hence the degree  
45 of corrosion, by adjusting the current and/or the time of interval applied to the reinforcement.  
46 As such, the corrosion generated by the impressed current method is in a uniform manner along  
47 the rebar. Meanwhile, almost all of the analytical and numerical studies assume a uniform  
48 corrosion expansion exerting between the reinforcement and its surrounding concrete. Under  
49 the corrosion expansion, concrete has been often modelled as a thick-wall cylinder (Bazant,  
50 1979, Pantazopoulou and Papoulia, 2001, Li et al., 2006). Liu and Weyers (1998) proposed an  
51 analytical solution for the time to surface cracking by presuming concrete linear elastic and the

52 uniform corrosion development. This analytical model has soon been improved by a number of  
53 researchers, e.g., (Pantazopoulou and Papoulia, 2001, Li et al., 2006, Bhargava et al., 2006),  
54 who considered concrete as a quasi-brittle material, i.e., the fracture property of concrete being  
55 included in the models. These models can well address the corrosion-induced cracking  
56 behaviour, covering time to cracking initiation, time to surface cracking, surface crack width,  
57 etc. However, the limitation is that only uniform boundary condition can be applied to the  
58 formulation of the stress and strain in concrete.

59

60 More recently, modelling of corrosion-induced cracking of concrete has been focused on  
61 non-uniform corrosion progression at the interface between reinforcement and concrete. Due to  
62 the fact that chlorides, as well as moisture and oxygen, penetrates to the depth of the  
63 reinforcement at different rates on different sides of the concrete, it is very rare to have a  
64 uniform and general corrosion on the reinforcement. It has been reported (González et al.,  
65 1995) that the pitting caused localized deterioration is equivalent to about four to eight times  
66 that of the reinforcement under overall corrosion. To study the non-uniform corrosion caused  
67 structural deterioration, numerical approach, mainly finite element method (FEM), has played a  
68 dominating role (Jang and Oh, 2010, Pan and Lu, 2012, Zhao et al., 2011, Du et al., 2014). Jang  
69 and Oh (2010) considered a few of non-uniform distributions of corrosion products and  
70 simulated the stress states in concrete accordingly. Pan and Lu (2012) modelled the  
71 non-uniform corrosion caused crack propagation in concrete with FEM and the concrete as a  
72 heterogeneous material. Du et al. (2014) employed damage plasticity model to simulate the  
73 concrete cracking under non-uniform corrosion induced expansion. A number of parameters  
74 were investigated on their effects to the surface cracking. Zhao et al. (2011) proposed a  
75 Gaussian distribution for the non-uniform corrosion caused displacement and simulated the  
76 cracking behaviour of concrete by smeared crack model. Literature review suggests (1) the

77 distribution of the non-uniform corrosion caused expansion has seldom been based on  
78 experimental test results but more on assumptions and; (2) no model has been developed in  
79 relating concrete cracking to some basic parameters for the non-uniform corrosion, e.g.,  
80 corrosion rate. As pointed out in (Zhao et al., 2011), this is probably because there is an absence  
81 of reliable data which can characterize the actual non-uniform formation and expansion of the  
82 corrosion products.

83  
84 Yuan and Ji (2009) conducted the accelerated corrosion tests on reinforced concrete samples in  
85 an artificial environmental chamber and obtained a non-uniform corrosion distribution along  
86 the interface between reinforcement and concrete. In their findings, only a half of the  
87 reinforcement, facing concrete cover, was corroded and the corrosion caused expansion was in  
88 a semi-elliptical shape. Amongst limited experimental data, the non-uniform distribution  
89 derived from Yuan and Ji (2009) can provide the reasonable inputs for modelling the  
90 non-uniform corrosion caused concrete cracking. Moreover, although numerical approaches  
91 are more powerful in terms of solving a wider range of problems, analytical solutions are more  
92 accurate and convenient for the practical application. It would be, therefore, ideal to have an  
93 analytical model on non-uniform corrosion induced cracking of concrete. In the analytical  
94 model, some key material and corrosion parameters, e.g., corrosion rate, can be related to the  
95 structural behaviour, e.g., time to cracking. However, the non-uniform stress distribution, along  
96 the hoop direction of the concrete cylinder, requires complex functions to be used in the  
97 analytical formulation. In this case, concrete can only be treated as an elastic material, with  
98 cracking initiation being modelled. The initiation of cracking in concrete marks the start of the  
99 structural deterioration of reinforced concrete structures. After that the structure usually  
100 degrades faster and reaches to limit states quickly.

101 This paper attempts to develop an analytical model for non-uniform corrosion induced concrete  
102 cracking. A time-dependent non-uniform corrosion model is first derived, based on realistic  
103 experimental results from literature. A cracking model is then formulated by using the complex  
104 functions to account for the non-uniform stress distribution on concrete. A number of material,  
105 geometric and corrosion parameters are considered in the analytical model. The initiation of the  
106 concrete cracking is determined as a function of its service time.

107

## 108 **RESEARCH SIGNIFICANCE**

109 Although considerable research has been conducted on modelling corrosion-induced concrete  
110 cracking problems, very few models have been proposed based on non-uniform corrosion of  
111 reinforcement in concrete. Amongst these available models for non-uniform corrosion, most  
112 employed numerical approaches while almost none on an analytical manner. However, it has  
113 become a widely accepted fact that the corrosion of reinforced concrete is normally not  
114 uniform. For accurate prediction of cracking caused by corrosion, it is necessary to consider  
115 non-uniform corrosion expansion in the formulation of stress and strain in the concrete solid. It  
116 is therefore imperative to derive a rational model for corrosion-induced concrete cracking to  
117 achieve the cost effectiveness in the asset management of reinforced concrete structures. To the  
118 best knowledge of the authors, this paper represents the first attempt in the analytical  
119 formulation of time-dependent non-uniform corrosion induced concrete cracking.

120

## 121 **NON-UNIFORM CORROSION MODEL**

122 Concrete with an embedded bar subjected to an internal pressure at the interface between the  
123 bar and concrete can be modelled as a thick-wall cylinder (Bazant, 1979, Pantazopoulou and  
124 Papoulia, 2001, Tepfers, 1979). This is schematically shown in Figure 1(a), where  $D$  is the

125 diameter of the bar;  $d_0$  is the thickness of the annular layer of concrete pores at the interface  
126 between the bar and concrete; and  $C$  is the concrete cover. Usually  $d_0$  is constant once  
127 concrete has hardened. The inner and outer radii of the cylinder are  $a = D/2 + d_0$  and  
128  $b = C + D/2 + d_0$ .

129  
130 The corrosion products (mainly ferrous and ferric hydroxides,  $Fe(OH)_2$  and  $Fe(OH)_3$ ) occupy a  
131 few times more space than the original steel. The corrosion products first fill in the annular  
132 pores in concrete around the reinforcing bar, with thickness  $d_0$ , but normally do not produce  
133 stresses in concrete. As corrosion propagates in concrete, a band of corrosion products forms, as  
134 shown in Figure 1(b). If the reinforcement corrosion is a uniform process along the  
135 circumference of the reinforcing bar, the band becomes a circular ring which causes uniform  
136 expansive pressure on the concrete cylinder (Li et al., 2006). However, this is usually not  
137 realistic as discussed earlier. It has been found that (Yuan and Ji, 2009), the front of corrosion  
138 products for the half of rebar facing concrete cover is in a semi-elliptical shape, while corrosion  
139 of the opposite half of rebar is negligibly small and can be neglected.

140  
141  
142 The total amount of corrosion products  $W_{rust}(t)$  can be assumed to distribute around the bar,  
143 occupying three parts as shown in Figure 1(c): the semi-elliptical band of corroded steel with  
144 maximum thickness  $d_{co-st}$ , the porous circular band  $d_0$  and the semi-elliptical rust band with  
145 maximum thickness  $d_m$ . It should be noted that the semi-major axis for the semi-ellipse of  
146 corrosion front is  $D/2 + d_0 + d_m$ .  $W_{rust}(t)$  can thus be expressed as follows:

147  
148  
149

$$W_{rust}(t) = W_s + W_0 + W_m \quad (1)$$

150 Where  $W_s$  is the amount of rust replacing the corroded steel,  $W_0$  is the amount of rust filling the  
 151 porous band  $d_0$  and  $W_m$  is the amount of rust in the band  $d_m$ .  $W_s$ ,  $W_0$  and  $W_m$  can be derived  
 152 respectively as follows:

$$153 \quad W_s = \alpha_{rust} W_{rust} \frac{\rho_{rust}}{\rho_{st}} \quad (2)$$

$$154 \quad W_0 = \frac{\pi \rho_{rust} (D + d_0) d_0}{2} \quad (3)$$

$$155 \quad W_m = \rho_{rust} \left[ \frac{\pi}{2} \left( \frac{D}{2} + d_0 \right) \left( \frac{D}{2} + d_0 + d_m \right) - \frac{\pi}{2} \left( \frac{D}{2} + d_0 \right)^2 \right] = \frac{\pi \rho_{rust}}{2} \left( \frac{D}{2} + d_0 \right) d_m \quad (4)$$

156  
 157  $\alpha_{rust}$  is the molecular weight of steel divided by the molecular weight of corrosion products. It  
 158 varies from 0.523 to 0.622 according to different types of corrosion products (Liu and Weyers,  
 159 1998).  $\rho_{rust}$  is the density of corrosion products.

160  
 161 By substituting Equations (2-4) into Equation (1), it becomes:

$$162 \quad \frac{2W_{rust}(t)}{\pi} \left( \frac{1}{\rho_{rust}} - \frac{\alpha_{rust}}{\rho_{st}} \right) = Dd_0 + d_0^2 + \frac{D}{2} d_m + d_0 d_m \quad (5)$$

163  
 164 By neglecting the second order of small quantities, i.e.,  $d_0 d_m$  and  $d_0^2$ ,  $d_m$  can be derived as  
 165 follows:

$$166 \quad d_m(t) = \frac{4W_{rust}}{\pi D} \left( \frac{1}{\rho_{rust}} - \frac{\alpha_{rust}}{\rho_{st}} \right) - 2d_0 \quad (6)$$

167  
 168  $d_m(t)$  in Equation (6) is the maximum corrosion-induced expansion along the interface to the  
 169 concrete cylinder under which the stress will be initiated in the cylinder.  $d_m(t)$  determines the

175 shape of the semi-ellipse which is the boundary condition of the concrete cylinder in deriving  
176 stresses and strains in concrete.

177  
178 In Equation (6),  $W_{rust}(t)$  is related to the corrosion rate of the steel rebar and can be expressed as  
179 (Liu and Weyers, 1998):

180

$$W_{rust}(t) = \sqrt{2 \int_0^t 0.105(1/\alpha_{rust})\pi D i_{corr}(t) dt} \quad (7)$$

181

182 where  $i_{corr}$  is the corrosion current density in  $\mu A/cm^2$ , which is widely used as a measure of  
183 corrosion rate.

184  
185 The units of the parameters in Equations (1-7) need to keep consistent. For the clarification and  
186 also the convenience of readers, the units are specified in Table 1.

187  
188 To determine the displacement boundary condition of the concrete cylinder, the function of the  
189 semi-ellipse of the corrosion front needs to be derived. It is known that, in rectangular  
190 coordinate system, the function for an ellipse can be expressed as follows:

191

$$\frac{y^2}{A_L^2} + \frac{x^2}{A_S^2} = 1 \quad (8)$$

192 where  $A_L$  is the half length of major axis and  $A_S$  is the half length of the minor axis for an  
193 ellipse, as shown in Figure 2. The bottom half of the circular band  $d_0$  is shaded for easier  
194 recognition of the three bands, e.g.,  $d_{co-st}$ ,  $d_0$  and  $d_m$ . Based on the geometry in Figure 2,  
195  $A_L$  and  $A_S$  can be obtained, i.e.,  $A_L = D/2 + d_0 + d_m$  and  $A_S = D/2 + d_0$ .

196  
197 Transforming to a polar coordinate system, Equation (8) can be rewritten as follows,  
198



199 
$$r = \frac{A_L A_S}{\sqrt{A_S^2 \sin^2 \theta + A_L^2 \cos^2 \theta}} \quad (9)$$

200

201 Substituting  $A_L$  and  $A_S$  in the above equation,

202

203 
$$r = \frac{(D + 2d_0 + 2d_m)(D + 2d_0)}{\sqrt{(2D + 4d_0)^2 + 16d_m(D + 2d_0 + d_m)\cos^2 \theta}} \quad (10)$$

204

205 The displacement boundary condition of the concrete cylinder  $\delta(\theta, t)$  can therefore be derived,

206 with  $d_m$  substituted in Equation (6):

207 
$$\delta(\theta, t) = \frac{\left[ D + 2d_0 + \frac{8W_{rust}(t)}{\pi D} \left( \frac{1}{\rho_{rust}} - \frac{\alpha_{rust}}{\rho_{st}} \right) - 4d_0 \right] (D + 2d_0)}{\sqrt{(2D + 4d_0)^2 + 32 \left[ \frac{2W_{rust}(t)}{\pi D} \left( \frac{1}{\rho_{rust}} - \frac{\alpha_{rust}}{\rho_{st}} \right) - d_0 \right] \left[ D + 2d_0 + \frac{4W_{rust}(t)}{\pi D} \left( \frac{1}{\rho_{rust}} - \frac{\alpha_{rust}}{\rho_{st}} \right) - 2d_0 \right] \cos^2 \theta}} - \frac{D}{2} - d_0 \quad (11)$$

208

209 where  $0 \leq \theta \leq \pi$ .

210

## 211 CONCRETE CRACKING MODEL

212 As discussed, the concrete is modelled as a thick wall cylinder. In polar coordinate system, the

213 stress components in plane stress/strain elastic body can be expressed in terms of two arbitrary

214 complex functions  $\gamma(z)$  and  $\psi(z)$  (Sadd, 2005) as follows:

215 
$$\sigma_r + \sigma_\theta = 2 \left[ \gamma'(z) + \overline{\gamma'(\bar{z})} \right] \quad (12)$$

216 
$$\sigma_\theta - \sigma_r + 2i\tau_{r\theta} = 2 \left[ z\gamma''(z) + \psi'(z) \right] e^{2i\theta} \quad (13)$$

217 where  $\sigma_r$  is the radial stress component,  $\sigma_\theta$  is the hoop stress component and  $\tau_{r\theta}$  is the shear

218 stress component.

219

220 Solving the Equations (12) and (13) the individual stress components can be derived as follows,

$$221 \quad \sigma_r = \operatorname{Re}\left[2\gamma'(z) - \bar{z}\gamma''(z)e^{2i\theta} - \psi'(z)e^{2i\theta}\right] \quad (14)$$

$$222 \quad \sigma_\theta = \operatorname{Re}\left[2\gamma'(z) + \bar{z}\gamma''(z)e^{2i\theta} + \psi'(z)e^{2i\theta}\right] \quad (15)$$

$$223 \quad \tau_{r\theta} = \operatorname{Im}\left[\bar{z}\gamma''(z)e^{2i\theta} + \psi'(z)e^{2i\theta}\right] \quad (16)$$

224

225 The solution to the complex functions  $\gamma(z)$  and  $\psi(z)$  relies on solving the boundary conditions  
 226 of the hollow circular cylinder. There are two types of boundary conditions that can be  
 227 formulated, i.e., stress boundary condition and displacement boundary condition. These  
 228 conditions can be expressed as follows:

$$229 \quad \gamma(z) + z\overline{\gamma'(z)} + \overline{\psi(z)} = iF(z) \quad \text{for stress boundary condition} \quad (17)$$

$$230 \quad \kappa\gamma(z) - z\overline{\gamma'(z)} - \overline{\psi(z)} = 2G\delta(z) \quad \text{for displacement boundary condition} \quad (18)$$

231 where  $F(z)$  is the resultant force exerting on a boundary,  $G$  is the shear modulus,  $\delta$  is the  
 232 displacement exerting on a boundary.  $\kappa = 3 - 4\nu$  for plane strain problem and  $\kappa = \frac{3 - \nu}{1 + \nu}$  for  
 233 plane stress problem, while  $\nu$  is the Poisson's ratio.

234

235 As discussed, the expansive mechanism is modelled as displacement boundary condition to the  
 236 inner circle of the concrete cylinder. The inner boundary condition can be expressed as follows,

$$237 \quad \kappa\gamma(z_1) - z_1\overline{\gamma'(z_1)} - \overline{\psi(z_1)} = 2G\delta(z_1, t), \quad z_1 = ae^{i\theta} \quad (19)$$

238 The outer boundary is the surface of the concrete cover. Therefore, the stress condition can be  
 239 formulated as follows,

$$240 \quad \gamma(z_2) + z_2\overline{\gamma'(z_2)} + \overline{\psi(z_2)} = iF(z_2) = 0, \quad z_2 = be^{i\theta} \quad (20)$$

241

242 According to Laurent's Theorem, each complex potential can be expressed as a power series,  
 243 reducing the stress and/or displacement boundary problems to sets of simultaneous linear  
 244 equations in the coefficients of the two power series (Muskhelishvili, 1953).

$$245 \quad \gamma(z) = \sum_{-\infty}^{+\infty} \alpha_n z^n \quad (21)$$

$$246 \quad \psi(z) = \sum_{-\infty}^{+\infty} \beta_n z^n \quad (22)$$

247 where  $a \leq |z| \leq b$ . Substituting Equations (21) and (22) into the stress boundary condition, i.e.,  
 248 Equation (20), it becomes

$$249 \quad \sum_{-\infty}^{+\infty} \alpha_n z_2^n + z_2 \sum_{-\infty}^{+\infty} n \overline{\alpha_n} z_2^{n-1} + \sum_{-\infty}^{+\infty} \overline{\beta_n} z_2^n = 0, \quad \text{for } z_2 = be^{i\theta} \quad (23)$$

250 For the sake of simplifying Equation (23), both sides of the equation are multiplied by  
 251  $e^{-im\theta}$  ( $m = 0$  or integer), followed by integration with respect to  $\theta$  from 0 to  $2\pi$ . The boundary  
 252 condition (Equation 23) then becomes:

$$253 \quad \sum_{-\infty}^{+\infty} \alpha_n b^n \int_0^{2\pi} e^{in\theta} e^{-im\theta} d\theta + be^{i\theta} \sum_{-\infty}^{+\infty} n \overline{\alpha_n} b^{n-1} \int_0^{2\pi} e^{-i(n-1)\theta} e^{-im\theta} d\theta + \sum_{-\infty}^{+\infty} \overline{\beta_n} b^n \int_0^{2\pi} e^{-in\theta} e^{-im\theta} d\theta = 0 \quad (24)$$

254 It can be mathematically proved that,  $\int_0^{2\pi} e^{in\theta} d\theta = 0$  if  $n$  is an integer or  $2\pi$  if  $n = 0$ . Therefore  
 255 Equation (24) can be simplified as follows:

$$256 \quad b^{2m} \alpha_m + (2-m)b^2 \overline{\alpha_{2-m}} + \overline{\beta_{-m}} = 0 \quad (25)$$

257 Similarly, by substituting the power series, the inner displacement boundary condition, i.e.,  
 258 Equation (19), becomes,

$$259 \quad \kappa \sum_{-\infty}^{+\infty} \alpha_n z_1^n - z_1 \sum_{-\infty}^{+\infty} n \overline{\alpha_n} z_1^{n-1} - \sum_{-\infty}^{+\infty} \overline{\beta_n} z_1^n = 2G\delta(\theta, t), \quad \text{for } z_1 = ae^{i\theta} \quad (26)$$

260 Substituting  $z_1 = ae^{i\theta}$ , multiplying  $e^{-im\theta}$  and integrating with respect to  $\theta$  from 0 to  $2\pi$ ,

261 Equation (26) can be simplified as follows:

$$262 \quad \kappa a^{2m} \alpha_m - (2-m)a^2 \bar{\alpha}_{2-m} - \bar{\beta}_{-m} = \frac{a^m G}{\pi} \int_0^{2\pi} \delta(\theta, t) e^{-im\theta} d\theta \quad (27)$$

263 By combining Equation (25) and (27),  $\alpha_m$  and  $\beta_m$  can be derived.

$$264 \quad \alpha_m = \frac{(2-m)(a^2 - b^2) a^{2-m} G \int_0^{2\pi} \bar{\delta}(\theta, t) e^{i(2-m)\theta} d\theta + [\kappa a^{2(2-m)} + b^{2(2-m)}] a^m G \int_0^{2\pi} \delta(\theta, t) e^{-im\theta} d\theta}{\pi \left\{ (\kappa a^{2m} + b^{2m}) [\kappa a^{2(2-m)} + b^{2(2-m)}] - m(2-m)(a^2 - b^2)^2 \right\}} \quad (28)$$

$$265 \quad \beta_m = \frac{\pi [\kappa a^{-2(m+1)} + b^{-2(m+1)}] \bar{\alpha}_{-m} + a^{-(m+2)} G \int_0^{2\pi} \bar{\delta}(\theta, t) e^{-im\theta} d\theta}{\pi (a^{-2} + b^{-2})} \quad (29)$$

266

267 To solve  $\alpha_m$  and  $\beta_m$  with regards to the integrals in Equations (28) and (29),  $\delta(\theta, t)$ , i.e.,

268 Equation (11), needs to be expanded to Fourier series as follows (MuSkhelishvili, 1953):

$$269 \quad \delta(\theta, t) = \sum_{k=-\infty}^{+\infty} A_k e^{ik\theta} \quad (30)$$

$$270 \quad \text{where } A_k = \frac{1}{2\pi} \int_0^{2\pi} \delta(\theta, t) e^{-ik\theta} d\theta.$$

271

272 It is difficult to derive the explicit integrated form of series coefficients  $A_k$ ; however, those

273 coefficients can be determined by numerical integration once all the other parameters are

274 evaluated.

275

276 Substituting Equations (30) into Equations (28) and (29),

$$\alpha_m = \frac{(2-m)(a^2-b^2)a^{2-m}G\sum_{-\infty}^{+\infty}A_k\int_0^{2\pi}e^{i(2-k-m)\theta}d\theta + [\kappa a^{2(2-m)} + b^{2(2-m)}]a^mG\sum_{-\infty}^{+\infty}A_k\int_0^{2\pi}e^{-i(k+m)\theta}d\theta}{\pi\left\{(\kappa a^{2m} + b^{2m})[\kappa a^{2(2-m)} + b^{2(2-m)}] - m(2-m)(a^2-b^2)^2\right\}}$$

278 (31)

$$\beta_m = \frac{\pi[\kappa a^{-2(m+1)} + b^{-2(m+1)}]\bar{\alpha}_{-m} + a^{-(m+2)}G\sum_{-\infty}^{+\infty}A_k\int_0^{2\pi}e^{-i(k+m)\theta}d\theta}{\pi(a^{-2} + b^{-2})}$$

280 Since  $\int_0^{2\pi} e^{in\theta} d\theta = 0$  if  $n$  is an integer or  $2\pi$  if  $n = 0$ ,  $\alpha_m$  and  $\beta_m$  can be determined.

$$\alpha_m = \frac{2(2-m)(a^2-b^2)a^{2-m}GA_{2-m} + 2[\kappa a^{2(2-m)} + b^{2(2-m)}]a^mGA_{-m}}{(\kappa a^{2m} + b^{2m})[\kappa a^{2(2-m)} + b^{2(2-m)}] - m(2-m)(a^2-b^2)^2}$$

$$\beta_m = \frac{[\kappa a^{-2(m+1)} + b^{-2(m+1)}]\bar{\alpha}_{-m} + 2a^{-(m+2)}GA_{-m}}{a^{-2} + b^{-2}}$$

283 where  $m$  is an integer or 0. The Fourier coefficients  $A_k$  can be numerically determined in  
 284 MatLab and therefore  $\alpha_m$  and  $\beta_m$  are solved. By substituting  $\alpha_m$  and  $\beta_m$  into Equations (21)  
 285 and (22), the stress components, i.e.,  $\sigma_r$ ,  $\sigma_\theta$  and  $\tau_{r\theta}$ , can be calculated from Equations (14) –  
 286 (16).

287

## 288 WORKED EXAMPLE

289 To demonstrate the application of the derived model, a numerical example is undertaken on a  
 290 reinforced concrete beam originally investigated in (Li, 2003). The corrosion was achieved via  
 291 saltwater spray in a customer designed environmental chamber. The values of basic variables of  
 292 the structure and corrosion are shown in Table 2.

293

294 With these values of the basic variables, first of all, the corrosion caused expansion  
295 (displacement) can be obtained.  $d_m(t)$  in Equation (6), defining the shape of the inner  
296 displacement boundary condition of the concrete cylinder, is illustrated as a function of service  
297 time (10 years), shown in Figure 3. Such a development of corrosion expansion is based on the  
298 assumption that the corrosion rate  $i_{corr}$  is time-dependent and equal to  $0.3686\ln(t)+1.1305$   
299  $\mu\text{ A/cm}^2$  (Li, 2003). The inset in Figure 3 represents an enlarged picture showing the initial  
300 progression of the corrosion products. It can be seen that the maximum displacement  $d_m(t)$   
301 starts to increase from zero at around 0.2 year, which is then followed by gradual increase in the  
302 subsequent lifetime. Once  $d_m(t)$  is obtained, the whole inner boundary condition of the  
303 concrete cylinder, represented by Equation (11), can be determined.

304

305 Under the non-uniform expansion caused by reinforcement corrosion, the stresses can be  
306 calculated from Equations (14) – (16). Figures 4 and 5 show the hoop and radial stresses,  
307 respectively, at the inner boundary of the concrete cylinder at 0.23 year. As illustrated in Figure  
308 1 – c, the point of zero degree is located at the right middle of the inner boundary, with  $[0,$   
309  $-\pi/2]$  represents the top right quarter and  $[0, \pi/2]$  represents the bottom right quarter, of the  
310 concrete cylinder. The whole cylinder is symmetric against the y-axis, as shown in Figure 2. It  
311 can be seen that the hoop stress changes along the inner boundary, most of which is under  
312 tension. It has been found the highest hoop stress occurs at the location close to  $15^\circ$ . This is the  
313 location where the crack is initiated. Although most of the concrete is in tension, a small part of  
314 the concrete cylinder, from  $80^\circ$  to  $90^\circ$ , is under mild compression in the hoop direction. It is  
315 worth to mention that the maximum displacement  $d_m(t)$  of the elliptical shape of the boundary  
316 condition is added at the location of  $90^\circ$ . The combined tension and compression distribution of  
317 hoop stress is quite different than previous findings by assuming uniform corrosion expansion

318 where the hoop stresses of the concrete are all in tension, e.g., in (Li and Yang, 2011). Under the  
319 non-uniform expansion, the point at 90° location appears to have the largest radial and  
320 compressive stress. At the region around 15° the radial stress is in tension. It is very interesting  
321 to find, under the non-uniform corrosion expansion, the 90° location is in compression in both  
322 hoop and radial directions, whilst the 15° location is in tension in both directions. It should be  
323 noted that the positive hoop stress in Figure 4 represents tensile stress while the positive radial  
324 stress in Figure 5 represents compressive stress.

325

## 326 **VALIDATION OF THE DEVELOPED MODEL**

327 The derived model is verified by comparing the time to cracking initiation of the model and the  
328 experimental results from literature. According to the literature searched, almost all the test data  
329 regarding the time to cracking initiation are based on uniform corrosion development by  
330 electric current method for accelerated corrosion. For the limited experimental research on  
331 non-uniform corrosion by utilizing artificial environmental chamber with salt spray function,  
332 no data on time to cracking initiation was provided. In light of comparing the derived model  
333 with the test results, a special case of uniform corrosion was solved based on the developed  
334 model. The stresses were then computed by the derived analytical equations and the time to  
335 cracking initiation was determined, according to given tensile strength of the concrete. The  
336 values for all inputs were made the same between the analytical model and the experiment. The  
337 corrosion rate  $i_{corr}$  is time-dependent in this model; but for the purpose of comparison,  
338  $i_{corr} = 100 \mu A / cm^2$  was used as was applied in the test (Mullard and Stewart, 2011). The  
339 comparison to the experimental results is shown in Table 3. The results from the analytical  
340 model and the experimental tests are in reasonable agreement. Further, it is interesting to find  
341 that all the modelled times to cracking initiation are larger than the experimental ones. This is

342 probably because the assumption of the ITZ in the model, i.e., it is totally stress-free when the  
343 corrosion products are filling the band of ITZ. In fact, however, the filling of the corrosion  
344 products in the porous ITZ can cause pressure. As a result, such an assumption of the ITZ will  
345 underestimate the pressure induced by corrosion. The effect of the thickness  $d_0$  of the ITZ will  
346 be elaborated in the following section and illustrated in Figure 8.

347

## 348 ANALYSIS AND DISCUSSION

349 Corrosion rate, normally expressed as corrosion current density  $i_{corr}$ , has been considered one  
350 of the key factors affecting the durability of reinforced concrete structures. A number of  
351 researchers have been working on developing realistic models for the corrosion rate; in the  
352 worked example, a time-dependent corrosion rate was employed. To investigate the effects of  
353 the corrosion rate on the corrosion caused expansion, i.e.,  $d_m(t)$ , 4 constants corrosion rates are  
354 used, i.e.,  $i_{corr} = 0.5 \mu A/cm^2$ ,  $i_{corr} = 1.0 \mu A/cm^2$ ,  $i_{corr} = 5 \mu A/cm^2$  and  $i_{corr} = 10 \mu A/cm^2$ , as  
355 presented in Figure 6(a). These corrosion rates are believed to have covered a wide range of  
356 actual corrosion states of reinforced concrete structures. It can be seen that, as expected, the  
357 increase of the corrosion rate will cause the increase of expansive displacement to the concrete.  
358 The long-term effect is significant; for example, as the corrosion rate grows up to 10 times, the  
359 10-year maximum displacement  $d_m(t)$  could be increased to about 3.5 times. Such an increase  
360 magnitude in the displacement boundary condition is crucial to the stress development in the  
361 concrete cylinder. Nevertheless, the short-term effect is more sensitive, as shown in Figure  
362 6(b). The corrosion rate  $i_{corr} = 10 \mu A/cm^2$  causes immediate form and progression of the rust  
363 band at 0.01 year, whilst the pushing over of the rust band to the concrete cylinder is delayed to  
364 0.19 year for the corrosion rate  $i_{corr} = 0.5 \mu A/cm^2$ . Further, the initial slope of the development



365 of  $d_m(t)$  is considerably steeper for higher corrosion rates. This means the initiation of cracking  
366 might be very sensitive to the corrosion rate.

367

368 Figure 7 shows the development of  $d_m(t)$  as the diameter of the reinforcing rebar changes.  
369 When the diameter of the rebar increases from 12mm to 20mm, the time to the initial  
370 progression of  $d_m(t)$  is delayed slightly, i.e., 0.06 year. The long-term development of  $d_m(t)$   
371 against the service time is also reduced as the diameter of rebar increases. This proves that, for  
372 the same corrosion rate, larger size of rebar favours the corrosion caused expansion and hence  
373 delays the cracking initiation of the concrete.

374

375 Figure 8 demonstrates the effects of the ITZ thickness on the accumulation of the corrosion  
376 products. Three reasonable values of thickness are selected for the calculation of  $d_m(t)$ . As  
377 expected, the increase of the ITZ thickness can delay the occurrence of the expansive  
378 displacement. This is mainly because in this paper the porous ITZ is assumed to fully  
379 accommodate the corrosion products; as a result, the process of the accumulation of corrosion  
380 products in ITZ, does not cause any stress or displacement. In Figure 8, it has been found that  
381 the effect of ITZ thickness on the initial stage of  $d_m(t)$  progression is quite considerable, with  
382 the delay of roughly 83% from 0.18 year to 0.33 year for  $d_0 = 12e-6m$  and  $d_0 = 20e-6m$  ,  
383 respectively. However, the long-term effects on  $d_m(t)$  seems not very significant.

384

385 Figure 4 has shown the maximum tensile stress occurs at the location of around  $15^\circ$ , as a result  
386 of the non-uniform corrosion expansion modelled. It is therefore worth to plot the hoop stress

387 development history of this point. Figure 9 presents the hoop stress development as a function  
388 of service time for the point of  $15^\circ$ . The hoop stress for this point keeps constantly zero until  
389 0.19 year which is followed by a very quick increase up to about 6MPa at 0.23 year. Most  
390 concrete will be cracked at such a level of tensile stress. The initial period of 0.19 year mainly  
391 represents the time for corrosion products propagating into the porous ITZ. Moreover, the  
392 initial 0.23 year represents for the initiation of cracking which marks the start of structural  
393 degradation.

394

395 Figure 10 shows the radial stress development for the point of  $90^\circ$  under the derived  
396 non-uniform corrosion model. This point is where the maximum displacement  $d_m(t)$  is applied  
397 and the maximum compressive stress occurs. Although the main failure type of this problem is  
398 fracture which is caused by tension, Figure 10 could be useful as to indicating the extent of  
399 compression at the time of cracking initiation.

400

401 By knowing the tensile strength of concrete  $f_t$ , the time to cracking initiation can be obtained.  
402 This is based on the failure criterion of the tensile stress reaches the tensile strength of concrete.  
403 As specified in Table 2,  $f_t=5.725\text{MPa}$  is used in this study; hence the effect of corrosion rate on  
404 the time to initiation of cracking can be determined, as shown in Figure 11. Four corrosion rates  
405 are considered and fitted curve ( $R^2=1$ ) was produced according to these four points. It can be  
406 seen that for minor corrosion extent, e.g.,  $i_{corr}$  is between  $0.5\mu\text{A}/\text{cm}^2$  and about  $3.0\mu\text{A}/\text{cm}^2$ ,  
407 the time to cracking initiation is very sensitive to the corrosion rate; however, when  $i_{corr}$  is  
408 larger than  $3.0\mu\text{A}/\text{cm}^2$ , the change in time to initiation of cracking is very small.

409

410 **CONCLUSIONS**

411 In the paper, the stresses in concrete, subjected to non-uniform corrosion of the reinforcement,  
412 have been formulated. The non-uniform stress distribution was analytically solved by using the  
413 complex functions. The displacement boundary condition applied to the concrete cylinder was  
414 derived as a function of the time-dependent corrosion rate. Further, the time to initiation of  
415 concrete cracking has been determined based on the model developed, given values of the key  
416 material and corrosion parameters. It has been demonstrated that the developed analytical  
417 model can simulate the stresses in concrete caused by non-uniform corrosion and predict the  
418 time to cracking initiation. The model has also been partially verified due to the limitation of  
419 available test data on non-uniform corrosion directly. The developed model can be practically  
420 used by industrial engineers and asset managers for their decision-making in regards to  
421 corrosion induced concrete cracking problems.

422

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428

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- 478

479        **LIST OF TABLES**

480    1.   Units of the parameters

481    2.   Values of basic variables used in cracking computation

482    3.   Comparison of time to cracking initiation

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Table 1 Units of the parameters

<b>Parameter</b>	<b>Unit</b>
$D/d_0$	mm
$\rho_{rust}/\rho_{st}$	$kg/m^3$
$\alpha_{rust}$	n.a.
$W_{rust}/W_s/W_0/W_m$	$mg/mm$
$d_m(t)$	$m$
$i_{corr}$	$\mu A/cm^2$
$t$	year

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Table 2 Values of basic variables used in cracking computation

Symbol	Values	Sources
$C$	31 mm	Li (2003)
$D$	12 mm	Li (2003)
$d_0$	12.5 $\mu\text{m}$	Liu and Weyers (1998)
$E_{ef}$	18.82 GPa	Li (2003)
$f_t$	5.725 MPa	Li (2003)
$i_{corr}$	$0.3686\ln(t)+1.1305 \mu\text{A}/\text{cm}^2$	Li (2003)
$\alpha_{rust}$	0.57	Liu and Weyers (1998)
$\nu$	0.18	Liu and Weyers (1998)
$G$	$E/[2(1+\nu)]$	Timoshenko and Goodier (1970)
$\rho_{rust}$	3600 $\text{kg}/\text{m}^3$	Liu and Weyers (1998)
$\rho_{st}$	7850 $\text{kg}/\text{m}^3$	Liu and Weyers (1998)

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Table 3 Comparison of time to cracking initiation

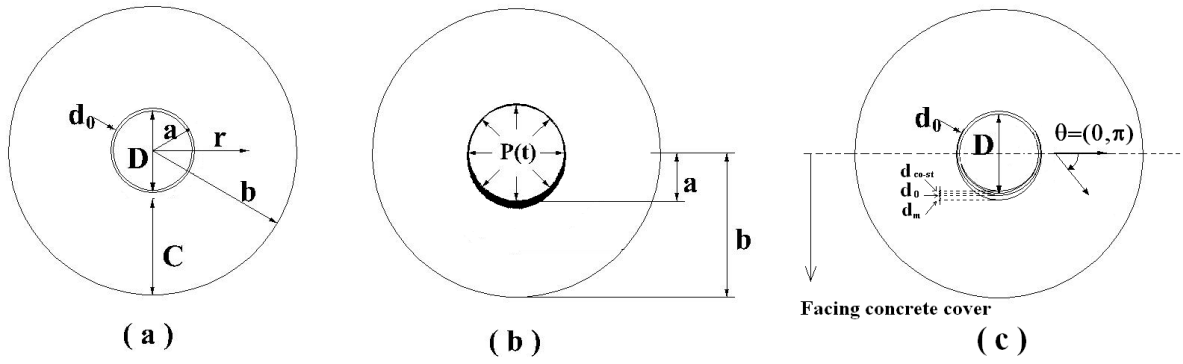
<b>Specimen</b>	<b>D (mm)</b>	<b>C (mm)</b>	<b><math>f_t</math> (MPa)</b>	<b><math>E_{ef}</math> (GPa)</b>	<b>Time to cracking initiation from experiments (Mullard and Stewart, 2011) (hours)</b>	<b>Time to cracking initiation from the model (hours)</b>
1	16	50	2.40	18.82	35	43
2	27	50	2.40	18.82	26	59
3	16	42	3.79	18.82	45	49
4	27	36	3.79	18.82	43	68

498



499 **LIST OF FIGURES**

- 500 1. Illustration of non-uniform internal pressure caused by reinforcement corrosion
- 501 2. Schematic of the bands filled by corrosion products
- 502 3.  $d_m(t)$  as a function of service time
- 503 4. Hoop stress distribution at the inner boundary of the concrete at the time of 0.23 year
- 504 5. Radial stress distribution at the inner boundary of the concrete at the time of 0.23 year
- 505 6. (a) Effects of the corrosion rate  $i_{corr}$  on the development of displacement  $d_m(t)$  as a
- 506 function of service time; (b) enlarged view of  $d_m(t)$  at the initial period
- 507 7. Effects of the diameter of the rebar  $D$  on the progression of  $d_m(t)$
- 508 8. Effects of the thickness of the ITZ on the progression of  $d_m(t)$
- 509 9. Hoop stress development as a result of the non-uniform corrosion process for the location of
- 510  $15^\circ$
- 511 10. Radial stress development as a result of the non-uniform corrosion process for the location
- 512 of  $90^\circ$
- 513 11. Time to the initiation of concrete cracking as a function of the corrosion rate
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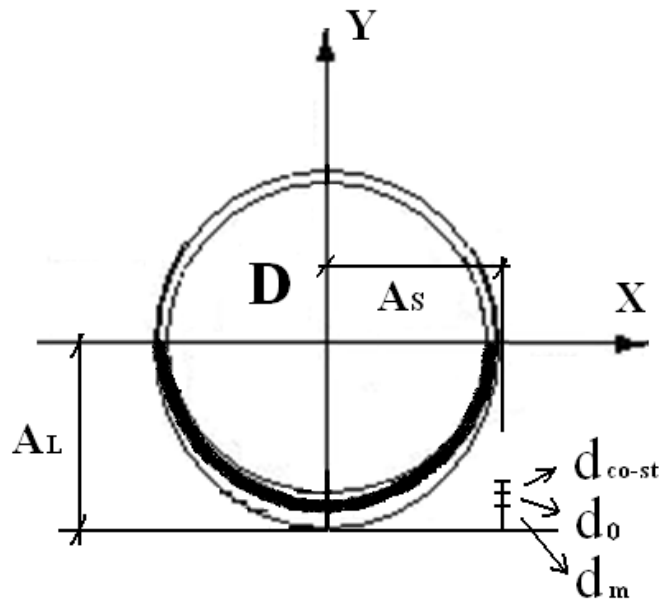


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Figure 1 Illustration of non-uniform internal pressure caused by reinforcement corrosion

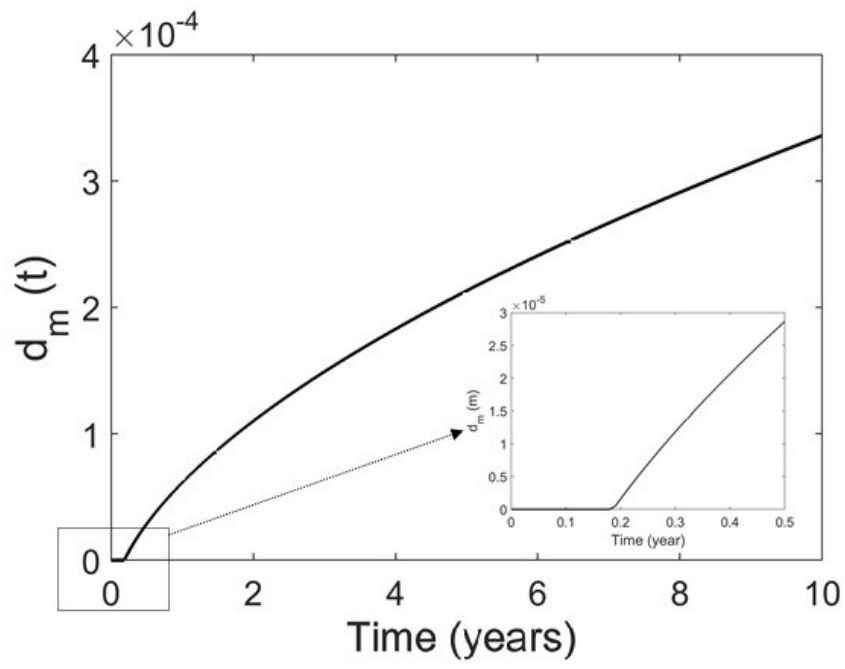
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Figure 2 Schematic of the bands filled by corrosion products

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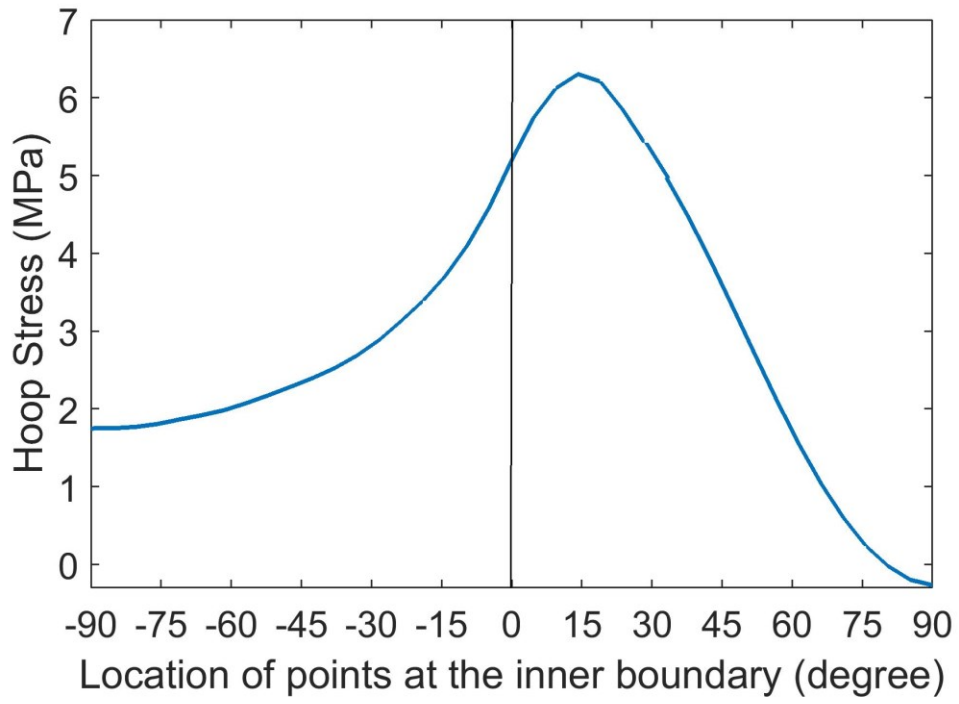
Figure 3  $d_m(t)$  as a function of service time

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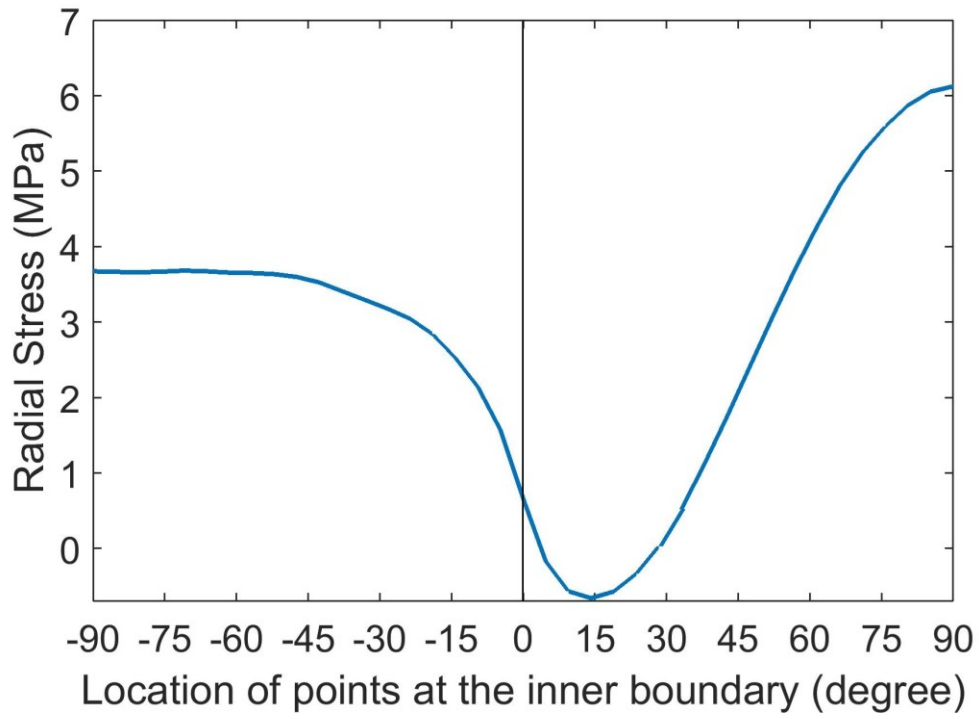
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532 Figure 4 Hoop stress distribution at the inner boundary of the concrete at the time of 0.23 year

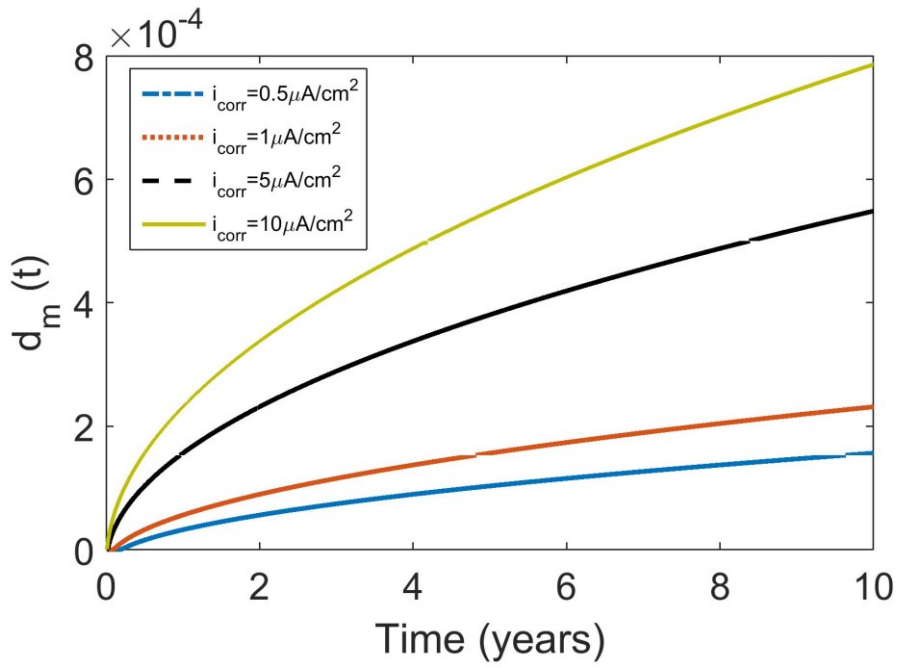
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535 Figure 5 Radial stress distribution at the inner boundary of the concrete at the time of 0.23 year

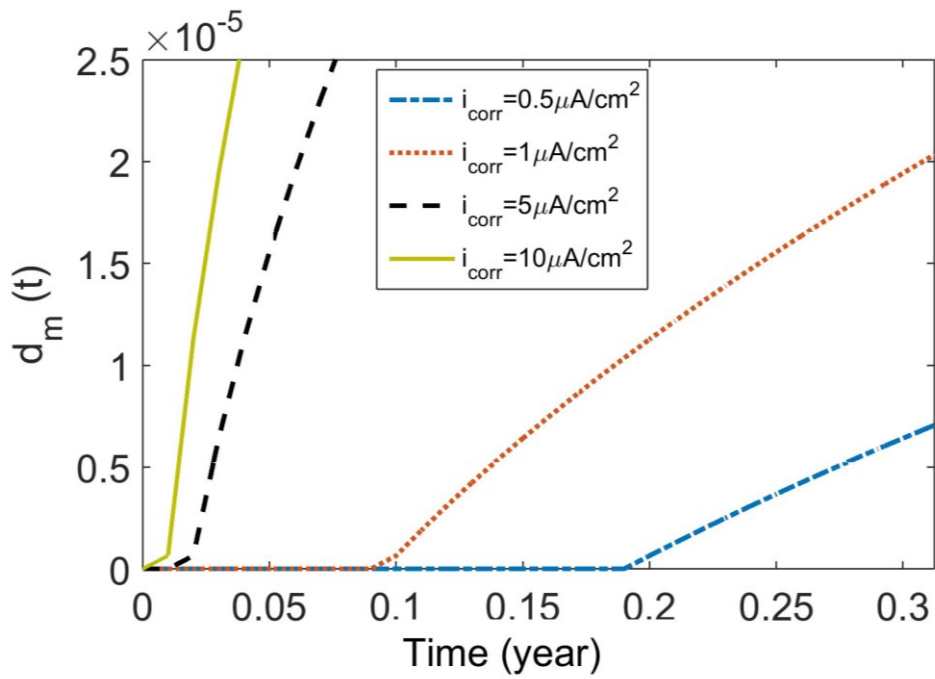
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(a)



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541 Figure 6 (a) Effects of the corrosion rate  $i_{corr}$  on the development of displacement  $d_m(t)$  as a

542 function of service time; (b) enlarged view of  $d_m(t)$  at the initial period

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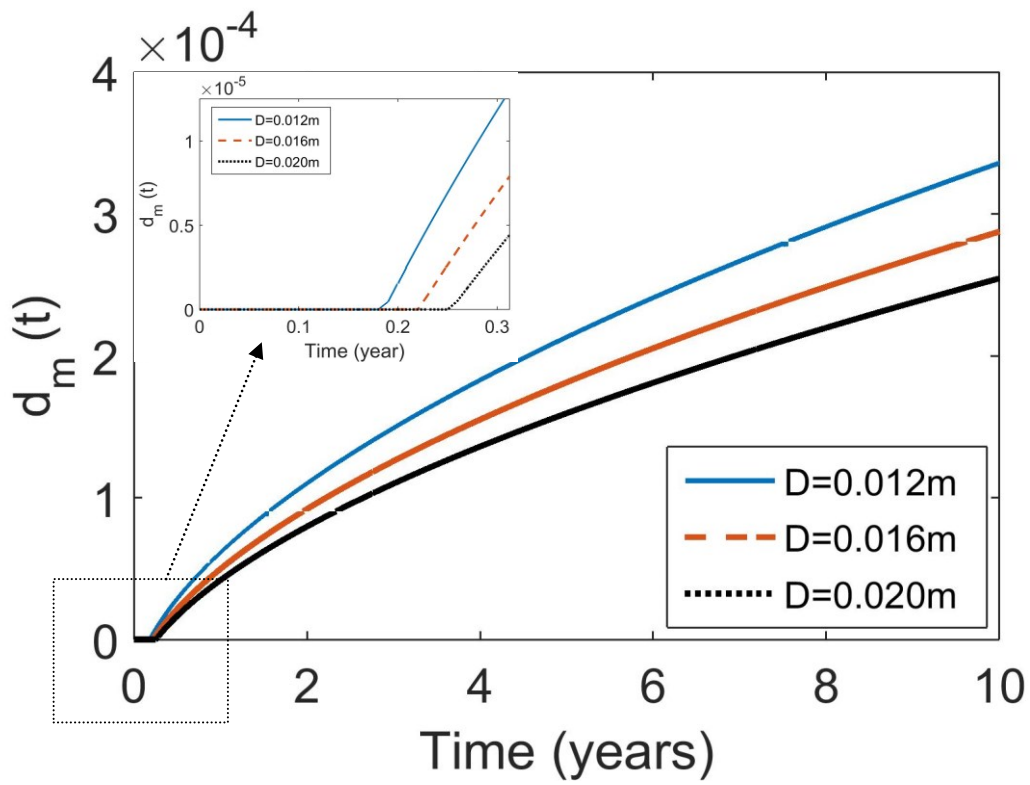
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Figure 7 Effects of the diameter of the rebar  $D$  on the progression of  $d_m(t)$

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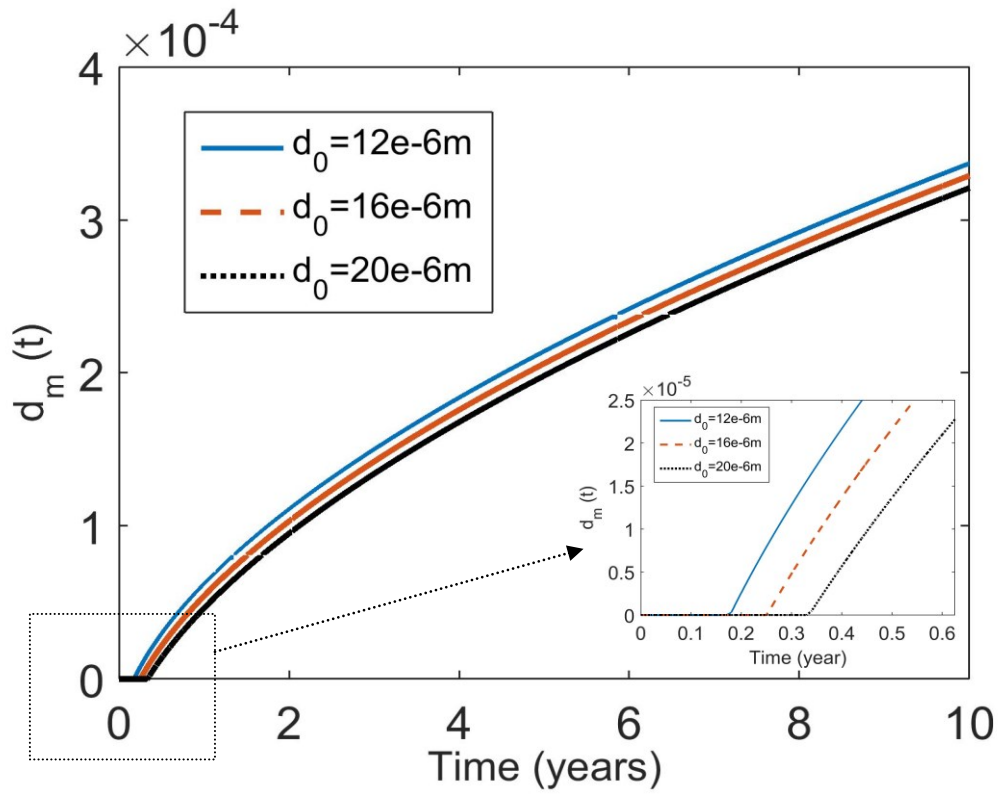
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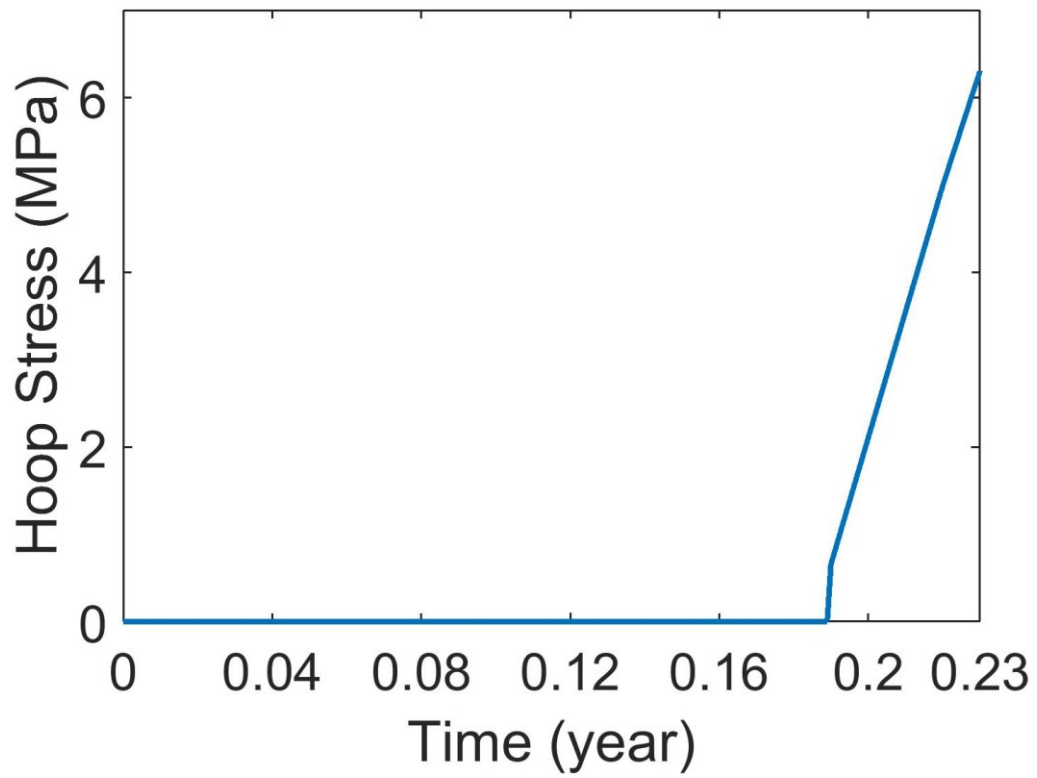
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Figure 8 Effects of the thickness of the ITZ on the progression of  $d_m(t)$

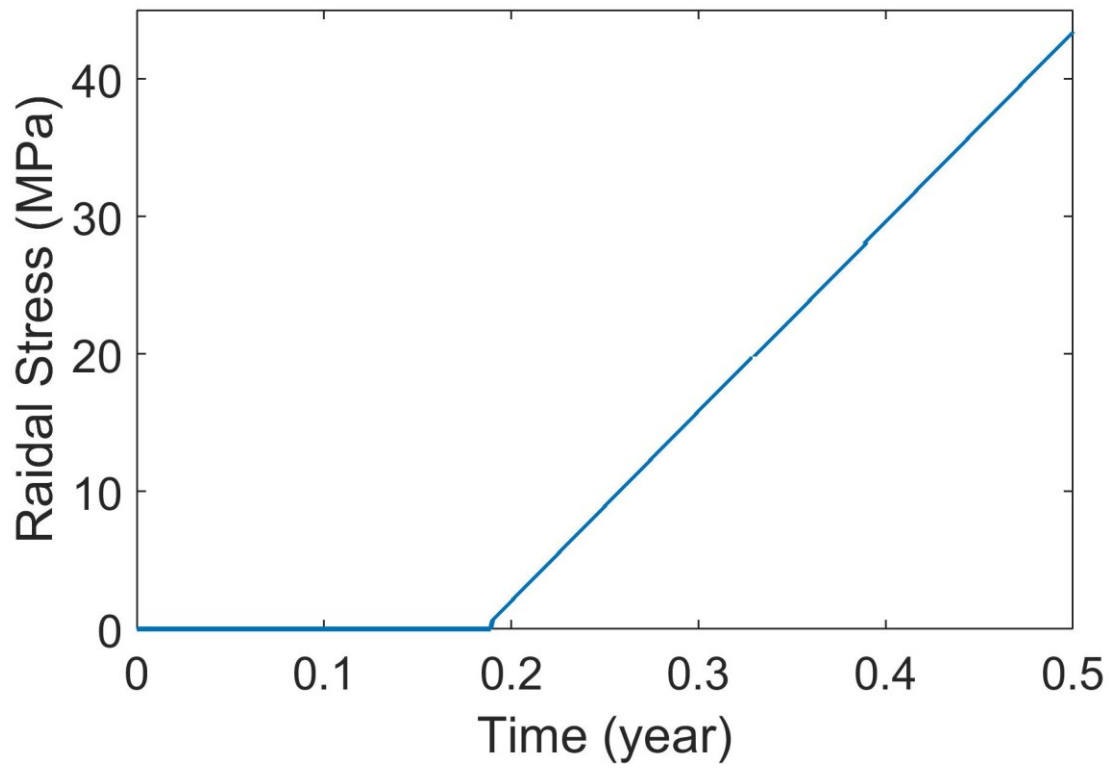
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568 Figure 9 Hoop stress development as a result of the non-uniform corrosion process for the  
569 location of  $15^{\circ}$

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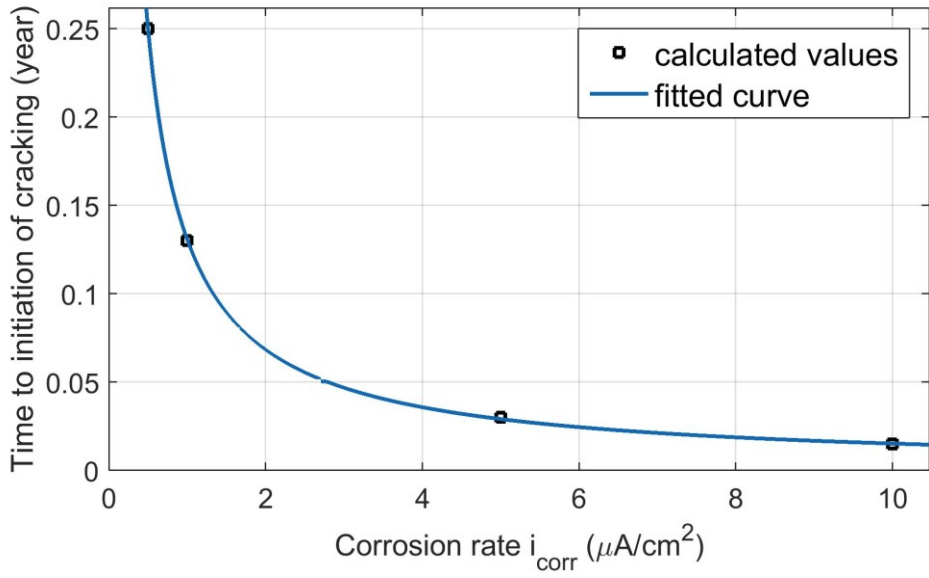
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572 Figure 10 Radial stress development as a result of the non-uniform corrosion process for the

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location of  $90^0$

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576 Figure 11 Time to the initiation of concrete cracking as a function of the corrosion rate

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