

Amerindian (but not African or European) ancestry is significantly associated with diurnal preference within an admixed Brazilian population

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For Peer Review Only

Abstract

Significant questions remain unanswered regarding the genetic versus environmental contributions to racial/ethnic differences in sleep and circadian rhythms. We addressed this question by investigating the association between diurnal preference, using the Morningness-Eveningness questionnaire (MEQ), and genetic ancestry within the Baependi Heart Study cohort, a highly admixed Brazilian population based in a rural town. Analysis was performed using measures of ancestry, using the Admixture program, and MEQ from 1,453 individuals. We found an association between the degree of Amerindian (but not European or African) ancestry and morningness, equating to 0.16 units for each additional percent of Amerindian ancestry, after adjustment for age, sex, education, and residential zone. To our knowledge, this is the first published report identifying an association between genetic ancestry and MEQ, and above all, the first one based on ancestral contributions within individuals living in the same community. This previously unknown ancestral dimension of diurnal preference suggests a stratification between racial/ethnic groups in an as yet unknown number of genetic polymorphisms.

Keywords: Admixture; American Native Continental Ancestry Group; Brazil; Chronotype; Circadian rhythm; Diurnal preference; Sleep homeostasis

Introduction

Our species emerged in Africa near the equator, and groups of anatomically modern humans have subsequently colonized regions closer to the poles and the equatorial regions of the other continents. Settlements away from the equator are exposed to higher-amplitude photoperiods, which may have favoured evolutionary adaptations to the circadian system facilitating entrainment across this higher-amplitude photoperiod. Indeed, differences in parameters of the circadian system were observed in the only available report of this kind, a small study comparing African-Americans and European-Americans, where the former displayed a shorter average circadian period and smaller phase shifts (Eastman, Suh et al., 2015). The same study used ancestrally informative markers (AIMs) to assess degree of genetic admixture. However, previous work has been incapable of adjusting for socio-economic inequalities, which are generally correlated with race/ethnicity, particularly in the United States. A different approach was taken for the current work, where we have used a cohort with a large and well-defined degree of genetic admixture to determine whether genetic ancestry was associated with diurnal preference.

The Baependi Heart Study cohort is based in a small rural town in the state of Minas Gerais in Brazil. (Egan, von Schantz et al., 2016) In this highly admixed population, a mixture of European, African, and Amerindian ancestries is found within virtually all individuals. Here, we aimed to determine whether the degree of each of these ancestries was associated with diurnal preference, based on the morningness-eveningness questionnaire (MEQ) (Horne & Östberg, 1976). We have previously

Egan et al: Association between ancestry and MEQ score

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4 reported an essentially normal distribution of MEQ score within this population, but with
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6 a strong general shift towards morningness (von Schantz, Taporoski et al., 2015). The
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8 combination of a high degree of admixture, and a largely homogeneous environment
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10 and lifestyle, makes this cohort an ideal sample for examining the association between
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12 ancestry and circadian preference.
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15 16 17 18 **Methods** 19

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22 The study protocol conformed to the tenets of the Declaration of Helsinki, and was
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24 approved by the ethics committee of the Hospital das Clínicas, University of São Paulo.
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26 Each subject provided informed written consent before participation. The recruitment
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28 and the demographics of this cohort has been described previously. Briefly, this study
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30 was set up in 2005 through the recruitment first of randomly selected probands and then
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32 of their family members and relatives as far as they could be traced (95 families at
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34 baseline). The community is traditional, with a cohesive culture, high degree of
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36 admixture, and very limited inbound migration. Collection of diurnal
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38 preference/chronotype data using the Brazilian Portuguese version of the Morningness-
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40 Eveningness questionnaire (Horne & Östberg, 1976; Benedito-Silva, Menna-Barreto et
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42 al, 1989) commenced in April 2013 (von Schantz, Taporoski et al., 2015). Analysis of
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44 genomic ancestry was conducted using the Admixture program (Alexander, Novembre
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46 et al., 2009), a software tool for maximum likelihood estimation of individual ancestries
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48 from multilocus SNP genotype datasets. Specifically, Admixture uses a block relaxation
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50 approach to alternately update allele frequency and ancestry fraction genotyped to
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Egan et al: Association between ancestry and MEQ score

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4 about 900,000 SNPs on Affymetrix (Santa Clara, CA) SNP array 6.0 platform. We
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6 assumed as reference populations individuals from the Human Genome Diversity
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8 Project (HGDP): Pima, Maya as Amerindians and from the HapMap project, Africans:
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10 YRI (Yoruba in Ibadan, Nigeria), LWK (Luha in Webuye, Kenya), ASW (Americans of
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12 African Ancestry in SW USA); European: CEU [Utah Residents (CEPH) with Northern
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14 and Western European ancestry] and TSI (Tuscan in Italy).

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18 Analysis was performed using measures of ancestry and MEQ from 1,453 individuals.
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20 We used a polygenic mixed model, a well-known methodology for calculating heritability
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22 estimates in family studies (de Andrade, Amos et al., 1999).. Here, it was used to
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24 quantify the effect of covariates of MEQ score. We used the approach implemented in
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26 the kinship2 package in the R software environment (version 1.5.7, [http://CRAN.R-](http://CRAN.R-project.org/package=kinship2)
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28 [project.org/package=kinship2](http://CRAN.R-project.org/package=kinship2))(Therneau, 2014).
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33 After descriptive analysis, several models were fitted to the data. First, we adjusted
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35 three models, European (EUR) African (AFR) and Amerindian (AMR) ancestries
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37 separately in each model. Fourth, we adjusted models to test the effect of AMR
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39 ancestry with age and sex as covariates, whereas the fifth model also included age, **sex**,
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41 residential zone, and education. Finally, in order to exclude the possibility that the
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43 observed associations were the result of floor/ceiling effects for participants over 60, we
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45 repeated the analysis with individuals aged 60 and below.
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51 Results

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Egan et al: Association between ancestry and MEQ score

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The average MEQ score in this sample was 63.5 ± 10.8 (average \pm SD), average age was 46.9 ± 16.1 years, 60% were female, and 87% were resident in the urban (as opposed to rural) zone of the municipality. Education level within the population ($n = 1,453$) was categorised as follows: 6% (90) had no schooling, 31.5% (458) had 1–4 years of education, 19.8% (287) had 5–8 years and (390), 27% 9–11 years. 6.7% (97) had some further education, and 9% (131) were university graduates. The ancestral contributions were $78.7 \pm 17.7\%$ European (range 0.0–99.5%), $9.2 \pm 4.5\%$ Amerindian (range 0.0–28.0%), and $12.1 \pm 18.1\%$ African (range 0.0–100.0%). The distribution of these three ancestries within individuals is shown in Figure 1A. European or African ancestry did not explain a significant proportion of the variation in MEQ score ($\beta = 0.020$, $p = 0.25$ and $\beta = -0.033$, $p = 0.056$ respectively) whereas there was significant statistical effect for the degree of Amerindian ancestry ($\beta = 0.22$, $p = 0.0015$). For full results, see Table 1. When repeating these analyses to account for the influence of age and sex, a significant association remained for Amerindian (β coefficient = 0.22, $p = 0.0012$). Finally, after the analyses were repeated to account for the influence of age, sex, education, and residential zone, Amerindian ancestry maintained an effect on MEQ (MEQ $\beta = 0.16$, $p = 0.009$). β for other variables were age (0.26, $p < 1 \times 10^{-5}$), sex (-1.27, $p = 0.0093$), education (-0.59, $p < 1 \times 10^{-5}$) and residence (4.75, $p < 1 \times 10^{-5}$). Where analyses were repeated with only individuals aged 60 or below, the association between AMR ancestry and MEQ remained significant ($\beta = 0.24$, $p = 0.0037$).

Discussion

Egan et al: Association between ancestry and MEQ score

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4 We found that Amerindian, but not African or European ancestry, was associated with
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6 greater morningness as assessed through the MEQ within an admixed Brazilian
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8 community. To our knowledge, this is the first published report identifying an association
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10 between genetic ancestry and MEQ, and above all, the first one based on ancestral
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12 contributions within individuals living in the same community.
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15 The MEQ contains two dimensions, a circadian one and one related to sleep
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17 homeostasis.⁷ The difference reported here cannot be directly attributed to either of
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19 these. It is also possible that differences related to genetic ancestry may relate to
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21 circadian period on one hand and to sleep homeostasis in the other in ways which
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23 counteract each other in terms of the MEQ. Thus, the fact that we found no significant
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25 association between MEQ and African ancestry does not in itself contradict the findings
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27 of Eastman and colleagues (Eastman, Suh et al., 2015), which were based on
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29 physiological measures related to circadian period, but not sleep homeostasis. **It is not**
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31 **inconceivable that adaptation to different latitudes could involve differences in circadian**
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33 **period. For example,** an inverse relationship between circadian period length and
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35 latitude **has been reported in *Drosophila*** (Hut, Paolucci et al., 2013). It is not known
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37 whether this relationship also applies in mammals, and indeed, it would not be feasible
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39 to investigate this in humans on the scale that would be required.
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47 It has been reported that sleep homeostasis can be influenced by genetic ancestry; a
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49 cross-sectional study performed in the United States found greater African ancestry was
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51 associated with a lower slow wave sleep to total sleep time ratio in older African
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53 Americans (as measured by home sleep recordings) (Halder, Matthews et al., 2015).
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55 Within the Baependi cohort, analysis of differences in sleep homeostasis is feasible and
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4 collection of home sleep recordings, that will eventually allow us to address this issue,
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6 have been initiated.
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9 While we endeavoured to make this work as robust as possible, there are a number
10 of limitations, above all unmeasured confounders. Socioeconomic inequalities are
11 controlled for by using the length of education (a close approximation) as a covariate,
12 and by virtue of the fact that Amerindian ancestry is found by varying degrees in the
13 majority of individuals in the sample (as opposed to as a discrete group of individuals).
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15 In spite of this, it is never possible to control entirely for socioeconomic inequalities. The
16 association between MEQ and Amerindian ancestry itself is of a modest magnitude —
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18 0.22 for each percentage of Amerindian ancestry when adjusted for age and sex,
19 decreasing to 0.16 when adjusted for education and residence as well. By way of
20 comparison, this value is smaller than the average increase in MEQ for each additional
21 year of age (0.26), and yet remained significant when other covariates were added. Our
22 findings represent a previously unknown dimension of diurnal preference influenced by
23 genetic ancestry, suggesting a stratification between different racial/ethnic groups in an
24 unknown number of genetic polymorphisms. Examples of differential distributions of
25 clock gene polymorphisms between such groups have already been reported (Nadkarni,
26 Weale et al., 2005; Dall'Ara, Ghiretto et al., 2016). Thus, whilst this association may
27 contribute to some degree to the generally high morningness in the Baependi
28 population (von Schantz, Taporoski et al., 2015), it is not, however, likely to account for
29 a large proportion of it. Studies in populations with higher, and more well-defined
30 contributions of Amerindian ancestry would be needed to explore this aspect further in
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Egan et al: Association between ancestry and MEQ score

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4 addition to studies investigating the biological variables underlying the MEQ score, and
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6 their possible relevance to health disparities between different racial/ethnic groups.
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11 **Declaration of interest statement**
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15 The authors have no conflicts of interest to declare.
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1 Egan et al: Association between ancestry and MEQ score

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Figure and Table legends

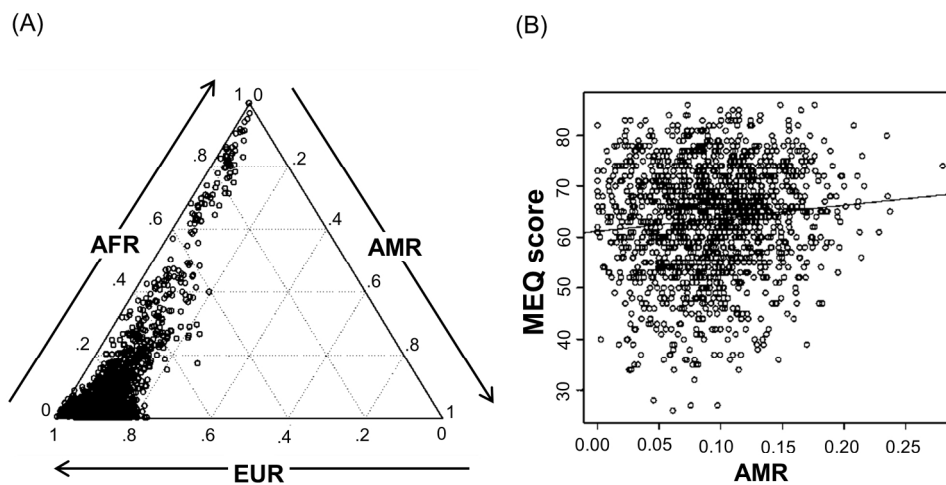
Figure 1: (A) Triplot summarizing the Amerindian (AMR), African (AFR) and European (EUR) ancestral contributions within the Baependi population. (B) shows a scatterplot of percentage Amerindian ancestry and MEQ score, with a regression line representing unadjusted data.

Table 1: The relationship between ancestry and diurnal preference explored through eight separate polygenic mixed model regression models. Models 1, 2, and 3 include estimates for European (EUR), African (AFR) and Amerindian (AMR) ancestry, respectively. Models 4–8 explore the significance of AMR results in more detail, adjusting for sex, age, residential zone, and education.

Egan et al: Association between ancestry and MEQ score

Model	Covariate	β (effect)	Standard error	p value
1. EUR	EUR	0.021	0.018	0.25
2. AFR	AFR	-0.034	0.018	0.056
3. AMR	AMR	0.22	0.070	0.0015
4. Sex, AMR	Sex	-1.74	0.57	0.0023
	AMR	0.219	0.069	0.0015
5. Age, AMR	Age	0.319	0.015	< 0.00001
	AMR	0.214	0.063	0.0012
6. Residential zone, AMR	Residential zone	6.156	0.855	$6.1 \times e^{-13}$
	AMR	0.225	0.066	$6.7 \times e^{-04}$
7. Sex, age, AMR	Sex	-1.54	0.499	0.0021
	Age	0.318	0.015	<0.00001
	AMR	0.215	0.066	0.0012
8. Sex, age, residential zone, education, AMR	Sex	-1.27	0.489	$9.3 \times e^{-03}$
	Age	0.263	0.0173	<0.00001
	Residential zone	4.75	0.780	$1.2 \times e^{-09}$
	Education	-0.590	0.112	$1.2 \times e^{-07}$
	AMR	0.1624	0.062	$8.8 \times e^{-03}$

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(A) Triplot summarizing the Amerindian (AMR), African (AFR) and European (EUR) ancestral contributions within the Baependi population. (B) shows a scatterplot of percentage Amerindian ancestry and MEQ score, with a regression line representing unadjusted data.

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